

Crustal folds alter local stress fields as demonstrated by magma sheet – fold interactions in the Central Andes

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1 Abstract

2

3 For magma chambers to form or volcanic eruptions to occur magma must propagate through
4 the crust as dikes, inclined sheets and sills. Most models that investigate magma paths
5 assume the crust to be either homogeneous or horizontally layered, often composed of rocks
6 of contrasting mechanical properties. In regions that have experienced orogenesis, like the
7 Andes, the crust has been deformed over several million years, resulting in rock layers that
8 are commonly folded and steeply dipping. The assumption of homogeneous properties or
9 horizontal layering then does not capture all of the potential magma path-crustal interactions.
10 Here we tackle this problem by determining the effect of a crust made of steeply inclined
11 layers in which sills and inclined sheets are emplaced. We combine field observations from
12 a sill emplaced in the core of an anticlinal fold at El Juncal in the Chilean Central Andes,

13 including lithologies, sill and fold limbs attitude, length and thickness with a suite of finite
14 element method models to explore the mechanical interactions between inclined layers and
15 magma paths. Our results demonstrate that the properties of the host rock layers as well as
16 the contacts between the layers and the geometry of crustal structures all play an important
17 role in magma propagation and emplacement at shallow levels. Sill propagation and
18 emplacement in heterogeneous and anisotropic crustal segments change the crustal stress
19 field promoting sill arrest, deflection or further propagation. Specifically, sills are more likely
20 to be deflected when encountering shallow dipping layers rather than steeply dipping layers
21 of a fold. Mechanically weak contacts encourage sill deflection due to the related rotation of
22 the stress field and this effect is attenuated when the folded layers are steeper. These
23 processes may change the amount and style of recorded surface deformation, with
24 implications for monitoring of active volcanoes.

25

26 **Keywords: Crustal folds, Magma emplacement, Sills, Inclined sheets, Central Andes**

27

28 **1. Introduction**

29

30 The process of magma emplacement and storage in the upper crust is controlled, to a
31 large extent, by the structure of the crust, inherited from tectonic processes, through
32 which the magma propagates (Anderson, 1951; Hutton, 1988; Rubin, 1995; Menand,
33 2011; Gudmundsson, 2011a, 2020). Contrasts in the mechanical properties between
34 different crustal lithologies can alter the distribution of crustal stresses and hence
35 generate local stress field perturbations, which may become favorable or unfavorable for
36 magma propagation (Hutton, 1988; Gudmundsson, 2011a, 2020). It is the crustal stress
37 field that largely determines if a dike will reach the surface to trigger a volcanic eruption
38 or instead if it becomes arrested in the crust, or locally changes its direction of propagation

39 to form an inclined sheet or sill (Hutton, 1988; Rubin, 1995; Menand, 2008;
40 Gudmundsson, 2011a).

41 Dikes, sills and inclined sheets are the frozen remains of fluid-driven extension fractures
42 or hydrofractures (Anderson, 1951; Delaney et al., 1986). Dikes form vertical or sub-
43 vertical geological structures that cut their host rocks discordant to the bedding. Inclined
44 sheets also are form discordant to bedding but dip more shallowly or gently than dikes.
45 Sills are commonly horizontal or sub-horizontal geological structures that form concordant
46 with bedding. All these features propagate as predominantly mode I extension fractures
47 and hence extend parallel to the orientation of the maximum principal compressive stress
48 (in geology, σ_1) and open normal to the orientation of the minimum principal compressive
49 stress (in geology, σ_3). The nucleation and extension of a dike, inclined sheet or sill then
50 relies on the fluid pressure, which is normally generated by the gases within magma
51 (Gudmundsson, 2011a, 2020; Geshi et al., 2020).

52 In order to generate a dike or an inclined sheet the magma must first rupture its host rock.
53 This will happen when the combined internal fluid excess pressure (p_e) and the lithostatic
54 pressure (p_l) at depth exceeds both the tensile strength of the host rock (T_0) and the local
55 minimum principal compressive stress (Jaeger 1956; Gudmundsson, 1986):

$$56 \quad p_l + p_e \geq \sigma_3 + T_0 \quad (1)$$

57 The lithostatic pressure (overlying host rock pressure) represents the vertical stress σ_v
58 which can be defined as:

$$59 \quad \sigma_v = \int_0^z \rho_r(z)g dz$$

$$60 \quad (2)$$

61 Where ρ_r is the host rock density as a function of depth (z) and g is acceleration due to
62 gravity.

63 Tensile strength of rocks at depth have been consistently measured in the range of 0.5
64 to 9 MPa, with the most common values of 2-4 MPa (Amadei and Stephansson, 1997).
65 The tensile strength provides a constraint on the level of magma pressure required to
66 permit rupture. Once a magma chamber has ruptured, the magma propagates by
67 fracturing the rock ahead and is driven by the internal overpressure (p_o), which depends
68 on both the initial excess pressure (p_e) in the chamber at the time of roof rupture and the
69 magma buoyancy. The overpressure is given by Eq. 3, where ρ_r is the host rock density,
70 ρ_f is the fluid (magma) density, g is acceleration due to gravity, h is the height above the
71 point of rupture and σ_d is the differential stress ($\sigma_1 - \sigma_3$) at the hydrofracture depth
72 (Gudmundsson, 2011a):

$$73 \quad p_o = p_e + (\rho_r - \rho_f)gh + \sigma_d \quad (3)$$

74 Here the second term of the right-hand side is the buoyancy term. Dike, sill and inclined
75 sheet emplacement generates crustal deformation. It has been demonstrated through
76 geodetic studies in which it has been documented earthquakes and surface uplift or
77 deflation during magma emplacement (e.g. Sigmundsson et al., 2010). Barnett and
78 Gudmundsson (2014) also shows with FEM models that host rock deformation during sill
79 emplacement depends on sill depth and lateral dimensions. Analogue models that use
80 gelatin as a crustal analogue and water as the magma analogue also demonstrate how
81 the crust can be deformed during magma propagation and emplacement (e.g. Kavanagh
82 et al., 2015).

83 Most dikes never reach the surface to supply magma to form an eruption and instead
84 become stalled or arrested within the Earth's crust (e.g. Pollard and Johnson, 1973). One

85 way by which this happens is that many dikes change their direction, on their path towards
86 the surface, from vertical to horizontal, and hence to form sills. Is the amalgamation of
87 horizontally propagating magma bodies that can promote the formation of large bodies of
88 magma and can eventually form magma chambers or plutons that grow incrementally
89 through the emplacement of successive sills (Menand, 2011; Annen et al., 2015). Hence,
90 our understanding of both volcanic eruptions and the geophysical signals associated with
91 the emplacement and growth of large crustal intrusive bodies relies on a correct diagnosis
92 of the mechanisms that control the formation and growth of dikes and sills.

93 Magma-filled fractures will propagate if the pressure at the crack tip exceed the host rock
94 strength (Anderson, 1905, 1951). The potential maximum tensile stress (σ_{Max}) at the tip
95 of a sill, modelled as an elliptical cavity, is:

$$96 \quad \sigma_{Max} = p_o \left(\frac{L}{U_i} - 1 \right) \quad (4)$$

97 Where p_o is the magmatic overpressure, L is the sill length, and U_i is half aperture or
98 opening of the sill. In practice the tensile stress required to rupture the host rock can be
99 many times lower than the potential maximum tensile stress due to stress concentration
100 effects. However, equation 4 can be used to provide estimates for the range of the
101 parameters discussed. More detailed information on the state of stress at fracture tips,
102 including the effects of the process zone, is provided by the framework of fracture
103 mechanics (Gudmundsson, 2011a). For the present purpose, however, Eq. (4) gives
104 sufficient details.

