Participatory scenario-planning in place-based social-ecological research: insights and experiences from 23 case studies

ABSTRACT

Participatory Scenario Planning (PSP) is an increasingly popular tool in place-based environmental research for evaluating alternative futures of social-ecological systems. Although a range of guidelines on PSP methods are available in the scientific and grey literature, there is a need to reflect on existing practices and their appropriate application for different objectives and contexts at the local scale, as well as on their potential perceived outcomes. We contribute to scenarios theoretical and empirical frameworks by analysing how and why researchers assess social-ecological systems using place-based PSP, hence facilitating the appropriate uptake of such scenario tools in the future. We analysed 23 PSP case studies conducted by the authors in a wide range of social-ecological settings by exploring seven aspects: 1) the context; 2) the original motivations and objectives; 3) the methodological approach; 4) the process; 5) the content of the scenarios; 6) the outputs of the research; and 7) the monitoring and evaluation of the PSP process. This was complemented by a reflection on strengths and weaknesses of using PSP for the place-based social-ecological research. We conclude that the application of PSP, particularly when tailored to shared objectives between local people and researchers, has enriched environmental management and scientific research through building common understanding and fostering learning about future planning of social-ecological systems. However, PSP still requires greater systematic monitoring and evaluation to assess its impact on the promotion of collective action for transitions to
19. sustainability and the adaption to global environmental change and its challenges.
20. Key words: Futures research; methodological insights; participation; place-based research;
21. scenarios; social-ecological systems

   INTRODUCTION
   23. A scenario is a coherent, internally consistent, and plausible description of a potential future
   24. trajectory of a system (e.g. Heugens and van Oosterhout 2001). Scenario planning exercises aim at
   25. articulating multiple alternative futures in a way that spans a key set of critical uncertainties
   26. (Peterson et al. 2003a, Kok and Van Delden 2009), using qualitative and quantitative methods and
   27. data (Carpenter et al., 2015; Swart et al. 2004). Scenario planning has its roots in operations
   28. research developed in the Second World War and was substantially elaborated upon in corporate
   29. strategic planning in the 1970s. It has been increasingly applied in diverse environmental research
   30. contexts during the past 25 years, including biodiversity assessments, the management of protected
   31. areas, ecosystem services and their relationship to human wellbeing, climate change and land-use
   32. change in general, and more specifically, desertification and land degradation (e.g. Brown et al.
   35. The steady increase of scenario planning in environmental research can be attributed to a number of
   36. perceived benefits. These include fostering long-term and complex thinking that allows for an
   37. exploration of the dynamics and sustainability of social-ecological systems. The adaptability and
   38. accessibility of scenario planning compared to other modeling approaches might also explain this
   39. increasing trend. While lack of rigor is a potential weakness in scenario planning exercises, this
   40. is often compensated by its utility to clarify distinct and explore social-ecological feedbacks and
   41. potential surprises that cannot be easily represented in more formalized modeling approaches
   42. (Bennett et al. 2003). Addressing feedbacks and surprises, however, is fundamental when managing
   43. sustainability in complex social-ecological systems (Kok et al. 2007, Walz et al. 2007).
   44. Scenario planning processes are often oriented towards influencing decisions (Wollenberg et al.
   45. 2000), which means they can potentially have a wide range of implications for a diverse set of
   46. stakeholders. Accordingly, scenario planning in environmental research and management of natural
   47. resources has become more participatory. Involving diverse stakeholders with influence and interest
   48. in the social-ecological system, including those potentially most affected (Bohnet and Smith 2007,
   49. Kok et al. 2007), might foster social learning and collective action to achieve desired goals
   50. (Butler et al. 2014a, Butler et al. in press). Hence participatory scenario planning (PSP) is a
51. process in which stakeholders, frequently guided by researchers, are engaged in a highly
52. collaborative process and develop a leadership role within some or all stages of a scenario
53. development process to investigate alternative futures.

