**Flight feather moult drives minimum daily heart rate in wild geese**

Steven J. Portugal1, Craig. R. White2, Jonathan A. Green3 and Patrick J. Butler4

*1School of Biological Sciences, Royal Holloway, University of London, Egham, TW20 0EX, UK.*

*2Biological Sciences, Monash University, Clayton, Melbourne, Australia.*

*3School of Environmental Sciences, University of Liverpool, Liverpool, L69 3GP, UK*

*4School of Biosciences, University of Birmingham, Edgbaston, B15 2TT, UK.*

**Subject areas**: behaviour, physiology

**Author for correspondence**: Steve Portugal, email: Steve.Portugal@rhul.ac.uk

Waterfowl undergo an annual simultaneous flight-feather moult that renders them flightless for the duration of the regrowth of the flight feathers. In the wild, this period of flightlessness could restrict the capacity of moulting birds to forage and escape predation. Selection might therefore favour a short moult, but feather growth is constrained and presumably energetically demanding. We therefore tested the hypothesis that for birds that undergo a simultaneous flight-feather moult, this would be the period in the annual cycle with the highest minimum daily heart rates, reflecting these increased energetic demands. Implantable heart-rate data loggers were used to record year-round heart rate in six wild barnacle geese (*Branta leucopsis*), a species that undergoes a simultaneous flight-feather moult. The mean minimum daily heart rate was calculated for each individual bird over an 11-month period, and the annual cycle was divided into seasons based on the life-history of the birds. Mean minimum daily heart rate varied significantly between seasons and was significantly elevated during wing moult, to 200 ± 32 beats min-1, compared to all other seasons of the annual cycle, including both the spring and autumn migrations. The increase in minimum daily heart rate during moult is likely due to feather synthesis, thermoregulation, and the reallocation of minerals and protein.

**Keywords**: avian, energetics, metabolic rate

1. **Introduction**

Bird flight feathers are continually exposed to a range of degrading factors, such as mechanical fatigue, UV light and parasites [1,2]. As feathers cannot be repaired once growth is completed, flight feathers are typically replaced once a year, during an annual flight-feather moult [3]. The timing and nature of flight-feather moult is a key component of energy allocation during the annual cycle in most birds, and typically takes place either in a sequential fashion (a number of flight feathers at a time) or simultaneously (all flight feathers at once) [3,4]. Given that feather growth rate is constrained [3] (negative allometry of feather growth rate with avian body mass), the only way to quicken the moult process is through increasing the number of flight feathers growing simultaneously, with some very heavy species (such as geese) becoming flightless during moult [4].

During the flightless period of moult, both wild and captive waterfowl undergo extensive changes to their physiology and behaviour [5-12]. These changes include a significant drop in body mass [5,6] and atrophy of the major flight muscles [7,11], with birds often becoming less active, switching to nocturnal feeding, and devoting less time to foraging and preening [7-9,12]. These changes in physiology and behaviour are thought to be in response to the energetic demands of moult, coupled with the increased risk of being predated upon while flightless [2,6,12]. Barnacle geese (*Branta leucopsis*) are a typical waterfowl species that experience an annual simultaneous flight-feather moult. As archetypal northern-hemisphere Arctic migrants, barnacle geese spend the summer breeding in the Arctic circle, before migrating south to western-Europe for the winter [13]. Their annual flight feather moult follows the reproductive period and immediately precedes their autumn migration, thus linking three potentially energetically demanding events close together in the annual cycle.

Measurements of basal metabolic rate (BMR) in captive barnacle geese reveal that BMR in moulting birds is ~100% and ~55% higher than in non-moulting birds measured in the winter and early-summer periods, respectively[6], suggesting, at least in captive birds measured under basal conditions, that moult is an energetically demanding period of the annual cycle. However, long-term and continuous data on energy costs in wild birds that undergo a simultaneous flight-feather moult are lacking, and how simultaneous moult integrates into the annual cycle is poorly understood from a physiological perspective. Since long-term continuous estimates of energy expenditure are difficult to obtain, we aimed to use measurements of heart rate as a qualitative proxy of rate of energy expenditure [14]. We test the hypothesis that minimum daily heart rate (*M*D*f*H) will be significantly higher during the flight-feather moult in wild geese compared to other periods within the annual cycle.

