ABSTRACT

Introduction: There is significant intercentre variability in access to renal transplantation in the UK due to poorly understood factors. The overarching aims of this study are to improve equity of access to kidney and kidney–pancreas transplantation across the UK and to optimise organ allocation to maximise the benefit and cost-effectiveness of transplantation.

Methods and analysis: 6844 patients aged 18–75 years starting dialysis and/or receiving a transplant together with matched patients active on the transplant list from all 72 UK renal units were recruited between November 2011 and March 2013 and will be followed for at least 3 years. The outcomes of interest include patient survival, access to the transplant list, receipt of a transplant, patient-reported outcome measures (PROMs) including quality of life, treatment satisfaction, well-being and health status on different forms of renal replacement therapy. Sociodemographic and clinical data were prospectively collected from case notes and from interviews with patients and local clinical teams. Qualitative process exploration with clinical staff will help identify unit-specific factors that influence access to renal transplantation. A health economic analysis will explore costs and outcomes associated with alternative approaches to organ allocation. The study will deliver: (1) an understanding of patient and unit-specific factors influencing access to renal transplantation. A health economic analysis will explore costs and outcomes associated with alternative approaches to organ allocation. The study will deliver: (1) an understanding of patient and unit-specific factors influencing access to renal transplantation; (2) a patient-survival probability model to standardise access to the renal transplant list and (3) an understanding of PROMs and health economic impact of kidney and kidney–pancreas transplantation to inform the development of a more sophisticated and fairer organ allocation algorithm.

Ethics and dissemination: The protocol has been independently peer reviewed by National Institute for Health Research (NIHR) and approved by the East of England Research Ethics Committee. The results will be published in peer-reviewed journals and presented at conferences.

Strengths and limitations of this study

- First research programme involving all renal and transplant units in the UK.
- An in-depth analysis (quantitative and qualitative) of access to transplantation and transplant outcome.
- Correlation with patient-reported outcome measures, health status and quality of life.
- Health economic analysis exploring costs and outcomes associated with alternative approaches to organ allocation.
- Limitation due to recruitment process and comorbidity data recorded at enrolment rather than same time point for all study cohorts.

INTRODUCTION

Kidney transplantation is widely regarded to be the best treatment for selected patients with end-stage renal disease (ESRD). When compared with dialysis, transplantation leads to a twofold to threefold increase in life expectancy and, it is often believed, a better quality of life (QoL). Over the last decade, transplant survival rates have improved progressively and 1-year, 5-year and 10-year graft survival rates are now >90%, >70% and >60%, respectively. For selected patients with ESRD due to type 1 diabetes, combined (or simultaneous) pancreas and kidney (SPK) transplantation offers a better life expectancy compared with renal transplantation alone (70% vs 30% at 10 years and ameliorates diabetes complications).

These successes have led to a greater demand for transplantation with an ever
increasing gap between supply and demand. The demography of patients with ESRD is also changing with an ageing population having more comorbid conditions that may preclude transplantation. Currently, fewer than 40% of all patients with ESRD in the UK are listed as suitable candidates for transplantation and only carefully selected patients, without severe cardiovascular disease, undergo an SPK transplant. The need for research on the impact of pretransplant comorbidity on transplant outcome has been identified as a major priority in the UK by the Renal Association.

It is important, in the interest of fairness and equity, that access to the transplant waiting-list is, so far as is possible, standardised, transparent and based on validated criteria. Recent evidence shows that access to transplantation varies between and within the UK centres and differences in assessment for comorbidity are likely to be a major reason. However, even when the effects of comorbidity are accounted for, there remains variation in access to transplantation suggesting that other centre-specific factors are implicated. It is unclear which patient-specific and centre-specific factors are responsible for such variations or indeed which centre practices represent the optimal approach. It is also unclear which patient-specific and centre-specific factors impact on outcomes following transplantation but the development of a standardised approach would enable an evidence-based decision-making at individual patient level.

Successful kidney transplantation appears to improve QoL and health status compared with dialysis, but the benefit may not be apparent in all patient groups and is not supported by all studies. Furthermore, the impact of kidney–pancreas transplantation on QoL has not been conclusively established. There is a growing body of evidence supporting the cost-effectiveness of transplantation, but there are unresolved questions about which patients may benefit the most from transplantation and how organ allocation can be further optimised given scarce supply.