54. The rationale for stakeholder engagement in scenario planning follows normative and pragmatic
55. arguments, many of which relate to process-oriented results that are emerging from broader
56. participation discourses (Stringer et al. 2006, Butler et al. in press2013); empower stakeholders
57. (Reed et al. 2013a); stimulate innovation (Butler et al. in press); mitigate conflicts (e.g.), such
58. as to encourage social learning (e.g. Volkery and Ribeiro 2009) and to integrate different types of
59. knowledge (e.g. scientific, local), perceptions, expectations and aspirations (e.g. Bohnet 2010, Von
60. Wirth et al. 2013). In particular, PSP processes can facilitate discussions regarding the future
61. effects of drivers of change on human wellbeing, ecosystem services and their trade-offs,
62. biodiversity, or other social-ecological components across multiple spatial, temporal or
63. institutional scales. Further, PSP can be viewed as a solutions-oriented technique because it can:
64. increase adaptive capacity (Carlsen et al. Kahane 2012); and identify policy recommendations for
65. sustainable development (e.g. Cork et al. 2005, Bohensky et al. 2011a, b, Palomo et al. 2011) and
66. adaptation pathways (Butler et al. 2014a). PSP can elicit how stakeholders might respond to future
67. challenges, hence contributing to the management and understanding of complexity in
68. social-ecological systems.

69. Despite the increased application of PSP, as far as we know, there has been no comparison or review
70. that assesses the multiple claims of PSP studies (e.g., social learning, innovation, empowerment)
71. and synthesizes the knowledge gathered. This limits the understanding of the applicability of
72. different methods, and the strengths and weaknesses of different processes relative to different
73. goals and contexts within PSP (Vliet et al., 2012). Such an understanding is needed to improve the
74. rigor, inclusiveness, and effectiveness of PSP, and to inform future practice as PSP becomes more
75. common through its adoption by global initiatives such as the Intergovernmental Panel on
76. Biodiversity and Ecosystem Services (IPBES).

77. This paper studies the application of PSP in social-ecological systems via an in-depth review across
78. 23 case studies. First, we explore seven components of each PSP case study: 1) the case context; 2)
79. the original motivation and objectives; 3) the methodological approach; 4) the process; 5) the
80. content of the scenarios; 6) the outputs of the research; and 7) the monitoring and evaluation of
81. the PSP process. Second, we compare PSP practice across these cases. Finally, we synthesize
82. strengths and weaknesses, reflect on lessons learned and highlight areas of potential improvement in
83. PSP practice.
METHODS

Case selection

This paper is based on a structured ex-post multiple case enquiry (Yin 2009) and reflection by researchers who have been involved in place-based PSP in social-ecological systems as part of an action research project or as a participatory component of a larger research project. As a starting point, a core group of researchers from multiple disciplines and with relevant experience in qualitative scenario exercises initiated this synthesis activity at the "Resilience 2014: Resilience and Development: Mobilizing for Transformation" conference. A subsequent snowball search procedure, among colleagues in the resilience and social-ecological systems research communities resulted in identifying 23 scenario cases that were included in our analysis (Table 1). Criteria for inclusion were discussed by the first co-authors to be involved in the process and were: 1) first-hand experiences would be contributed by the principal investigators of the scenario cases; 2) cases would feature place-based PSP addressing and linking social and ecological dimensions; and 3) major gradients in terms of geography, ecosystems, socio-economics and natural resource management would be covered. Our cases were thus selected through information-oriented sampling focused on maximizing variation, and are not necessarily representative of all PSP exercises recently conducted (Flyvbjerg 2006). Our systematic comparative analysis aimed at understanding the commonalities and differences in PSP exercises that have been conducted within diverse social-ecological systems.

Data collection and analysis

We developed an analytical framework for the analysis of the 23 PSP exercises. The cases included in this study were conducted between 2003 and 2014 (Table 1). This framework was tested on sample cases and reviewed by 18 authors who refined and translated it into a survey of 75 open and closed questions (Appendix 1) that were grouped into nine categories: 1) case details (e.g. basic information such as study title, name and role of contributor, references); 2) context and case identity (e.g. location, scale, ecological, socio-economic and governance context, type of stakeholders in the case study, and thematic focus); 3) the original motivation of the study and its objectives (e.g. main general aim and specific objectives); 4) methodological approach (e.g. background information and guidelines used, the process to identify drivers of change, the scenario design); 5) methodological process (e.g. stakeholders engagement, process stages, tools used, storyline types etc.); 6) content of scenarios (e.g. storyline characteristics, consideration of ecosystem services (ES), biodiversity, human well-being, trade-offs); 7) outputs (e.g. type of outputs, such as reports, drawings, collages, videos, etc.); 8) monitoring and evaluation (e.g. impacts of the exercise and if monitoring and evaluation phases were developed); and 9) lessons
learned (e.g. main strengths and weaknesses of the process, key insights and reflections). Two rounds of data collection took place in order to clarify responses and to incorporate additional questions arising from the first round.