105 Crustal segments that host volcanoes and igneous intrusions are commonly
106 heterogeneous, that is to say they are built by many different layers of rock which may
107 possess very different mechanical properties. However, the vast majority of mechanical
108 and analogue models that simulate crustal deformation induced by magmatic dynamics

109 assume a homogeneous and isotropic crustal structure (e.g. Mogi, 1958; Okada and
110 Yamamoto, 1991). In the past decades it has been realized that such an approximation
111 does not accurately represent the crust and hence can introduce significant errors when
112 attempting to discern crustal processes. Instead attempts have been made to consider
113 heterogeneous crustal segments (e.g. Masterlak, 2007; Le Corvec et al., 2013; Souche
114 et al., 2019). However, the vast majority of these models assume vertically stratified and
115 horizontal layers of rocks.

116 Field observations and mechanical models of sills in compressional tectonic regimes
117 indicate that mechanical layering is a less important factor in controlling sill propagation
118 and emplacement (Walker et al., 2016; Stephens et al., 2017; Walker and Gill, 2020).
119 These authors argue that it is the far-field stress state which controls dike and sill
120 geometry and position, where tectonic shortening or extension and crustal anisotropies
121 (i.e. faults, joints and host rock foliation) plays a predominant role, whereas the bedding
122 is only important in cases when a propagating dike or sill can exploit the interfaces
123 between crustal layers, with differences in material properties. It is important to note that
124 these studies, when mentioned the importance, or lack thereof, of layering also
125 predominately assume horizontally layered crustal segments. However, in active volcanic
126 regions where the continental crust experienced prior deformation, the magma must
127 propagate through mechanically stratified and heterogeneous rocks but the layers
128 forming the crust are often not horizontal. The layers can instead be commonly folded
129 and faulted. Furthermore, subduction or collisional tectonics can produce a highly
130 anisotropic regional stress fields, which further complicate the issue. As such, new
131 models are needed, based on field evidence, to understand the dynamics of magma
132 propagation and crustal storage in deformed regions such as the Andes.

133 In this work we document mechanical interactions between magma-filled fractures and
134 folded crustal segment to attempt to explain the influence of the geometry of crustal layers
135 on magma propagation and emplacement. We use field data obtained from a rhyodacitic
136 sill emplaced in the core of an anticlinal fold in the central Andes (Figure 2) to build and
137 run 2D models with the Finite-Element Method (FEM) through the software COMSOL
138 Multiphysics. We build model setups with realistic structural settings from field
139 observations (sill and fold layers thickness, length, attitude and host rock stratigraphy)
140 and literature-derived mechanical properties (overpressure and stiffness). The models
141 seek to replicate the observed structures and geometries under certain mechanical
142 conditions during sill emplacement. For this we have developed a series of models
143 varying the stiffness and inclination of the fold layers, mechanical properties of the contact
144 between fold layers and external loading conditions. The studied sill was previous
145 interpreted as a series of syn-tectonic magmatic intrusions due to its geometry, generated
146 according to Piquer et al. (2015) by incremental slip under east-west compression along
147 an active NE-striking dextral fault system with minor NW-NNW-striking faults. The syn-
148 tectonic origin of the sill leads to questions relating to the necessity of active faulting to
149 generate the observed sill geometry and problems relating the magma cooling time and
150 active deformation within the Principal Cordillera.

151 **2. El Juncal sill**

152 In El Juncal, 150 km northeast of Santiago, in the Principal Cordillera of the Chilean Central
153 Andes (Figure 1), a rhyodacitic magma sheet intrudes a series of folded volcanic and
154 volcanoclastic rocks of the Eocene-Miocene Abanico Formation (Piquer et al., 2015; 2019).
155 The Abanico Formation is exposed in the western domain of the Principal Cordillera of the
156 Chilean Central Andes, which is bordered to the east by the Aconcagua folded and faulted
157 bed, a strongly deformed sedimentary rocks from the Mesozoic back-arc basin (Giambiagi

158 et al., 2015; Piquer et al., 2015; 2019). The volcanic and volcanoclastic rocks of the western
159 domain were deposited in an intraarc volcanotectonic basin during the late Eocene to early
160 Miocene (Charrier et al., 2002). During the early Miocene to early Pliocene the basin was
161 inverted due to an E-W directed compression (Giambiagi et al., 2015; Piquer et al., 2019),
162 over which the volcanic Farellones Formation was deposited, covering in discordance the
163 now folded rocks of the Abanico Formation (Charrier et al., 2002; Fock et al., 2006;
164 Giambiagi et al., 2015; Piquer et al., 2019). It is inferred that faults related to this basin
165 controlled the emplacement of magma and hydrothermal fluids (Piquer et al., 2019; 2021)
166 in a region with supergiant porphyry Cu-Mo deposits, such as the Río Blanco-Los Bronces
167 deposit (Crespo et al., 2020). The magma sheet studied in this work has been previously
168 described by Godoy (1998) and then by Piquer et al. (2015) as a sill. This is reasonable
169 since it clearly propagated horizontally. However, an interesting dichotomy in terminology
170 arises from the fact that when the sill meets inclined layers, such as the folded sequence at
171 El Juncal, the emplacement can no longer be concordant to bedding. We expand on this
172 point further in the discussion section. The sill was originally emplaced at a depth of around
173 1-2 km, given the rates of erosion and uplift of the Principal Cordillera from Farías et al.
174 (2008) and Aguilar et al. (2011).

175

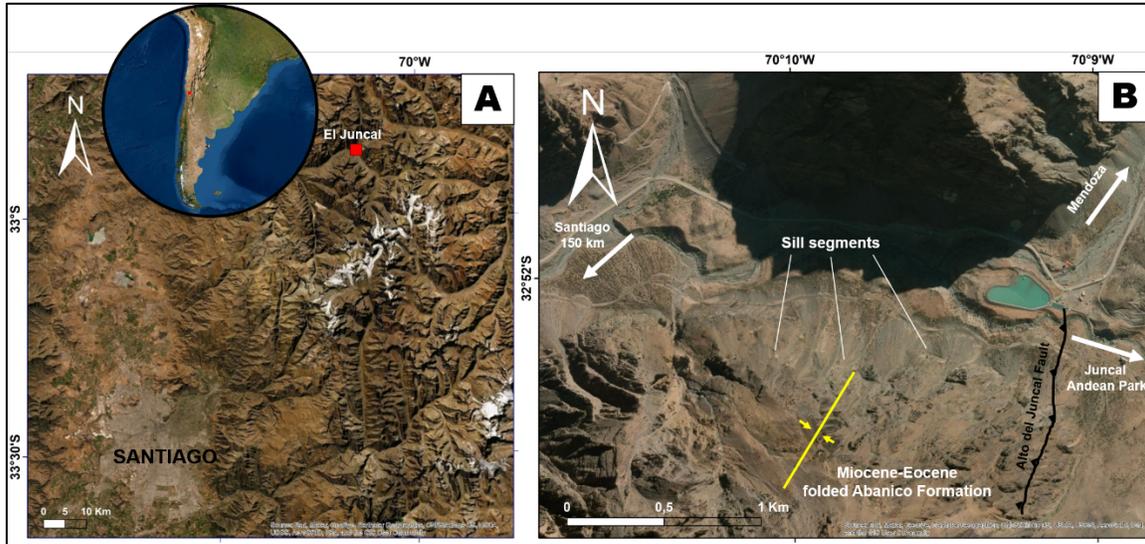


Figure 1. (A) Satellite image of Central Chile. (B) Satellite image and simplified geology of the study area showing the location of sill segments and folds in the Abanico Formation.