1. **Material and Methods**

***(a) Birds and heart rate measurements***

Eight wild barnacle geese (five males, three females) were caught at Ny-Ålesund research station on the island of Spitsbergen in the Svalbard archipelago (788550 N, 118560 E, 78.9178 N, 11.9338 E) during the flightless period of wing moult in July 1999. The population of barnacle geese that breed at Ny-Ålesund winter on the Solway Firth (Scotland, 54.981253, -3.489971), and migrate along the Norwegian coast during their annual spring and autumn migrations [13]. Heart rate was used as a qualitative proxy for metabolic rate [14] and was measured continuously throughout the annual cycle by custom-made implantable heart rate data loggers [15,16]. The loggers were programmed to record heart rate (*f*H) every 5 s. Methods for logger implantation and removal are described in detail elsewhere [13,15]. All implanted geese were colour-ringed to aid recapture the following year. Recordings of *f*H for a minimum of 10 months were obtained from all six birds (three males, three females) that were recaptured in the summer of 2000; the remaining two birds were sighted but recapture was not possible. Previously we demonstrated that minimum daily heart rate is correlated with mean daily heart, and that minimum daily oxygen consumptions is correlated with mean daily oxygen consumption [17], thus an increase in heart rate can be assumed to reflect an increase in daily energy expenditure.

***(b) Heart rate data***

A custom-written program (QBasic, Microsoft) processed *f*H data for each goose to extract mean *f*H values for each 15-min period for the 24-hours of each day and the lowest of these was taken as the minimum daily heart rate *M*D*f*H [15,16]. The annual cycle was divided into five distinct seasons (figure 1) based on the *f*H values and prior classifications of barnacle geese behaviour and movements [see 15 for full details]: (*i*) winter, (*ii*) spring migration, (*iii*) breeding, (*iv*) wing moult, and (*v*) autumn migration. The effect of season on *M*D*f*H was analysed using a linear mixed model implemented in the nlme package of R v 3.4.0 [18,19], with season (winter, spring, breeding, moult, autumn) as a fixed factor, individual identity as a random factor, and an order 1 temporal autocorrelation structure, with *α* set at 0.05. Data for *f*H were log10-transformed for analysis. Subsequent post-hoc comparisons between seasons were undertaken using the glht function of the multicomp package v1.4-8 [18,19]. Daily means are presented as mean ± S.E.M. Seasonal means are presented as mean ± S.E.M. calculated as the grand mean of individual means in the text and also plotted as violin plots [20] so that the complete data distribution can be visualised.

1. **Results**

Minimum daily heart rate (*M*D*f*H) varied significantly between seasons throughout the annual cycle (figure 1; *F*4,1996 = 15357, *p* < 0.0001). Minimum daily heart rate was significantly elevated during the wing moult period (200 ± 32 beats min-1) compared to all other periods in the annual cycle (figure 2). Breeding had a lower *M*D*f*H (133 ± 21 beats min-1) than moult, which is likely due to the six geese being failed breeders; although eggs were laid and incubated, they were not successfully hatched. The *M*D*f*H during spring (147 ± 39 beats min-1) and autumn (131 ± 20 beats min-1) migrations were not significantly different from each other, or from that of the breeding season (figure 2). Winter (99 ± 8 beats min-1) had the lowest *M*D*f*H which was significantly different from those of all seasons (figure 2).

1. **Discussion**

Minimum daily heart rate (*M*D*f*H) was significantly elevated during the annual flight-feather moult of the barnacle geese. Heart rate was ~100% higher during moult in comparison to the winter period, and ~35% higher than that during the spring migration. This finding corroborates previous observations of captive barnacle geese, where *~*100% increases in metabolic rates were recorded during moult [7]. Studies with non-simultaneous moulting species showed increases in metabolic rate of 30% and 58% for common kestrels (*Falco tinnunculus*) [21] and white-crowned sparrows *Zonotrichia leucophrys gambelii* [22], respectively, suggesting moult in barnacle geese is more energetically demanding, on a daily basis at least, for this species.