There is considerable interest in the development of organ allocation schemes based on net transplant benefit and significant work has already been undertaken in the context of liver transplantation and cardiothoracic transplantation in the UK and the USA. However, existing kidney allocation policies don’t take into account the potential impact of comorbid disease on transplant outcome nor do they address the best use of the increasing number of extended criteria deceased donor organs. Recent research has quantified the benefit of kidney and SPK transplantation in order to develop a survival probability model as a basis for listing for transplantation (in the UK) or as a potential allocation model (in the USA). No work has yet been carried out incorporating cost-effectiveness, health status, QoL and other patient-reported outcome measures (PROMs) in any allocation algorithms.

In order to address some of these challenges in transplantation, the UK National Institute for Health Research (NIHR) Access to Transplantation and Transplant Outcome Measures (ATTOM) research programme has been developed by a consortium involving all renal and transplant units in the UK. The overarching aims of the programme are to investigate how we might maximise the net benefit to society from kidney and SPK transplantation, by selecting recipients in a robust and transparent way so as to achieve the best balance between cost, prolongation of life, QoL and acceptability to patients and wider society. The five related research aims of the study are listed below.

1. To identify patient-specific and centre-specific factors that influence (a) access to the transplant waiting-list and to develop a survival probability model as a basis for standardising access to the transplant waiting-list and (b) access to transplantation (deceased donor kidney and pancreas and living donor kidney) for wait-listed patients.

2. To identify patient-specific and centre-specific factors that influence patient survival for transplant wait-listed dialysis patients, after deceased donor kidney transplantation, after SPK transplantation, after living donor kidney transplantation and after pre-emptive transplantation (transplantation as a first mode of renal replacement therapy (RRT) prior to the initiation of dialysis treatment).

3. To evaluate QoL and other PROMs for patients on dialysis, after deceased donor kidney transplantation, after SPK transplantation, after living donor kidney transplantation, after pre-emptive transplantation, in waiting-list controls for kidney and SPK transplantation and in those whose transplants have failed following recruitment to ATTOM.

4. To perform a health economic analysis to explore costs and outcomes associated with alternative approaches to organ allocation.

5. To utilise survival, health status, QoL, treatment satisfaction and costs to determine an optimal organ allocation policy as defined by the maximisation of clinical and cost–benefits derived from transplantation.

We describe the study population and the methodology underpinning the study analyses.

**METHODS AND ANALYSIS**

**Study population**

All 72 renal units (of which 23 are renal transplant units) in the UK contributed to the ATTOM programme. Between 1 November 2011 and 31 March 2013, 6360 patients aged 18–75 years were recruited in three cohorts: incident dialysis patients, incident kidney and SPK transplant patients and prevalent listed patients selected as controls for transplanted patients (figure 1). A total of 484 patients moved cohorts (13 patients moved twice) resulting in 6844 registrations within ATTOM (figure 2). In each centre, recruitment took place over a 1-year period aiming to include every
The qualitative analysis aims to identify systems and processes consistently associated with better (or worse) outcomes in units across the UK, to help define best practice in transplant work-up and listing. This work-stream consists of 40 initial qualitative interviews with key stakeholders and patients in a sample of 9 units stratified by proportion of listed dialysis patients, whether transplant or dialysis centre and geography to include spread of deprivation and ethnicity of the catchment area. This is followed by a purpose-designed structured questionnaire for use in a survey of all the UK renal and transplant units. A Delphi consensus study will provide better understanding of professional views on what characterises patients who should (and should not) be assessed for transplant listing and how they should be assessed. The Delphi study, undertaken by emailed electronic questionnaire with two rounds includes transplant surgeons and nephrologists from each centre. Participants are asked to agree or disagree with a series of statements about the eligibility criteria for listing. The initial overall responses are fed back and participants invited to reconsider their views in this second round prior to summarising final levels of agreement. Finally, both patient-level and centre-level factors (from the survey) are explored to determine their influence on transplant listing and subsequent access to transplantation.