176

177 The sill at El Juncal has previously been interpreted as emplaced ‘syn-tectonically’ within a
 178 series of dilational lenses in the core of an East-vergent anticlinal fold related to the Alto del
 179 Juncal Fault (Figure 2). Montecinos et al. (2008) obtained a U-Pb zircon age at 11.53 ± 0.19
 180 Ma in similar intrusions located to the northeast. The shortening deformation observed in
 181 the Principal Cordillera started in the late Oligocene to early Miocene around 25-22 Ma and
 182 continues until the present (Charrier et al., 2002; Yáñez and Rivera, 2019; Piquer et al.,
 183 2019, 2021). Here we propose an alternative mechanism for the en-echelon segmentation
 184 of the sill and consider the interactions between the propagating magma sheet and the
 185 crustal layers through which it intruded.

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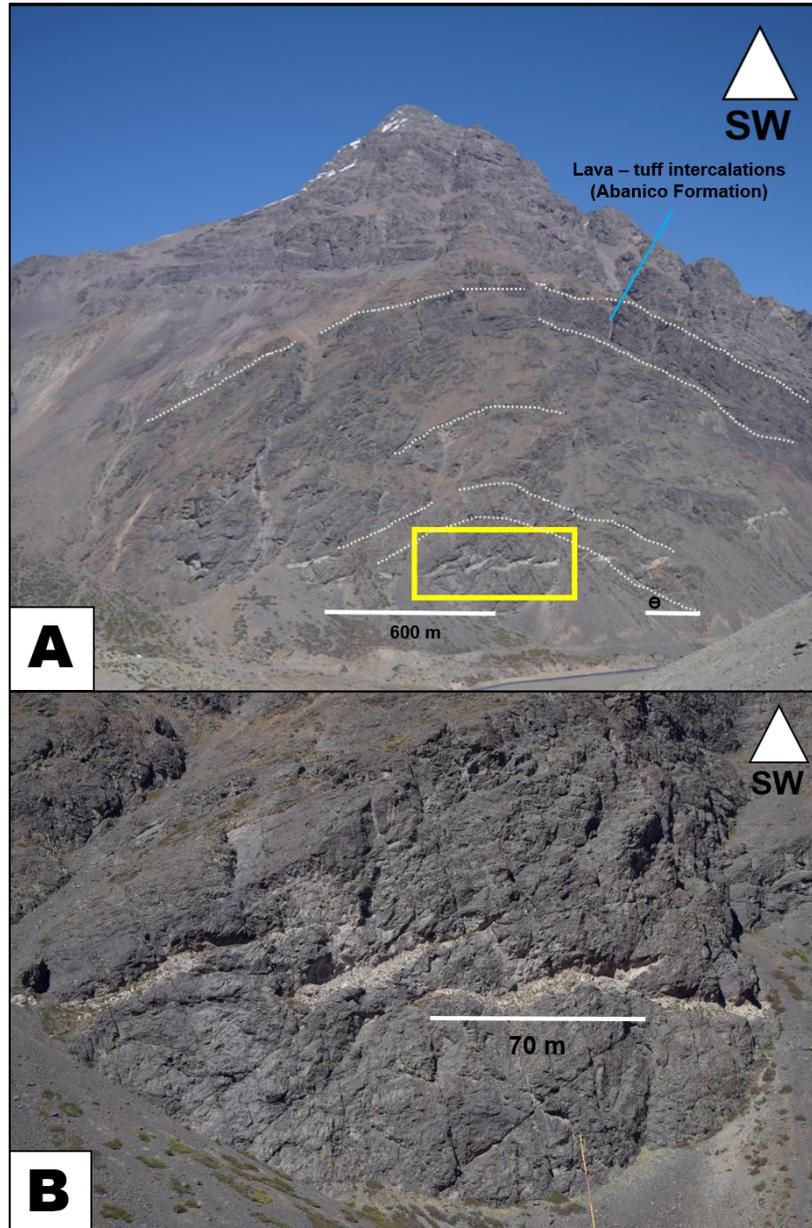


Figure 2. (A) Rhyodacitic segmented magma sheet intruding a heterogeneous and folded crustal segment composed by an alternation of basaltic to andesitic lava flows and tuffs in El Juncal section. The various annotations depict the trace of the folded layers and field measurements. (B) Zoomed in yellow box indicated in A of part of the sill showing the segmented and inclined nature of the tips.

187

188 **3. Mechanisms of sill deflection**

189

190 For any magma to reach the surface it must propagate (normally as a magma-filled
191 fracture) through often highly heterogeneous successions. It is generally now well-
192 understood how fractures can become arrested at interfaces between layers in such
193 heterogeneous successions, for example through the processes of 1) stress barriers, 2)
194 elastic mismatch, and 3) Cook-Gordon debonding/delamination (Figure 3). These
195 mechanisms are briefly described below.

196 1) Stress barriers: as dikes principally nucleate and extend as Mode I extension fractures,
197 they propagate in a direction parallel to the maximum principal stress (σ_1) (Gudmundsson,
198 2011b). The crustal stresses ahead of a propagating dike can locally rotate because of
199 the way in which different materials, in a heterogeneous succession accommodate stress.
200 If σ_1 rotates from vertical to horizontal this can encourage dike deflection, into a horizontal
201 sill, or it can encourage dike arrest. (Gudmundsson, 1986; Rivalta et al., 2005;
202 Gudmundsson, 2011a; Forbes-Inskip et al., 2020).

203 2) Elastic mismatch: if there exists a sufficiently large contrast between the stiffness,
204 Young's modulus (E), of two materials in contact this can also promote dike arrest since
205 the level, or magnitude, of tensile stress may not be sufficient to drive the fracture
206 (Gudmundsson, 2011b; Browning and Gudmundsson, 2015). This contrast is called the
207 elastic mismatch and determines, together with material toughness, if a mode I or mixed
208 mode fracture (Rubin, 1995; Hutchinson, 1996) will penetrate the interface between two
209 layers, or instead deflect into any discontinuity between them (He et al., 1994;
210 Hutchinson, 1996; Kavanagh et al., 2006; Gudmundsson, 2011b).

211 3) Cook-Gordon debonding/delamination (Cook and Gordon, 1967): the stresses induced
212 from the fluid overpressure within a dike may allow the contact (e.g. a pre-existing
213 lithological contact in the host rock) ahead of the dike to delaminate and open. This

214 generally occurs when the tensile stress ahead of the dike reach about 20% of the tensile
 215 strength of the contact (He and Hutchinson, 1989; Hutchinson, 1996; Gudmundsson,
 216 2011b). In the case where the interface between two different rocks experiences
 217 debonding or delamination this will encourage either arrest, as the tensile stress can
 218 effectively reduce to zero, or a change in the propagation path of a fracture (Hutchinson,
 219 1996; Gudmundsson, 2011b).

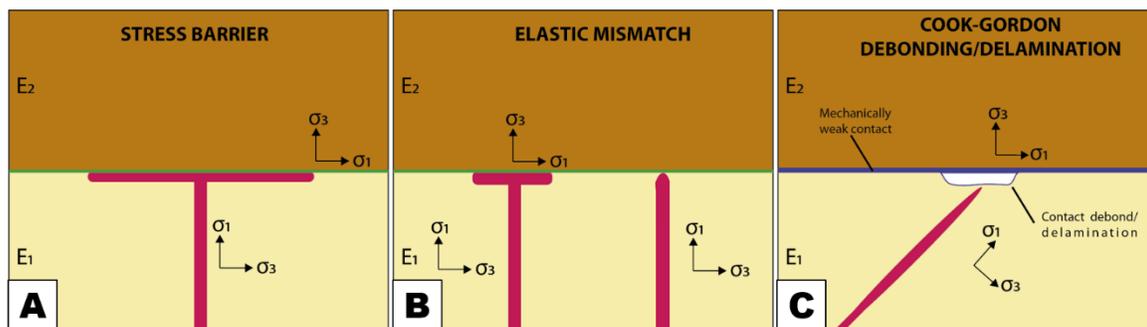


Figure 3. Schematic illustration of primary mechanisms that control fluid-driven fracture arrest, deflection or propagation. (A) Stress barriers. (B) Elastic mismatch. (C) Cook-Gordon debonding and delamination. Modified from Barnett and Gudmundsson (2014) and Drymoni et al. (2020).