The information from each of the aforementioned categories was analysed by a subgroup of co-authors following a four-step process: 1) where applicable, responses were coded into pre-existing or emergent typologies; 2) the diversity of the responses to each question was summarized, including notable outliers; 3) particularly strong trends, dominant approaches or common findings or lessons were noted; and 4) descriptive and multivariate analyses. Multiple Correspondence Analysis (MCA, the counterpart of Principal Component Analysis for large sets of categorical data) and Hierarchical Cluster Analysis (HCA) were applied to explore the linkages and associations between different variables and similarities between cases, respectively. To define the number of axes retained for the HCA, we employed two criteria: scree test (Cattell 1996) and eigenvalue, which determines the inclusion of MCA axes with inertia above 0.15 (Hair et al. 1998). We used Euclidean distance as the dissimilarity matrix coefficient and Ward's method as clustering technique in order to minimise the error in sum of squares (Ward 1963). Clusters of case studies were then associated with original motivation for performing the study and lessons learned. Data were analysed with Excel (Microsoft Office) and Xlstat 2012 (Addinsoft) software.

RESULTS

Case context and identity

Geographical and temporal distribution

The case studies were located in 17 different countries and six continents (Fig.1). Most cases were from Latin America (seven cases), closely followed by Europe (six cases), then North America (three cases) and Australia (three cases). Africa and Asia were represented by two case studies each. Case studies were most frequently located in the tropical and sub-tropical forest biomes (Fig. 1; Olson et al. 2001). Some of them were conducted in agroecosystems and others, such as the German, Kenyan and South African cases, included urban and peri-urban areas. While terrestrial settings prevail, three case studies also dealt with estuaries or coastal wetlands (#6, #13 and #22), tropical islands (#18, 19 and 20) and a tropical coral reef (#17).

[INSERT FIGURE 1 ABOUT HERE]

The end year of the PSP research projects ranged from 2003 to 2014 with most processes finishing between 2012 and 2014.
Scale and system boundaries

Half of the cases (13) were defined by political boundaries (e.g. municipality, district, province or officially recognized community boundaries) while the other half defined their boundaries according to natural features such as watersheds, coastal regions or landscapes (Appendix 2). In sixteen of the cases, protected areas were included within the research area. Twenty one cases were developed at a local scale (e.g. communities, municipalities or sub-districts) and only six explicitly used a multi-level approach (i.e. included analysis at local, regional, national and/or national and global scales).

Governance and institutional contexts

The vast majority of cases involved complex governance and institutional arrangements. The most prominent institutions participating were municipalities (22 cases), regional and national (20 cases) governmental institutions; community councils, indigenous organizations and tribal forms of organization (16 cases); and conservation groups, NGOs, co-management groups and natural resources regulatory agencies including park authorities (22 cases) (Appendix 2). In eight cases, supranational governmental organizations, such as the European Union (Water Framework Directive and Common Agricultural Policy) and international trade agreements, like the North-America Free Trade Agreement, were also mentioned as influential. In 14 cases, large natural resource industries like fishing, mining and palm oil industries were noted as key actors, even if not formally considered part of the environmental governance system. In the two Colombian cases (#5 and #13), criminal and guerrilla groups were also considered part of the governance system.

Economic contexts and livelihoods

In most cases (20) agriculture was the primary sector supporting local livelihoods (Appendix 2). The services sectors, including trade and tourism, were also important (18 cases), whilst extractive industries - such as fishing, mining, palm oil and timber plantations - were important in 11 cases. Nine cases dealt with subsistence economies or economies with a strong dependence on subsidies or remittances. In four cases, illegal economic activities (such as coca plantations or illegal timber extraction and mining) were an important part of the local economy.

Subject and objectives of the PSP exercise

The main issues subject of PSP included conservation (e.g. biodiversity, wildlife and natural habitat protection), sustainable development pathways and natural resource management (Appendix 3). Following van Notten et al. (2003), cases were classified according to (Fig. 2A):
180. 1) their goals - classified as a) exploratory (i.e. creating scenarios to examine plausible drivers of change), b) pre-policy or decision-support (i.e. building scenarios to examine futures according to their desirability), or c) both exploratory and pre-policy;

183. 2) their treatment of norms - classified as d) descriptive (i.e. developing scenarios without considering researchers' preferences), e) normative (i.e. including researchers' preferences or interests in scenario development), f) both descriptive and normative; and

186. 3) the function of the scenario exercise - classified as g) process-oriented to stimulate reflexivity, creative thinking and raising awareness about the future of the social-ecological system, h) product-oriented (i.e. leading scenarios to create an outcome, e.g. a set of narratives of plausible scenarios), or i) both process- and product-oriented.