Survival with transplantation versus dialysis
Using data derived from the access to transplantation analysis, a multivariate Cox proportional hazards model will estimate the potential risk factors for mortality while on dialysis and their associated HRs, taking into account patient-level and centre-level factors in a multilevel modelling approach. Changes over time in the impact of factors measured at baseline on outcome are modelled using time-varying coefficients. Interactions between variables (eg, age and comorbidity) are included in the final model if significant. This will allow the development of a survival probability prediction tool, which can inform nationally agreed thresholds (such as ‘predicted survival >80% at 2 years after start of dialysis’) at which a patient should be activated and deactivated on the transplant list. The survival probability tool could be incorporated on a desktop or web-based platform enabling
clinicians to discuss risk versus benefits with patients when considering transplant listing. A nationally agreed survival probability threshold will also enable robust intercentre comparison to audit listing practices. Follow-up of the dialysis cohort in conjunction with the cohorts illustrated in figure 5, beyond the 5-year duration of this project will enable further refinement of the survival probability assessment tool including the option to predict quality-adjusted life years gained with transplantation.

The study cohorts enable the analysis of patient-specific factors that influence survival for listed patients, after kidney transplantation (live and deceased donors) or after SPK transplantation. A multilevel modelling approach is used to analyse transplantation outcome data and the modelling explores how the outcome variables depend on one or more of the explanatory factors (patient and centre level). The models are developed on the basis of manual variable selection based on clinical and statistical input and are built up by repeatedly incorporating the most statistically significant variable and retesting all others in the presence of included variables, using clinical input to ensure development of a clinically appropriate model. Clinically relevant interactions between variables are predetermined and considered in the model building.

Figure 3 (A and B) Data completeness for each item collected in the study.
Evaluation of PROMs

All patients in the ATTOM programme were asked by the research nurses to complete measures of health status (using the EQ-5D and W-BQ12) at or soon after recruitment and at 6 months in those transplanted patients and matched controls on the waiting-list for transplant who were recruited during the first 6 months of nurse data collection. The EQ-5D provides an overall measure of perceived health today and five individual items measuring mobility, pain, self-care, usual activities and anxiety/depression. The W-BQ12 has subscales to measure negative well-being (including depressed and anxious mood), energy and positive well-being over the past few weeks and an overall measure of general well-being. In addition, a detailed PROMs study on a subset of 652 ATTOM patients (table 2) recruited in a quasi-random manner (the first eligible patient for each group seen each month by each nurse) is evaluating QoL and the impact of the renal condition on QoL. This uses the individualised Renal-Dependent QoL (RDQoL) measure together with the Audit of Diabetes-Dependent QoL (ADDQoL) for people who also have diabetes or a version of the ADDQoL with minor adaptations for people receiving an SPK transplant. These questionnaires are administered at 3 and 12 months post-transplant and at comparable times for those on dialysis. The Renal Treatment Satisfaction Questionnaire status (RTSQs) version is given alongside the RDQoL at each time point, and the Diabetes Treatment Satisfaction Questionnaire status (DTSQs) version is given to all those with diabetes (with minor adaptations for those who have received an SPK transplant). In addition, change versions of the RTSQ and DTSQ (the RTSQc and DTSQc) are given at 12 months to provide a direct comparison between satisfaction with current treatment and satisfaction with the treatment used before the study began. The EQ-5D and W-BQ12 are also included with the 12-month questionnaires in the detailed PROMs cohorts. The target patient groups and the timing of each questionnaire are summarised in table 3. Transplant recipients completed baseline questionnaires before transplantation where possible (patients receiving pre-emptive transplants) and within a few weeks of
transplantation (deceased donor transplants). Patients were given the option to complete the questionnaires via telephone interviews or using mailed paper questionnaires.

Demographic and clinical data are used by the health psychologists alongside QoL and PROMs using multi-level modelling techniques in investigating the factors determining QoL measured by the RDQoL and health status measured by the EQ-5D and exploring the relationship between these two outcomes.

Sixty of the detailed PROMs patients (including patients from each treatment group purposively sampled to include those reporting above and below the mean for their treatment group on RDQoL scores) are included in a qualitative interview study to elicit further information about their experiences, with particular interest in variations in QoL, reasons for satisfaction or dissatisfaction with treatment and their understanding and views about the current and future possible organ allocation schemes.

Health economic analysis

The proposed health economic analysis focuses on the development of a model to simulate different approaches for allocating deceased donor kidneys to patients on the transplant waiting-list. Rather than attempting to identify one optimal allocation scheme, the analysis explores a range of conceptual schemes that reflect varying levels of emphasis on the principles of equity and efficiency. Each allocation scheme is evaluated in terms of cost and health outcomes captured by estimating quality-adjusted life years (QALYs).