220

221 The fundamental concepts that derive these models are commonly based on the contacts
 222 and layer interfaces being arranged normal to the fracture propagation direction. This
 223 situation holds true for heterogeneous crustal segments which are layered sub-
 224 horizontally, such as lava piles in shallow dipping volcanic edifices. However, in regions
 225 that have experienced intense orogenesis during million years of deformation, like in the
 226 Andes, the crustal layers are often intensely deformed and hence fractures meet the
 227 contacts and interfaces over a range of different angles. The angle at which a fracture
 228 meets a layer or interface has been demonstrated to potentially alter the propagation path
 229 (Browning et al., 2015). The stresses in the layers are formed, not just by the regional
 230 tectonic forces or the material properties, but also as a function of the geometry of the
 231 system. Therefore, an assumption of isotropic material properties and horizontally

232 stratification can lead to highly erroneous results. In the Andean example both
233 assumptions are likely invalid.

234

235 **3. Methods**

236

237 **3.1. Geological succession and material properties**

238

239 We carried out a field campaign at the El Juncal section to record the attitude and thickness
240 of the sill and the units in which the sill was emplaced. The units that the sill cut can be
241 represented by different stiffnesses, that is, by different Young's moduli (E). However, the
242 rocks of the Abanico Formation have been intensely deformed through folding, as can be
243 seen in the compressive structures developed in the Principal Cordillera. This folding makes
244 the rocks stiffer, so originally compliant (soft) and porous rocks like tuffs and many other
245 shallow crustal rocks with E values between 1 and 15 GPa (Becerril et al., 2013), may
246 become more stiffer, i.e., with higher Young's moduli (Gudmundsson, 2011b). In order to
247 model these crustal materials, we used different combinations of layer stiffness in a range
248 between 10 to 40 GPa. This range is slightly higher than that found in recent test on volcanic
249 rocks (Heap et al., 2020) which suggest volcanic rocks will commonly have Young's moduli
250 below 10 GPa, even at depth. However, since we were not able to directly measure the
251 moduli of the rocks, we prefer to use a larger range to encompass more possible
252 combinations of Young's moduli contrast and choose slightly higher values to encompass
253 the effect of compressional strengthening. It could be argued that mechanical
254 measurements are not representative of the rock properties at the time of emplacement
255 since the whole unit has undergone unroofing and has been eroded and weathered. As such
256 we model the various potential range of properties and draw conclusions based on the most
257 realistic values. Based in the Abanico Formation stratigraphy and field observations, we

258 model the host rock as formed by an intercalation of basaltic lava flows and pyroclastic rocks
259 (Fock et al., 2006). We modelled the contacts between fold layers as either mechanically
260 strong or mechanically weak. Weak contacts were modelled as a ‘thin elastic layer’, that is,
261 an internal spring with a toughness of 1 MPa/m with an elastic behavior which allows the
262 opening of the contact. Strong contacts were given the same stiffness as the surrounding
263 layers, i.e., in the range 10 to 40 GPa. We gave all the rock units the same Poisson’s ratio
264 of 0.25.

265

266 **3.2 Numerical modelling methods**

267

268 We use the Finite-Element Method (FEM) software COMSOL Multiphysics to build and run
269 numerical models of 2D cross-sections through the observed folded segment. Using the
270 Structural Mechanics module, in COMSOL we analyze static stress and strains calculated
271 from imposed boundary conditions and solid-mechanical properties in a linear-elastic media.
272 We assign different stiffness values (E) to the layers in a limb of an anticlinal fold based on
273 the host rock geometry and stratigraphy. Also, we change layer inclination (dip) to test how
274 the angle formed between the sill tip and the layers influences the fracture propagation and
275 sill emplacement.

276

277 **3.3.1 Model setup**

278

279 We modelled the sill as a singular elliptical cavity with a length of 600 m, a thickness of 15
280 m and an overpressure (p_0) of 5 MPa. The crust in our models is simplified from that
281 observed in the outcrop and is formed of a layered and folded sequence where each layer
282 has a thickness of 50 m, and they are inclined at either 25° or 50°. These values represent
283 either averages or extremes recorded from the field measurements and hence allow us to

284 examine a wider set of parameters in the models. We consider internal variations in Young's
285 moduli between the layers. We also modelled layers with contrasting Young's moduli, in
286 order to investigate elastic mismatch mechanisms. For this, we considered intercalations of
287 stiff (lava flows) and soft (pyroclastic rocks) layers with Young's moduli of 40 GPa and 10
288 GPa, respectively. For all the models we fixed the lower and horizontal boundaries while the
289 upper boundary is a free surface (Figure 4). We also applied a horizontal compression by
290 applying a load of 5 MPa at the horizontal edges of the model, emulating the regional stress
291 field in a compressive tectonic regime.

292

293 In order to run the models, we generated a triangular mesh with element sizes of between
294 0.235 km (maximum element size) and 1×10^{-3} km (minimum element size). The modelled
295 area of interest was built sufficiently far from the model boundaries so not to be affected by
296 edge effects. In all model results we show the magnitude of minimum principal compressive
297 stress (σ_3) as contours showing the location of the highest tensile stress concentration as
298 well as a plot of the trajectories of the maximum principal compressive stress (σ_1), as red
299 ticks.

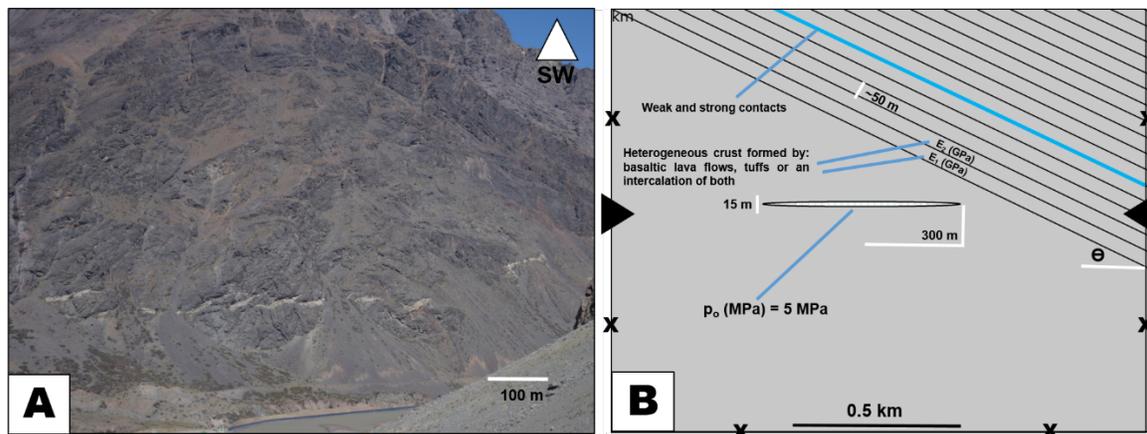


Figure 4. (A) Rhyodacitic sheet segments emplaced in the core of an anticlinal fold (white lines) in the Abanico Formation. (B). Corresponding FEM model setup 2D section example produced in COMSOL Multiphysics, where θ represents fold limb inclination.

300

301 **4. Results**

302

303 **4.1. Field results**

304

305 The maximum thickness of the studied sill is approximately 15 m thick and it is exposed as
306 a near-horizontal (0-5° dip) magmatic sheet which steepens to 20° and 30° to the SW at the
307 tips of a series of en-echelon segments. The sill strikes approximately WNW-ESE (Figure
308 4) and is mostly sub-horizontal along both its strike and dip lengths. The exposed section of
309 the sill is around 600 m in length and each segment is between 50 m to 70 m in length. The
310 sill composition is rhyodacitic with porphyritic texture composed of plagioclase phenocrysts
311 in a groundmass of fine feldspar and quartz (Montecinos et al., 2008; Piquer et al., 2015).
312 The sill is emplaced in the core of a NE-trending open, predominantly symmetrical, anticlinal
313 fold with limbs that dip by a maximum of 25° to the NW and SE. The fold is formed in
314 intercalations of andesitic to basaltic lava flows and tuffs of the Miocene-Eocene Abanico
315 Formation, in beds of approximately 50 m in thickness. We found no evidence of shear-
316 related displacement of the units at the sill tips of the en-echelon segments.