190. Four main reasons were given for the use of place-based PSP (Appendix 3): 1) to guide and support local stakeholders' decision-making by promoting reflection on likely impacts of future drivers of change in socio-ecological systems (nine cases); 2) to generate social learning and knowledge integration among multiple stakeholders in order to find ways to respond to potential changes (six cases); 3) to complement research projects by incorporating stakeholders' views in the research process (five cases); and 4) to raise local stakeholders' awareness of future changes and to confront skepticism e.g. about climate change (three cases).

197. Methodological approach

199. All case studies collected background information (Appendix 4), often through desk research (13 cases) or in a participatory process (e.g., with workshops or focus groups, 12 cases) for a range of purposes (Fig. 2B).

202. [INSERT FIGURE 2 ABOUT HERE]

203. Type of scenario design

204. In 14 cases the scenarios were designed through stakeholder-driven approaches. In the remaining cases stakeholders participated in other stages of the PSP as explained below. Twenty one cases used a projected year, ranging from 2025 to 2090; although 2030 was the most commonly projected year (nine cases). The time span between the creation of the scenario and the projected year was, in most cases between 10 and 20 years (14 cases).

209. About half of the cases (15) created four scenarios. A common motivation to the number of scenarios created was that it should be a manageable and feasible number for further discussion and
211. deliberative purposes (nine cases).

212. **Drivers of change**

213. All but two cases identified drivers of change through participatory methods (21 cases), particularly through workshops (17 cases), but also by way of interviews and surveys (nine cases).

214. Formal scientific knowledge from outside of the participatory process (e.g., previous research, predefined drivers by researchers) was also used to identify drivers in all cases. The majority of cases used alternative states of key drivers as the basis for the storylines. Among all the available reasons for using drivers of change in PSP, inspiring the creation of qualitative storylines was the most common (15 cases). A 2x2 matrix approach (e.g. Carpenter et al. 2006) was also quite common (10 cases), while only four cases used drivers to derive formal models.

215. The number of drivers of change varied widely across the cases (from 2 to 392), but most commonly, 10 or fewer drivers of change were identified (10 cases). The process to prioritize drivers, once they had been identified, was usually by ranking (10 cases), based on their impact, probability of influence, importance and relevance for a given SES. The majority of drivers identified were related to social issues (e.g. demographics, governance, economics, market conditions).

216. **Process**

217. **Duration of the scenario planning process**

218. The duration of the complete process varied from two to 60 months (median 12, average 16 months), with between one and 18 workshops (median three, average five workshops) lasting between half a day and four days (median of one day, average of one and a half days).

219. **Engagement of participants and facilitators**

220. In 19 cases a research team identified stakeholders jointly with (or with significant input from) local stakeholders. In some cases specific stakeholder identification methods were used, including stakeholder analysis and mapping techniques (12 cases), such as the two-axis importance/relevance and interest/concern tool (e.g. Reed et al. 2009), social network analysis (two cases) and/or snowball sampling (four cases).

221. The total number of participants involved in the cases ranged from 14 to 167, with an average of 52 (median 50), although the average number of participants per workshop was 26 (median 22) (Fig.2C).

222. The diversity of stakeholder groups considered in the PSP exercises ranged from only one group to seven different groups (Fig. 3). Almost all cases involved the local community, local policy-makers, natural resources management agencies and non-governmental organizations (NGOs). Other commonly
involved groups included supra-local policy-makers, academics, and representatives from the business and recreation sector (Fig. 2D).

Workshops were typically facilitated by four or five facilitators, entailing an average 1:2 facilitator - participant ratio. In 21 of the studies, facilitators came from their own research team, sometimes after facilitation training (14 cases) and often with previous experience in future scenarios workshops (10 cases). Only four cases used independently contracted facilitators.

In most cases, the researchers had prior knowledge of the participants, either through research team members who were local to the study region or because of previous engagement with stakeholders. Conflicts sometimes emerged during the participatory process (seven cases), mostly between participants with different views but also between participants and researchers (one case) and between funders and researchers (one case).