The model is developed as a discrete event simulation (DES). This approach offers the flexibility to incorporate the influence of patient-level characteristics, such as age and comorbidities, in the estimation of both costs and health gains, to model competing risks and to capture the dynamic consequences of the allocation process for all patients subject to a constrained supply of donor organs. The model is populated using various sources of data with costs of RRT from NHS reference costs and variable hospital costs drawn on patient-level resource use from Hospital Episodes Statistics (HES). Survival for patients on the waiting-list and following transplant is estimated by fitting predictive models to historical data from NHSBT, while health state utility estimates are based on EQ-5D data prospectively collected in the ATTOM study.

Novel allocation schemes

An important outcome of ATTOM is to propose alternative organ allocation policies that consider efficiency and equity factors as well as QoL gains from transplantation utilising data on survival, health status, QoL and financial costs.

Under the current UK allocation scheme, kidneys are allocated according to an algorithm that among other variables favours those who have waited longest and have a better tissue-type match to the donated organ. Apart from avoiding extreme age mismatches, no account is taken of other more complex indicators such as the ‘quality’ of the kidney, patient QoL and cost-effectiveness of different types of transplant (such as donation after brain death (DBD) or donation after circulatory death (DCD) transplants). Furthermore, no attempt is made to pair estimated graft life with estimated recipient survival. In several countries, there is now great interest in developing organ allocation schemes based on transplant benefit, while the USA has introduced an allocation procedure taking into account the estimated post-transplant survival and the donor kidney quality (as measured by the kidney donor profile index).

The principles of organ allocation procedures based on net benefit involve the calculation of scores that reflect the potential benefit of transplantation based on comprehensive outcome analyses, an individual’s life expectancy with and without a given transplant and to prioritise patients who have most to gain. At a point

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**Figure 5**  Study cohorts for survival analysis comparison.

**Table 2**  Detailed PROMs study group

<table>
<thead>
<tr>
<th>Subgroup</th>
<th>Number of patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>Incident dialysis patients</td>
<td>147</td>
</tr>
<tr>
<td>Kidney transplant waiting-list patients</td>
<td>135</td>
</tr>
<tr>
<td>SPK transplant waiting-list</td>
<td>29</td>
</tr>
<tr>
<td>Deceased donor kidney transplant recipients</td>
<td>120</td>
</tr>
<tr>
<td>Living donor kidney transplant recipients</td>
<td>104</td>
</tr>
<tr>
<td>SPK transplant recipients</td>
<td>103</td>
</tr>
<tr>
<td>Failed transplant</td>
<td>14</td>
</tr>
</tbody>
</table>

PROMs, patient-reported outcome measures; SPK, simultaneous pancreas and kidney.

**Table 3** Tools for QoL and other PROMs analysis, target population and timing of administration

<table>
<thead>
<tr>
<th>Tool</th>
<th>Time of administration</th>
<th>Patient cohort</th>
</tr>
</thead>
<tbody>
<tr>
<td>EuroQoL five dimensions (EQ-5D) health status tool</td>
<td>Recruitment 6 months</td>
<td>All cohorts</td>
</tr>
<tr>
<td></td>
<td>1 year*</td>
<td>Patients in first 6 months of data collection for</td>
</tr>
<tr>
<td></td>
<td></td>
<td>transplant and matched control patients</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Patients in detailed PROMs cohort</td>
</tr>
<tr>
<td>Well-Being Questionnaire (W-BQ12)</td>
<td>Recruitment 6 months</td>
<td>All cohorts</td>
</tr>
<tr>
<td></td>
<td>1 year*</td>
<td>Patients in first 6 months of data collection for</td>
</tr>
<tr>
<td></td>
<td></td>
<td>transplant and matched control patients</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Patients in detailed PROMs cohort</td>
</tr>
<tr>
<td>Renal-Dependent Quality of Life (RDQoL) Questionnaire</td>
<td>3 months*</td>
<td>Patients in detailed PROMs cohort</td>
</tr>
<tr>
<td></td>
<td>1 year*</td>
<td></td>
</tr>
<tr>
<td>Renal Treatment Satisfaction Questionnaire—status version (RTSQs)</td>
<td>3 months*</td>
<td>Patients in detailed PROMs cohort</td>
</tr>
<tr>
<td></td>
<td>1 year*</td>
<td></td>
</tr>
<tr>
<td>Renal Treatment Satisfaction Questionnaire—change version (RTSQu)</td>
<td>1 year*</td>
<td>Patients in detailed PROMs cohort</td>
</tr>
<tr>
<td>Audit of Diabetes-Dependent Quality of Life (ADDQoL) Questionnaire†</td>
<td>3 months*</td>
<td>Patients in detailed PROMs cohort who have diabetes</td>
</tr>
<tr>
<td></td>
<td>1 year*</td>
<td></td>
</tr>
<tr>
<td>Diabetes Treatment Satisfaction Questionnaire—status version (DTSQs)†</td>
<td>3 months*</td>
<td>Patients in detailed PROMs cohort who have diabetes</td>
</tr>
<tr>
<td></td>
<td>1 year*</td>
<td></td>
</tr>
<tr>
<td>Diabetes Treatment Satisfaction Questionnaire—change version (DTSQc)†</td>
<td>1 year*</td>
<td>Patients in detailed PROMs cohort who have diabetes</td>
</tr>
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<td></td>
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</tbody>
</table>