317

318 **4.2. Numerical modelling results**

319

320 **4.2.1. Fold limbs dipping away from the sill**

321

322 In Figure 5 we show the modelled interaction between the horizontal cavity (the sill) with an
323 internal pressure of 5 MPa near a series of layers dipping or inclined at 25° away from the
324 sill. In part A (Figure 5) the layers all have the same material properties, namely, a Young's
325 modulus of 10 GPa and the contacts between the layers are mechanically strong, i.e. they

326 have the same stiffness as the surrounding rocks. Whereas in Part B (Figure 5) the layers
 327 again all have the same material properties ($E = 10$ GPa) but the contacts in between the
 328 layers are mechanically weak. In Figure 5a we see that the tensile stress concentrations
 329 occur at the lateral ends of the sill, exactly as expected. We also see that the orientation of
 330 σ_1 , shown as red lines in the model figures continue approximately horizontally away from
 331 the sill margins. This indicates that the dipping layers have little to no influence on the
 332 propagation path of the sill and hence it would continue propagating horizontally under these
 333 circumstances. However, in Figure 5b we see that the concentration of tensile stresses is
 334 quite different as there is a large concentration of stress at the contact between the first layer
 335 and the host rock directly above the right side of the sill. We also see stress concentrations
 336 in two other layers further away from the sill. These concentrations indicate that the contacts
 337 may debond/delaminate and open which will affect the propagation path of the sill. The
 338 orientation of σ_1 in this case changes locally from horizontal to vertical at the contact between
 339 the sill and the inclined layers at 25° . These rotations may promote either the arrest or the
 340 deflection of the sill as σ_1 rotates by 90° in some of the layer contacts.

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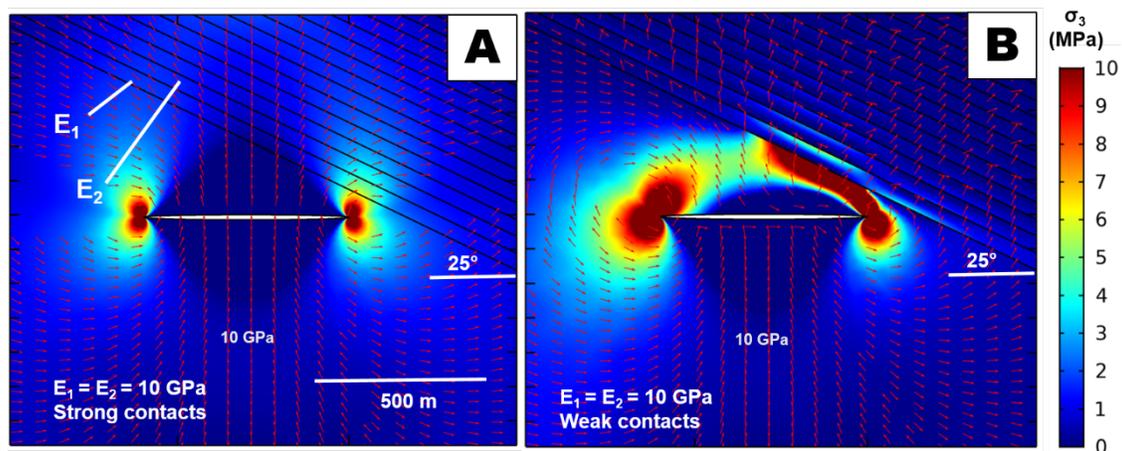


Figure 5. FEM (finite element method) models of stresses around a sill near a homogeneous ($E_1=E_2$) crustal segment with layers dipping at 25° away from the sill. The red arrows show the trajectories of the

maximum compressive principal stress, σ_1 , and color contours represent the minimum principal compressive (maximum tensile) principal stress, σ_3 . (A) The contacts between the layers are modelled as mechanically strong. (B) The contacts between the layers are modelled as mechanically weak, and therefore with tendency to open up.

342

343 In Figure 6 the geometry of the model is the same as previously but now the layers are
344 heterogeneous, that is to say that they have different Young's moduli. In Figure 6a and 6b
345 the layer closest to the sill is softer (10 GPa) than the succeeding layer (40 GPa). Whereas
346 in Figure 6c and 6d, the layer closest to the sill is stiffer (40 GPa) than the succeeding layer
347 (10 GPa). We again consider two different types of contact properties between the layers.
348 In Figure 6a and 6c, the contacts were modelled as strong contacts with the same Young's
349 moduli of the respective layers, meanwhile in Figure 6b and 6d, the contacts were modelled
350 as mechanically weak, with a stiffness of 1 MPa/m. We observe from Figure 6a that if the
351 sill is near to a series of softer layers but with a mechanically strong contact, the tensile
352 stress concentrations are located at the sill tip in the host rock with very little stress
353 concentration in the soft layer but with high tensile stresses in the succeeding stiff layer. The
354 trajectories of the maximum principal stress (σ_1) in this case continue almost horizontally
355 away from the sill tips. This indicates that the sill would continue a horizontal propagation
356 path through the sill. In Figure 6b the properties of the layers are the same but the contacts
357 between the layers are mechanically weak. Under these conditions we observe large tensile
358 stress concentrations at the sill tip and directly above the sill in the host rock but virtually
359 none of that stress is concentrated within the dipping layers. This indicates that the first
360 contact between the host rock and the dipping layers may debond (delaminate) under the
361 high tensile stress. The orientations of σ_1 rotate by 90° at the contacts between the layers.
362 Both the propensity for debonding and the strong stress rotations indicate the sill would likely
363 become arrested at the contact with the dipping layers. In Figure 6c we alternate the stiffness

364 of the layers and we observe that the tensile stress concentrations again occur only in the
 365 stiff units but in contrast to previously all the stiff layers in the segment experience and
 366 elevated stress level. The orientation of σ_1 is again near horizontal throughout. In Figure 6d
 367 several stiff layers concentrate tensile stress directly below the weak contacts, this is
 368 especially pronounced between the first and second layers within the dipping units. As we
 369 observed in Figure 6b, in Figure 6d, the orientations of σ_1 also change from near vertical to
 370 rotate almost 90° and follow the dip of the layers.
 371