In almost all cases (19) participants collaborated in the envisioning process (i.e. imagining drivers interacting to form future events), and the identification or selection of guidelines or drivers (18 cases, Appendix 5). Participatory methods/process design (i.e. the design of the methods/process itself) took place in 11 cases. Eleven cases also received feedback and comments from participants. In roughly one third of cases (seven) participants were involved in the back-casting (i.e. analyzing how desirable future outcomes can be reached for long-term complex issues, Dreborg 1996, Carlsson-Kanyama et al. 2008) and a similar number did participatory modeling (six cases).

Methodological tools applied

A wide range of tools and techniques were used to support PSP. Group discussions were implemented in all cases, often in small groups (17 cases). Other common tools included individual reflections (11 cases), drawings (11), capturing ideas on post-its and index cards (10), mental models (nine), quantitative models or data about climate change or land-use change (nine), rankings of different issues (eight), interviews (eight) and maps (six). Less common tools (five cases) included collages, stock-flow diagrams, wall-mounted time-lines and fictional newspaper headlines.

Storylines were elaborated in almost all case studies through a combination of methods. Storylines were developed by participants (10 cases) or the research team (eight cases) and the storylines were spatially explicit, at least partly, in 10 of the cases.

Type of data analysis
In all cases, the research team analyzed data using qualitative analysis, through descriptive analysis and narrative development, while just under half the cases also carried out quantitative analysis. Quantitative analysis focused on assessing (and sometimes modeling) ES trends (e.g. #22), human wellbeing trends (e.g. #12), tendency of drivers of change (e.g. #8), as well as the analysis of policy responses (e.g. #10).

Presentation of results

Some case studies (11) presented the results of the PSP in a separate workshop with this specific aim while others presented results within the same workshop (four cases). For the different types of outputs to communicate results, see section 3.6.1. Most cases performed some kind of validation or plausibility check of the scenarios, either by workshops (nine cases) or within larger meetings that other stakeholders attended (four cases). In seven cases a draft of scenarios was sent to particular stakeholders to receive comments for validation.

Uncertainty and vulnerability

Uncertainty is inherent to scenario planning but only 16 cases mentioned it explicitly during the PSP, usually in the analysis of drivers of change. Vulnerability was explicitly analyzed in 14 cases, through the analysis of ES trends (10 cases), stakeholders' vulnerability (five cases), and in some cases specifically through vulnerability with regards to food security (seven cases).

Content of scenarios

Guidelines and scenario names

To aid in developing the scenarios, most cases (18) provided participants with guidelines and 12 cases used focal issues and drivers. The MA (2005) and MedAction (Kok et al. 2007) were sources of inspiration in four cases (Appendix 6).

Titles of the scenarios were chosen by researchers or by participants. Fifteen cases had four scenario names, ranging from the commonly used best future to business as usual (BAU), and one or two somewhere in between. Examples of names given by participants were: 'Doom and Gloom', 'A Confused State', 'Slow Boil' and 'New Mombasa'. Some examples of scenario names given by researchers were: 'Privatization and Urban Solutions: Don't stop me now', 'Rising Fences: Another one bites the dust', 'Market forces: reallocation of resources', 'Less is more', 'Caos', 'Grand transitions: a new paradigm of sustainability', 'Rural-urban migration', 'A double-edge', 'Back-to-the-future: Transhumance moves', 'Our land, their wealth', 'Balance brings beauty', 'Enjoyment Brings Misery', 'Smiling West', 'Shaky future', 'What's Ours is Yours', 'Adaptive Doñana - Wet and Creative'.
Variation in the scenarios' content

The content of scenarios in eight of the cases varied according to mixes of two main factors (related to the drivers) in each scenario. For example, case study #7 presented 10 scenarios that mixed various extents of intensive land use vs. management for a range of other ES, with landscape planning and management being the key issues addressed. Case study #8 presented four scenarios that mixed various extents of traditional land use vs. population growth and development, with forest conservation being the key issue addressed. While the case studies that presented scenarios based on mixtures of two main factors were highly diverse, they were all essentially variants of conservation or sustainable management vs. unfettered growth or industrialization/mining, with a range of issues being addressed throughout the variations (Appendix 6 [8 i]). Seven cases included scenarios that varied their content according to mixes of three main factors in each scenario. For example, case study #11 presented two scenarios with a mixture of varying extents of real estate development vs. agricultural intensification vs. habitat conservation, with biodiversity being the key issue addressed (Appendix 6 [8 ii]). Half of the cases using mixes of three main factors introduced a contrast between locally-driven vs. globally/externally-driven (e.g. # 15, #21 and #23). Seven cases varied according to mixes of four or more main factors in each scenario (Appendix 6 [8 iii]) of which four introduced an explicit governance dimension (cases # 4, #10, #17 and #19).