*Detailed PROMs cohort only.
†Modified versions of these questionnaires were completed by recipients of deceased donor SPK transplants.

PROMs, patient-reported outcome measures; QoL, quality of life; SPK, simultaneous pancreas and kidney.

when a donor organ becomes available, the expected number of days of life without a transplant can be compared with the expected number of days of life following receipt of a transplant. This procedure requires the development of statistical models for survival following wait listing and for survival post-transplantation.

On the basis of the information obtained in the study, we will also explore deceased donor kidney allocation (including kidneys from DCD donors) on the basis of a continuous index of donor organ longevity, along with a continuous index of potential transplant recipients that predicts their likely survival when transplanted over that on dialysis (ie, life years gained due to transplantation). We will incorporate information on QoL into the allocation model by assigning scores for transplantation with different types of organs (ie, DCD or DBD) versus dialysis, informed by the PROMs workstream. Similarly, the cost-effectiveness of transplantation with different types of donor organs could be explored in the model. These data will then be assessed alongside other factors that predict length of wait and survival enabling the development of model(s) which predict an accurate difference in the overall net benefit of a particular type of transplant, thus maximising organ utilisation and the overall benefit for the patients. The impact of potential models of organ allocation will be tested using simulations where the properties of different schemes can be explored and compared, and the impact of policy changes can be forecast. Allocation schemes that focus on different aspects, such as maximum benefit from an organ or equal access to transplantation, can be simulated and the results used to help identify an allocation scheme that provides a balance between efficiency and equity that is acceptable to patients and society.

**ETHICS AND DISSEMINATION**

Renal transplantation is one of the most successful therapies in modern medicine. However, the landscape of renal transplantation has changed significantly over the last decade with an increasing need, in an older population with more comorbidities and a different donor population, with a higher number of extended criteria donors and DCD. As a consequence, there are a number of major challenges currently facing the provision of renal transplant services. Some of these challenges raise ethical concerns regarding the transparency of the selection process, the consistency of the decision-making process and the equity of access to the transplantation. These issues are at the core of ATTOM and the involvement of patients and ethicists throughout the design and conduct of the study are key to the success of this programme.

Comorbidity, particularly cardiovascular comorbidity, is common in patients with chronic kidney disease (CKD) and may be an important factor leading to inequity in access to transplantation. Previous studies have demonstrated that demographic variables such as gender, age, geographical location and level of social deprivation influence access to transplantation and their interpretation varies significantly between...
centres, raising further concerns about an equal chance of consideration for transplantation. Unlike previous reports, which are retrospective or based on registry analyses, ATTOM is collecting prospective comorbidity data at the time of patients starting dialysis and assesses its impact according to the outcome as shown in figure 4. Furthermore, the planned analyses will enable us to assess further potential inequities in access to transplantation after listing and establish the impact of comorbidity and sociodemographic variables on the outcome of renal transplantation, SPK transplantation and dialysis. The study design and the data collected in ATTOM allow individual patient predictions to be generated, facilitating more informed decision-making. Importantly, it will provide uniformly applicable and explicit evidence-based assessment criteria for entry onto the national transplant waiting-list for kidney and SPK transplantation addressing some of the major ethical concerns highlighted above.