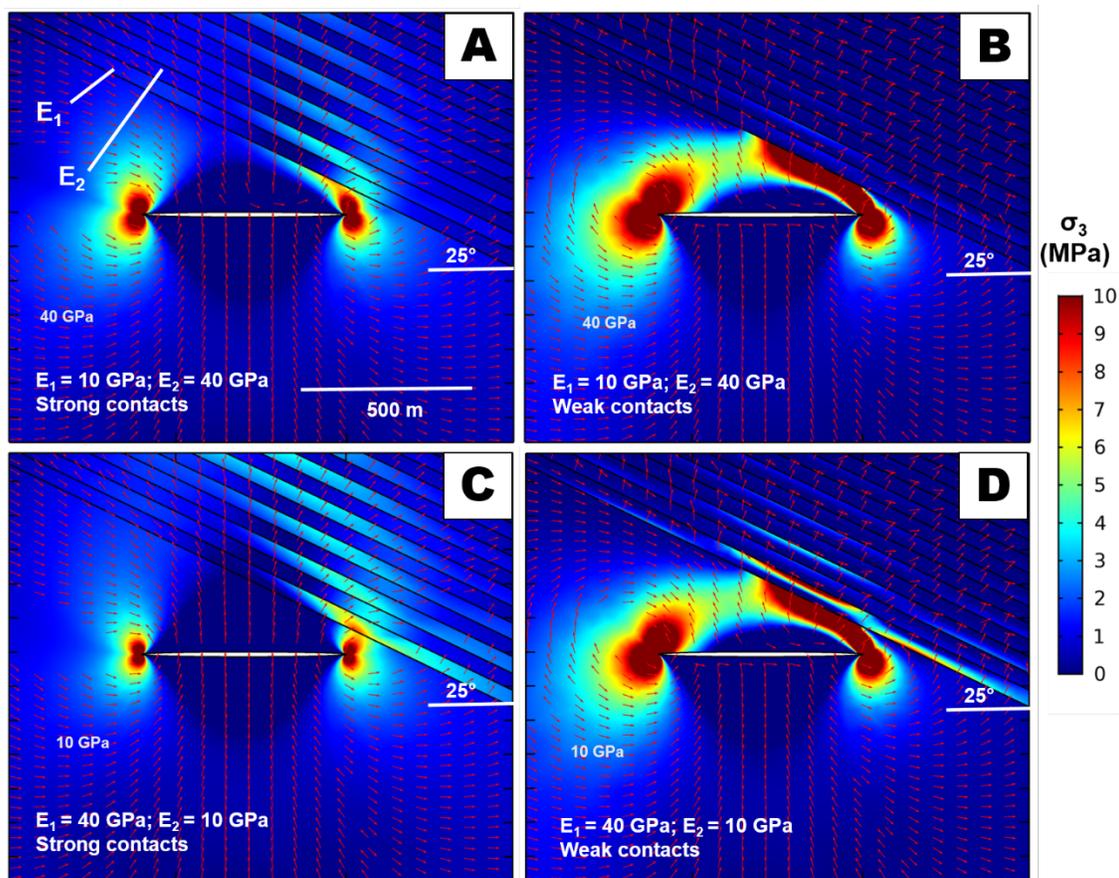


Figure 6. FEM (finite element method) models of stresses around a sill near a heterogeneous ($E_1 > E_2$) crustal segment with layers dipping at 25° away from the sill. The red arrows show the trajectories of the maximum compressive principal stress, σ_1 , and color contours represent the minimum principal

compressive (maximum tensile) principal stress, σ_3 . (A) The contacts between the layers are modelled as mechanically strong. (B) The contacts between the layers are modelled as mechanically weak.

372

373 The model setups shown in Figures 7 and 8 have the same mechanical conditions as
374 previously shown but the geometry of the model has been changed. Specifically, we change
375 the dip of the layers that constitute the fold from 25° in the previous models (Figures 5 to 6)
376 to 50° (Figures 7 and 8). The result from the model in which the layers have the same
377 Young's modulus throughout the fold limbs (Figure 7a) are almost the same as the previous
378 results shown (Figure 5). This suggests that if the layers in a folded segment are of similar
379 properties, the inclination of the fold has little effect on the propagation path of a magma
380 sheet. However, in Figure 7b, where there are weak contacts between the layers, the results
381 are quite different from the previous models. Namely, whilst we still observe high levels of
382 tensile stress concentration at the margins of the sill and specifically above the right margin,
383 we do not observe rotation of the principal stresses in the layers of the fold limb. This
384 difference needs to be explained and we discuss this point further in the discussion section.

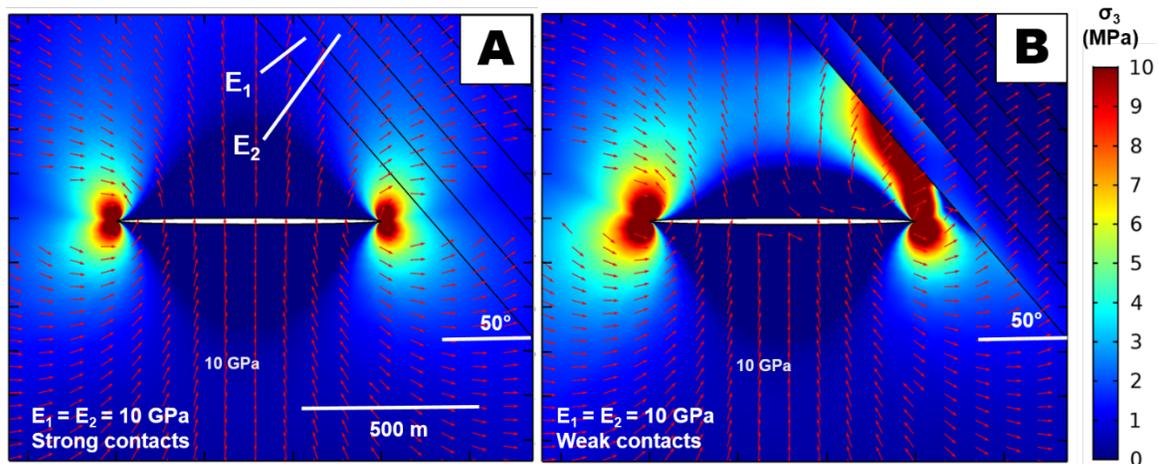


Figure 7. FEM (finite element method) models of stresses around a sill near a homogeneous ($E_1=E_2$) crustal segment with layers dipping at 50° away from the sill. The red arrows show the trajectories of the maximum compressive principal stress, σ_1 , and color contours represent the minimum principal compressive (maximum

tensile) principal stress, σ_3 . (A) The contacts between the layers are modelled as mechanically strong. (B) The contacts between the layers are modelled as mechanically weak.