Topics discussed in the PSP

Thirteen case studies discussed ES provision in the different scenarios (Fig. 2E; Appendix 6). When ES were explicitly addressed, the Millennium Assessment list of ES was sometimes provided to participants and certain ES were used in discussions and subsequent models. In the cases in which ES were not made explicit, certain ES appeared in the storylines. In other cases the scenarios were created around values (e.g. intrinsic value of nature) rather than ES.

Almost all cases (21) discussed biodiversity topics (Fig. 2E; Appendix 6), either explicitly e.g. through a model output for biodiversity (or involvement of stakeholders that explicitly represent biodiversity), or only implicitly, e.g. through biodiversity related drivers that were discussed in the context of the SES.

All except two cases discussed human wellbeing via one or more variables (Fig. 2E; Appendix 6). When human wellbeing was made explicit, it commonly focused on livelihoods. Otherwise, poverty alleviation, social development goals or employment were mentioned.

All cases dealt with trade-offs among different social-ecological components, though in different ways (Fig. 2E; Appendix 6). The explicit trade-offs tended to be between winners and losers in relation to the use of ES, between development and wellbeing, between scenarios, or between ES and
human well-being. When trade-offs were not addressed explicitly, they featured strongly in the
narratives and emerged during discussions.

**Outputs**

**Types of outputs**

The majority of cases (20) produced creative or artistic outputs (Fig. 2F; Appendix 7) such as
collages, drawings, or illustrations to visualize the scenarios and facilitate the PSP process (Fig.
4). Illustrations, for example, included timeline illustrations, colorful drawings depicting
scenarios, cartoons and oil on canvas paintings. In one case, the process of creating collages (#22)
activated the groups and allowed other people that were less willing to speak, to participate in
another "language".

Beside artistic outputs, a wide variety of outreach material was produced in the case studies
including posters (15 cases, Fig. 4C,D), reports (23), scientific journal articles and books (21),
leaflets (5, Fig. 4A), postcards (5, Fig. 4B) and videos (10) (Fig. 2F). Other outputs mentioned were
cartoons, animations, game boards, newspaper articles, radio interviews, a TV show and a children's
book.

**Process and target audience for outputs**

All of the PSP study cases produced outputs to communicate the results of the scenario project to
different audiences, especially local communities (19 cases), academic audiences (16 cases),
participants (15 cases) and policy and decision-makers (15 cases) (Appendix 7). In addition to
developing outputs for communication purposes, the creative process itself offered alternative ways
to engage with stakeholders. One case (#17) used the scenario outputs to inform later interviews
with a different set of stakeholders and at another scale.

**Monitoring and evaluation**

**Monitoring of PSP impacts**

Monitoring of PSP impacts (i.e. systematic collection of data to track the extent of progress and
achievement of outcomes and impacts using indicators, Appendix 8) was performed in 11 cases
(Appendix 9), either solely within the project timeframe (8 cases) or also extending beyond the
project timeframe in three cases (which were led by the same research team). An equal number of
cases identified their reasons for monitoring as a contractual obligation, to assess learning, or to
assess outcomes.
In about half of cases monitoring was impossible due to constraints of time, personnel or finances (11 cases, Appendix 9). In two cases (#3 and #21) monitoring was not necessary or important to the goals of the PSP. Two cases (#14 and #23) found it impractical to monitor because it was too early or because detecting impacts seemed intractable.

Evaluation

Evaluation (i.e. assessment of the scenario design, implementation and results through a formal methodological approach) was conducted in 15 cases by a range of different methods including interviews (nine cases), surveys (eight cases) and observation (four cases) (Appendix 9). As with monitoring, resource constraints were the main reason for the lack of evaluation of the scenario planning exercise in nine cases. In five case studies it was too soon to evaluate the effects of scenario planning.

Assessing participants’ learning was the top reason for conducting evaluations (six cases) followed by assessing the usefulness of the process, and providing feedback to the research team. Note that these two objectives were inter-related (i.e. assessment of process can also include an assessment of social learning), but we were not able to infer whether any case studies intentionally addressed both.