Combining a quantitative and qualitative analysis is one of the novel aspects of ATTOM, allowing an in-depth analysis of individual centre practices, policies and beliefs as well as the views held by patients. By identifying the recipient and organisational factors that most influence access to transplantation and subsequent transplant outcome, the findings will address key ethical concerns and indicate where clinical practice can be changed or refined to achieve fairer and more transparent access to transplantation.

The impact of comorbidity on SPK transplantation outcomes is also unclear, particularly given the more stringent selection criteria for this procedure. There is an ongoing debate regarding the survival benefit of SPK transplantation over and above renal transplantation alone, particularly living donor renal transplantation. ATTOM addresses this issue by directly comparing outcomes in patients taking account of differences in socio-demographics and comorbidity.

There is a strong perception that successful kidney transplantation improves health-related QoL compared with dialysis. One of the ATTOM workstreams addresses these issues providing information on quality of health, QoL, well-being and treatment satisfaction using a combination of established generic instruments as well as recently developed condition-specific measurement tools designed for people with CKD. Furthermore, the study may identify which particular subgroups of patients are likely to gain most or least from transplant because of comorbid disease.

ATTOM includes a health economic analysis that provides insight into long-term cost and survival differences associated with dialysis and transplantation. While the effectiveness of transplantation has already been established, ATTOM considers current clinical pathways and enables further exploration of the impact of donor and recipient factors on both costs and outcomes in the modelling of alternative approaches to allocating organs in the UK.

Organ allocation schemes (addressed in workstream 5) and issues such as which patients should receive priority, which organs should be used and which criteria should inform the allocation decision are at the heart of ethical debates in transplantation.

Data from this study will be curated by the NHSBT and UK Registry providing an ethical reassurance regarding the use of the information collected in the study.

The results of ATTOM will be of direct relevance to patients and their clinicians, and are expected to reshape the provision of renal transplantation in the UK by evaluating the entire CKD pathway from dialysis to transplantation. From a public perspective, ATTOM will provide unprecedented transparency in the decision-making with regard to the use of a scarce national resource. Therefore, we plan to disseminate these findings widely in peer-reviewed journals, at national and international conferences and thorough public engagement days. Furthermore, we intend to engage all relevant stakeholders in the discussions concerning any proposed alternative organ allocation schemes.

In conclusion, ATTOM is the first research programme involving all renal dialysis and renal transplant units in the UK that explores in depth the relationship between access to transplantation and transplant outcomes. The outputs of the study are likely to have a significant impact on the delivery of renal transplantation in the UK.

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Contributors GCO wrote the protocol for workstreams 1 (quantitative analysis), 2 and 5 and coordinated the entire manuscript. RR wrote the protocol for workstreams 1 (quantitative analysis) and 2 and coordinated the entire manuscript. DW contributed to writing the protocol for workstreams 2 and 5. AG wrote the protocol for workstream 3. JC wrote the protocol for workstream 4. CD contributed to the protocol in workstream 2 (dialysis) and data collection items definition. CJEW contributed to the protocol in workstream 5 (combined kidney–pancreas transplant and allocation). EMB contributed to coordinating the manuscript. HB provided ethical input in all workstreams. MR provided statistical input for the protocol in workstreams 1, 2 and 5. LB provided statistical input for the protocol in workstreams 1, 2 and 5. WM contributed...
to the protocol in workstream 1 (dialysis) and data collection items definition. DF contributed to the protocol in workstream 1 (qualitative analysis). PR wrote the protocol for workstream 1 (qualitative analysis and Delphi analysis). JAB contributed to the protocol in workstreams 1, 2, and 5 and coordinated the manuscript.

**Funding** This work was supported by National Institute for Health Research grant number RP-PG-0109-10116.

**Competing interests** None declared.

**Ethics approval** East of England Research Ethics Committee (reference number 11/EE/0120).

**Provenance and peer review** Not commissioned; externally peer reviewed.

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Access to Transplantation and Transplant Outcome Measures (ATTOM): study protocol of a UK wide, in-depth, prospective cohort analysis

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BMJ Open 2016 6:
doi: 10.1136/bmjopen-2015-010377

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