385

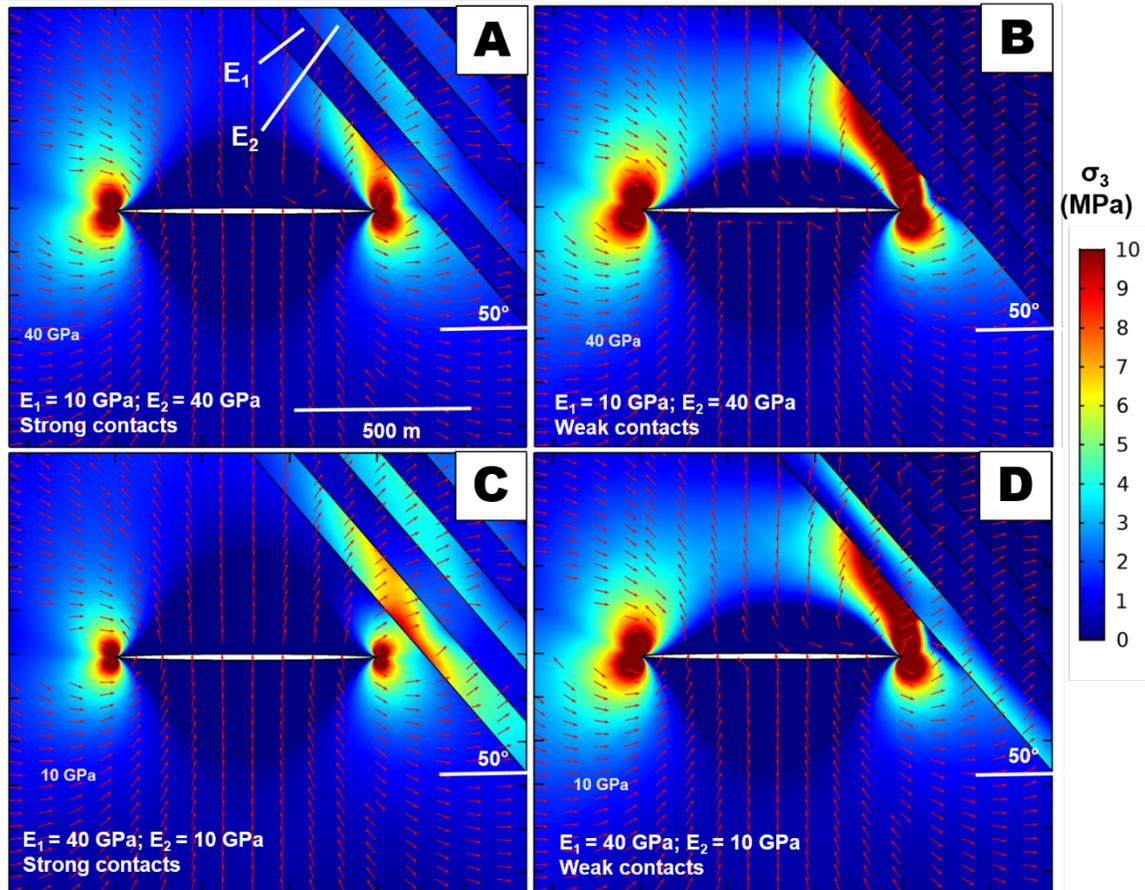


Figure 8. FEM (finite element method) models of stresses around a sill near a heterogeneous ($E_1 > E_2$) crustal segment with layers dipping at 50° away from the sill. The red arrows show the trajectories of the maximum compressive principal stress, σ_1 , and color contours represent the minimum principal compressive (maximum tensile) principal stress, σ_3 . (A) The contacts between the layers are modelled as mechanically strong. (B) The contacts between the layers are modelled as mechanically weak.

386 **4.2.2. Fold limbs dipping towards the sill**

387

388 We modified the models shown in section 4.2.1 by changing the geometry of the dipping
 389 layers such that they dip towards the sill rather than away from it. In the field case the

390 previous models represented the NW limb of the El Juncal fold, whereas the new models in
391 this section represent the SE limb of the fold. The results are similar throughout the models
392 and so we present the data as Supporting Information. The most striking difference is that
393 the tensile stress concentrations in stiff layers now occur below rather than above the sill tip.
394 This indicates that the debonding/delamination mechanism is less likely a cause of the
395 segmented sill arrangement observed in the field. This holds because the inflection would
396 dip in the opposite direction to that observed. However, the variable stresses in the layers
397 can still promote stress field rotations that encourage the sill to deflect and become an
398 inclined sheet along the dipping layers.

399

400 **5. Discussion**

401

402 **5.1. Processes that influence the geometry of sills near to crustal folds**

403

404 We observe from the numerical models that the sill geometry and segmentation in El Juncal
405 depends on the properties and geometry of the fold through which the sill cuts. Specifically,
406 it is the angle at which the layers dip as well as the difference in material properties of the
407 layers and the properties of the contacts between the layers which generate the observed
408 sill geometry. The effect of these geometrical and physical properties combine to locally alter
409 the stress field ahead of the propagating sill and, in the case of El Juncal, this has led to a
410 temporary change in the orientation of the sill from sub-horizontal to incline. Our data is
411 limited to one well-exposed outcrop but the mechanical mechanisms described demonstrate
412 generality and so can be applied to volcanic complexes overlying folded crustal segments.
413 As far as we are aware there have been no attempts to document the interaction of hundreds
414 of meters to kilometer scale folds with magma emplacement. This may be partly because of
415 the lack of such exposures elsewhere. As such we now consider the potential consequences

416 of processes related to magma sheet and fold interactions in the context of Andean crustal
417 segments hosting active volcanoes.

418

419 In the northern part of the southern volcanic zone of the Andes (33°S-37°S), the volcanic
420 arc is built upon a basement formed by heterogeneous and heavily folded rock units as well
421 as numerous crustal faults. As a result of the crustal deformation there are many cases in
422 this zone where the basement rocks are distinctly non-horizontal. As such the dikes, sills
423 and inclined sheets that cut these crustal regions must intersect the layers at different
424 angles. We have demonstrated in the numerical models that the dip of the layers, and hence
425 the angle at which a dike, sill or inclined sheet meets the layers, is important in controlling
426 the distribution of crustal stresses. We find that if the layers are more steeply dipping there
427 is less propensity to arrest a horizontally propagating sill (Figure 9). To understand the
428 reason for this we must consider the compressional effect that the sill has on the overlying
429 and underlying rock layers when it is emplaced in the crust. When a sill is emplaced in a
430 heterogeneous mechanically stratified crustal segment where all the layers are horizontal,
431 the sill will compress (apply a normal force to) the layers above and below. The amount of
432 stress and deformation in those layers is then directly related to their respective Young's
433 moduli and so some layers will accommodate more stress than others. In the case where
434 the layers are shallowly dipping the process is similar. However, when the same horizontal
435 sill is emplaced in a heterogeneous segment comprised of steeply dipping layers with weak
436 contacts between the layers, the compressional effect is much less pronounced. In addition,
437 the contacts between layers may be weakened which can promote debonding/delamination
438 between the layers, allowing the sill to for a time become an inclined sheet (Figure 9). The
439 geometry or orientation of the layers (i.e. whether they dip towards or away from the sill) can
440 also influence magma paths but the mechanism that controls the deflection can be quite
441 different.

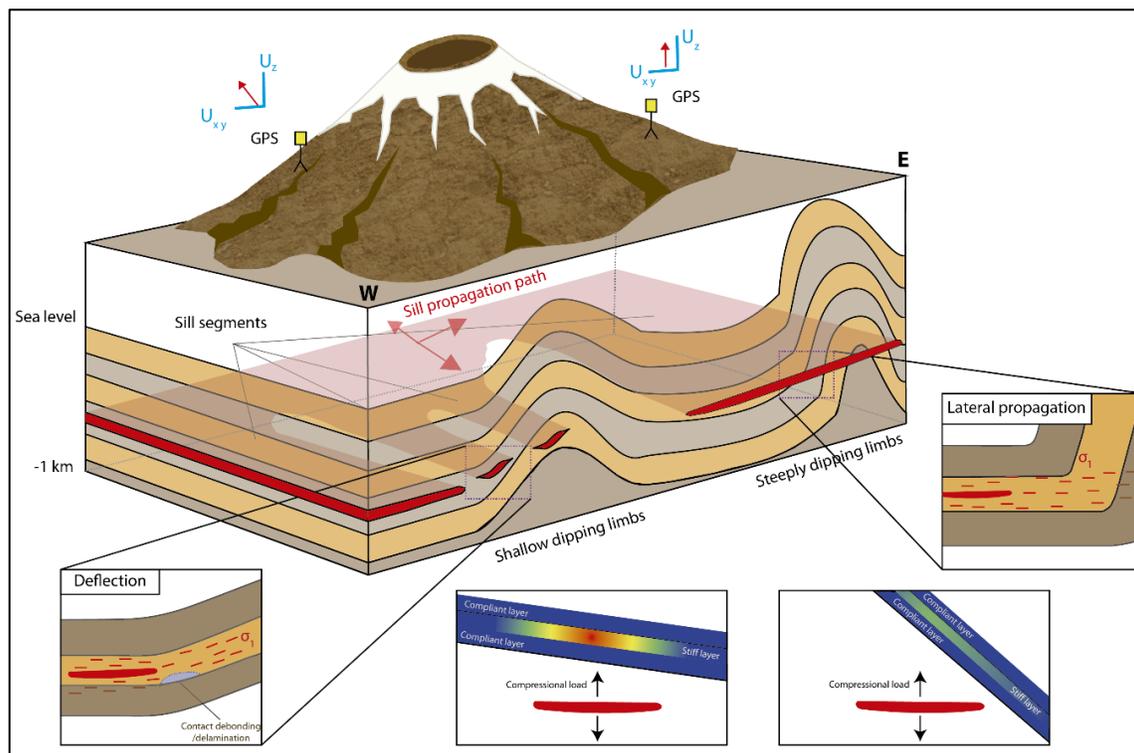


Figure 9: Schematic diagram demonstrating potential sill propagation pathways and associated mechanisms in a crustal segment hosting a central volcano overlying a variably folded sequence of rocks. To the west the sill encounters an anticlinal fold with shallow dipping limbs. The pressure of the sill exerts a compressional load on the overlying rock units and rotates the orientation of σ_1 from horizontal to inclined. Stress rotation can become enhanced if the contacts between the rock layers are weak. During a period of unrest, the surface deformation signal from such an emplacement event would be a composite of both the vertical sill opening and the segmented inclined sheet opening. To the East of the crustal segment the sill encounters an anticlinal fold with steep limbs. The compressional load of the sill can now not stress the overlying rock units as they are unfavorably oriented. The consequence is that the sill continues its horizontal propagation path. During a period of unrest, the surface deformation signal would be dominated by vertical opening of the sill.