Increased *M*D*f*H during moult is presumed to be a result of higher nutrient demands for feather components, augmented amino acid metabolism, changes in water balance, an increase in blood volume, and enhanced heat loss [6,22]. Wild moulting common eiders (*Somateria mollisima*) experienced a daily metabolic rate increase of 12% between the pre-moult and flightless period of moult [23], while increases in metabolic rate of 25% and 35% were recorded in captive common teals (*Anas crecca*) and northern shovelers (*Anas clypeata*), respectively, during flight-feather moult [24]; values more similar to those species which undergo a sequential moult [21,22]. Owing to their comparatively larger body size, the pressure to moult quickly [25] may be exacerbated in barnacle geese, while also impairing thermoregulatory function to a greater extent compared to species which moult sequentially, particularly if they are having to rest on water [26]. Flight-feather moult for geese may be costly due to the decrease in foraging [7,8,9] coupled with the constant rate at which feathers grow [3]; the reduction in foraging may result in the geese having to turn over more body protein over a 24-hour period to meet the consistent demands of feather growth. Accompanying the regrowth of feathers during moult is a potential reallocation of protein from the flight muscles, which atrophy, to the leg muscles, which hypertrophy [7], suggesting protein availability might be a limiting factor during moult in geese.

It is unlikely that the increase in heart rate observed during flight-feather moult is associated with pre-migratory fattening [27] or increased activity, as both wild and captive barnacle geese lose body mass during the flight-feather moult period, and decrease foraging and general activity levels [6,7,27]. Notably, while individual migratory flights are energetically costly, the regular stops throughout migration [13,15,27] include long periods of rest, thus the *M*D*f*H during both migrations are lower than those during moult. Therefore, the flight-feather moult is the period of highest *sustained* elevated *M*D*f*H in the annual cycle, although the full costs of breeding, including any differences between males and females, could not be estimated due to the geese in the study being failed breeders. It is worth restating however, that individual migratory flights can last up to 15 hours, with heart rates of over 300 beats min-1 recorded [13], and these flights are likely to be extremely energetically demanding.

**Ethics:** Work in Svalbard was undertaken with full permissions from the Governor of Svalbard, adhering to the Norwegian Animal Welfare Act.

**Data accessibility:** All data is available in the electronic supplementary material.

**Author contributions**: Conceptualisation, design, methodology, writing, reviewing and editing, S.J.P., C.R.W., J.A.G. and P.J.B; resources, data collection, P.J.B; formal analysis, C.R.W. All authors approve of the final manuscript submission and hold accountability for the accuracy and integrity of its contents

**Competing interests**: We declare we have no competing interests.

**Funding**: Funding was provided by a NERC grant to P.J.B. C.R.W. is funded by the ARC.

**Acknowledgements**: We thank Anthony Woakes for assistance with the biologgers and three reviewers for insightful comments.

**References**

1. Weber TP, Borgudd J, Hedenström A, Persson K, Sandberg G. 2005 Resistance of flight feathers to mechanical fatigues covaries with moult strategy in two warbler species. *Biol. Lett*. **1**, 27‒30.
2. Gunderson AR, Frame AM, Swaddle JP, Forsyth MHJ. 2008 Resistance of melanized feathers to bacterial degradation: is it really so black and white? *J Avian Biol.* **39**, 539‒545.
3. Rohwer S, Ricklefs RE, Rohwer VG, Copple MM. 2009 Allometry of the Duration of Flight Feather Molt in Birds. *PLoS Biol.* **7**, e1000132.
4. Woolfenden GE 1967 Selection for a delayed simultaneous wing molt in loons

(Gaviidae). *Wilson Bull.* **70**, 416–420.