443

444 **5.2. Does the observed sill arrangement require faulting to form?**

445

446 The uncharacteristic arrangement of the studied sill at El Juncal has previously been
 447 interpreted as forming syn-tectonically within a set of dilational lenses (Godoy, 1998; Piquer

448 et al., 2015). These dilational lenses are formed by differential motion on fault blocks
449 generating local tension. This interpretation requires a complex set of shear related
450 structures and such structures have important implications for the location and development
451 of ore forming minerals (Piquer et al., 2015). The interpretation then also requires that as
452 the sill propagated it exploited these shear structures to form the en-echelon and inclined
453 structures observed at the segment's sill tips. However, this cannot explain why the sill did
454 not continue to follow the shear zones to become an inclined sheet, as could be expected
455 (Gudmundsson, 2002). Also, the notion of syn-tectonic emplacement is somewhat unhelpful
456 because it requires that all of the shear zones in question become displaced in order to form
457 the structures observed which is a remarkable hypothesis that is not supported by any
458 geophysical measurements from any active magma emplacement anywhere in the world,
459 as far as we are aware. The term 'syn-tectonic' may also imply that the sill and fold were
460 deforming at the same time but this generates a significant temporal problem since
461 deformation related to the fold formation occurs over thousands or millions of years whereas
462 the dike emplacement and subsequent solidification takes place over the order of months to
463 years (Carslaw and Jaeger, 1959; Gudmundsson, 2020).

464

465 We have demonstrated that changes in the orientation of the sill can relate to the properties
466 of the rocks through which the sheet is emplaced, and hence changes in the local crustal
467 stress field, rather than requiring a 'syn-tectonic' shear related deformation. We found that
468 substantial changes in orientation can be produced when there are weak contacts between
469 layers. Such weak contacts may be produced by the presence of crustal faults, for example.
470 We hence partly support the view of Godoy (1998) and Piquer et al. (2015) in that the sill
471 may inflect because of the presence of shear zones but we cannot support the notion that
472 there were dilational lenses formed within the shear zones as this is not supported by
473 mechanical models of sill and dike propagation (Gudmundsson, 2020).

474

475 **5.3. Implications for shallow intrusion nomenclature**

476

477 In this manuscript we consider a magma body that has propagated several kilometers sub-
478 horizontally. It is then reasonable to call that magma body a sill. However, the sill is not
479 always concordant with bedding, as is required in the nomenclature for a sill (Emerman and
480 Marret, 1990). In fact, when the sill meets a folded segment, or crustal discontinuity, it can
481 cut the layers discordantly. Hence the El Juncal sill, which we have described, in
482 nomenclature, would be considered an inclined sheet since it is discordant with many of the
483 folded layers. What we have shown in our numerical models is that the 'inclined sheet' then
484 attempts to become a 'sill' when it meets the folded layers by partly following the attitude of
485 the layers. This is somewhat of a semantic point but it is important to clarify. The inflections
486 of the intrusion segments observed at El Juncal occur over scales of a few meters and so
487 there is a clear difference with the geometry of much larger, tens to hundreds of meters,
488 scale saucer-shaped sills (Magee et al., 2013).

489

490 **5.4 Implications for ground surface deformation**

491

492 Our results have implications for the style of ground surface deformation induced by sill
493 emplacement in Andean crustal segments. A significant proportion of the active volcanoes
494 in the Andes are built, at least partially, on folded crustal sequences, for example, the
495 Pleistocene-Holocene volcanoes located between 23°S-24°S in northern Chile, and those
496 active volcanoes located between 33°S-37°S (Cembrano and Lara, 2009). The mechanical
497 interactions described in this work can also be applied to other tectonic settings where there
498 is active volcanism developed upon a deformed continental crust. For example, in settings
499 where volcanoes are built upon older fold and thrust belts, the emplaced magma must first

500 propagate through these folded rock units even if the active volcanism occurs under
501 continental rifting or post-collisional extensional stress environments. So, a problem then
502 becomes: how do we correctly interpret the ground surface deformation signal if a sill is
503 emplaced within the crust beneath one of these active volcanic complexes? One could
504 envisage the crustal deformation from a horizontally emplaced sill, or and inclined sheet, as
505 is commonly done using the Okada dislocation model (Okada, 1985). Inclined layers can
506 influence magma propagation and emplacement and so the geometry, as well as the
507 heterogeneity (Masterlak, 2007) of crustal units should be considered in models of volcano
508 deformation. The style and amount of ground deformation can provide useful information
509 about the mechanism of impending volcanic eruptions (Mogi, 1958; Masterlak, 2007; Geshi
510 et al., 2020). We have demonstrated that a horizontally propagating sill can for a time
511 become inclined due to interactions with crustal folds, changing the crustal stress field.
512 These interactions and changes in orientation are localized, as has been recognized
513 elsewhere (Stephens et al., 2017), but the implications in terms of interpreting crustal
514 deformation signals can become complex and important (Figure 9). The question then arises
515 what would be the deformation signal from such a complex emplacement event? We
516 recommend further work on this topic.

517

518 **6. Conclusions**

519

520 When taken together our results demonstrate the importance of having a complete
521 understanding of the stratigraphy and geometry of crustal segments. This is especially
522 important in active orogenic regions, either in subduction zones like the Andes and
523 collisional or extensional tectonic settings (e.g. in the post-collisional volcanos located in the
524 northern part of the Arabia-Eurasia collision zone and in the continental rift of eastern Africa)

525 where tectonic forces have intensely compressed and deformed the continental crust and
526 so the rock units are often not horizontal. The main conclusions of our study are as follows:

527

528 1) Sill emplacement through inclined layers changes the crustal stress field promoting sill
529 arrest, deflection or propagation. We suggest that a crust hosting shallow dipping layers will
530 more easily encourage sill deflection, whereas a crust hosting steeply dipping layers
531 promotes continued lateral sill propagation.

532

533 2) The mechanical properties of the contacts between layers strongly controls magma
534 propagation and emplacement. Mechanically weak contacts encourage sill deflection due to
535 stress field rotation. The result of this process can be observed in sill geometries at El Juncal.
536 This effect is attenuated when the layers are inclined at higher angles.

537

538 3) Sill propagation and emplacement through folded crustal segments may generate surface
539 deformation that will become a composite of both vertical deformations, from the opening of
540 a horizontal sill, and combined vertical-horizontal deformation formed from inclined
541 segments of the predominantly vertically opening sill. Associated deformation signals can
542 be measured at actively monitored volcanoes and crustal layering and folding should be
543 considered when modelling these deformation signals.

544

545

546

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548

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556

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Declaration of Interest Statement

The authors declare no conflict of interest with respect to the preparation and publication of the manuscript '*Crustal folds alter local stress fields as demonstrated by magma sheet – fold interactions in the Central Andes*'

Credit Author Statement

Credit author statement

Matias Clunes, John Browning Conceptualization, Methodology, Writing - Original draft preparation, reviewing, editing, **Jose Cembrano, Carlos Marquardt, Agust Gudmundsson** Writing-reviewing, editing