1. Kahlert J. 2006 Effects of feeding patterns on body mass loss in moulting Greylag Geese *Anser anser*. *Bird Study* **53**, 20‒31.
2. Portugal SJ, Green JA, Butler PJ. 2007 Annual changes in body mass and resting metabolism in captive barnacle geese: the importance of wing moult. *J. Exp. Biol.* **210**, 1391−1397.
3. Portugal SJ, Thorpe SKS, Green JA, Myatt JP, Butler PJ. 2009 Testing the use/disuse hypothesis: pectoral and leg muscle changes in captive barnacle geese during wing moult. *J. Exp. Biol*. **212**, 2403−2410.
4. Portugal SJ, Quinton K, Isaac R, Reynolds SJ. 2010 Do captive waterfowl respond to the flightless period of wing moult in the same manner as their wild counterparts? *J. Ornithol.* **151**, 443−448.
5. Portugal SJ, Green JA, Piersma T, Eichhorn G, Butler PJ. 2011 Energy stores enable flightless moulting geese to maintain cryptic behaviour. *Ibis*.**153**, 868−874.
6. Portugal SJ, Butler PJ, Green JA, Cassey P. 2011 Indications of phenotypic plasticity in moulting birds: captive geese reveal adaptive changes in mineralisation of their long bones during wing moult. *J. Ornithol.* **152**, 1055−1061.
7. Piersma T. 1988 Breast muscle atrophy and constraints on foraging during the flightless period of wing moulting great crested grebes. *Ardea* **76**, 96‒106.
8. Kahlert J, Fox AD, Ettrup H. 1996 Nocturnal feeding in moulting Greylag Geese *Anser anser* – an anti-predator response? *Ardea* **84**, 15‒22.
9. Butler PJ, Woakes AJ, Bishop CM. 1998 Behaviour and physiology of Svalbard barnacle geese *Branta leucopsis* during their autumn migration. *J. Avian. Biol*. **29**, 536‒545.
10. Green, JA. 2011 The heart rate method for estimating metabolic rate: Review and recommendations. *Comp. Biochem. Physiol*. **158,** 287–304.
11. Portugal SJ, Green JA, White CR, Guillemette M, Butler PJ. 2013 Wild geese do not increase flight behaviour prior to migration. *Biol. Let.* **8**, 469−472.
12. White CR, Butler PJ, Grémillet D, Martin GR. 2008 Behavioural strategies of predation in cormorants Phalacrocoracidae foraging under challenging light conditions. *Ibis* **150,** 231−239.
13. **Portugal, S.J.** *et al.* 2016 Associations Between Resting, Activity and Daily metabolic rate in Free-living Endotherms: No Universal Rule for Birds and Mammals. Physiol. Biochem. Zool. **89,** 251–261.
14. Pinheiro JD, Bates, S, DebRoy S, Sarkar D. R Core Team. 2017. nlme: Linear and Nonlinear Mixed Effects Models. R package version 3.1−131.
15. R Core Team. 2017 R: A Language and Environment for Statistical Computing. R Foundation for Statistical Computing, Vienna, Austria.
16. Adler D. (2005) vioplot: Violin plot. R package version 0.2.- http://wsopuppenkiste.wiso.uni-goettingen.de/~dadler
17. Dietz MW, Daan S, Masman D. 1992 Requirements for Molt in the Kestrel *Falco tinnunculus*. *Physiol. Zool.* **65**, 1217–1235.
18. Murphy ME, King JR. 1992 Energy and nutrient use during molt by White-crowned Sparrows *Zonotrichia leucophrys gambelii*. *Ornis Scand.* **23**, 304–313.
19. Guillemette M, Butler PJ. 2012 Seasonal variation in energy expenditure is not related to activity level or water temperature in a large diving bird. *J. Exp. Biol.* **215**, 3161‒3168.
20. Guozhen Q, Hongfa X. 1986 Moult and resting metabolic rate in the common teal *Anas crecca* and the shoveller *Anas clypeata*. *Acta Zool. Sin.* **32**, 73‒84.
21. Hedenstrom A. 2006 Scaling of migration and the annual cycle of birds. *Ardea*

**94**, 399–408.

1. de Vries J, van-Eerden MR. 1995 Thermal conductance in aquatic birds in relation to the degree of water contact, body mass and body fat: energetic implications of living in a strong cooling environment. *Physiol. Zool*. **68**, 1143–1163.
2. Owen M, Ogilvie MA. 1979 Wing moult and weights of barnacle geese in Spitsbergen. *Condor* **81**,42‒52.



**Figure 1.** Mean (± S.E.M.) daily minimum heart rate per day throughout the annual cycle of six wild barnacle geese. The year is split into five distinct seasons: winter, spring migration, breeding, flight feather moult and autumn migration. Mean minimum heart rate is significantly higher during flight feather moult than other times of the annual cycle. See Supplementary Figure 1 for individual heart rate traces per bird.



**Figure 2.** Seasonal differences in minimum heart rate (beats min-1) for six wild barnacle geese. The white dots present the median, the thick vertical bar represents the interquartile range, and the thin vertical line extend to either 1.5 times the interquartile range (winter, breeding) or the full data range (all other seasons). The density plot width of the violin area presents the frequency distribution. The annual cycle is divided into five seasons; winter, spring migration (‘spring’), breeding, wing moult (‘moult’) and the autumn migration (‘autumn’). Different colours of the violin plot area represent significant differences in minimum daily heart rate between seasons (also categorised by the letters above).