Outcomes and impacts

The majority of cases (20) did not formally evaluate, and correspondingly did not detect evidence of outcomes or impacts (Appendix 9). However, in all these cases informal evaluations were undertaken. Strong and moderate evidence of short-term impacts was found in some cases that did not undertake a formal evaluation (six cases), but strong evidence of long-term impacts was found exclusively in two cases (#18 and #19) undertaking a formal evaluation. There is strong evidence of either short- or long-term impacts in seven cases, of which five are the formally evaluated case studies, suggesting that with structured evaluation processes the other case studies may have discovered that they had in fact generated more profound effects.

Strengths and weaknesses

The most commonly identified (21 cases) strengths of PSP processes were related to the added value of engaging stakeholders actively in the research process and to the technical and methodological advantages of developing participatory workshops to explore feasible futures (19 cases) (Appendix 10). Among the weaknesses, the most frequently reported dealt with the technical development of the PSP processes (20 cases) and the quality of results (15 cases).

Stakeholders' engagement
PSP's strengths were generally attributed to their potential as a research tool to engage a wide diversity of stakeholders (i.e. women, men, young, old, local people, researchers, etc.) in a knowledge sharing process that ultimately led to a shared understanding of the social-ecological system, its dynamics, and future management challenges. Such a process was referred to as social learning or mutual learning in 13 cases (Appendix 10). The creation of partnerships among different stakeholders (including researchers) was also identified as a strength in 11 cases. In addition, involving participants in the process raised awareness of local management challenges—overcoming initial skepticisms—and of the relevance of taking action in local planning (five cases). In four cases participants' engagement also led to an increase in social cohesion at the community level and involved community members who usually had been excluded from decision-making (e.g., women, young people).

Nevertheless, some weaknesses in terms of stakeholder engagement were highlighted. The lack of diversity of stakeholders and the continuity of their involvement were recognized as constraints for the success of the participatory process (8 cases). Power relations between stakeholders are inherent in every SES so when the process fails to incorporate someone's voice, these relations and inequities might be hidden. Eight cases in fact reported limitations due to the low representativeness or absence of powerful stakeholders (e.g. industry, big landowners) and decision-makers that undermined the credibility of the process. Five cases reported biases due to the researchers' authority and voice undermining ownership of the process by stakeholders as a weakness. In three cases (#2, #3 and #4) the absence of powerless actors and especially gender discrimination in participation were reported as weaknesses because of the potential underrepresentation of power asymmetries. In two cases (#8 and #16), cultural barriers relating to indigenous people were pointed out as explanatory factors of limited engagement.

**Technical development**

The methodological and technical design of the PSP was key in engaging stakeholders in the process. Facilitating discussions among stakeholders on the drivers of change in each scenario and how to respond to them was the strength most frequently perceived (nine cases). Other strengths mentioned in this sense were: 1) the adaptability and dynamism of the design and the use of multiple approaches during the workshops; 2) the adoption of a systematic and/or interdisciplinary approach; 3) the exploration of comprehensive drivers, trade-offs (winners and losers) and values; and 4) the previous training of facilitators in scenario exercises.

However, some of the constraints for the success of PSP were related to the methods and tools used. In 11 cases PSP was recognized as expensive, not only in economic terms, but also in terms of time and energy consumption. Nine cases cited the lack of quantitative information, statistical and
data-based testing or modeling to support trends analysis as weaknesses. Five cases reported as a relevant weakness the unavoidable trade-off between the accuracy requested by the science base (which includes high complexity of scientific information) versus the social relevance of the process. In fact, some authors recognized that the methodological choices sometimes reflected the research purposes rather than the social learning objectives. In a few cases, linguistic and cultural barriers (three cases) as well as logistic and facilitation problems (six cases) hampered the process.

Outcomes

Nine cases highlighted the strong policy relevance of the findings and outcomes, since scenarios were used to discuss and guide implementation of potential adaptation strategies. In seven cases the inclusion of a diversity of worldviews in the results was mentioned as a strong direct added value of PSP. By contrast, in five cases, authors reported that the preferences, cultural attitudes or background of some participants or researchers might have biased the understanding of drivers (e.g. farmers' belief in fate's role shaping their daily life) and the way of thinking about the future (e.g. indigenous understanding of time and the future). The substantive results of the scenario analysis was perceived as too polarized in three cases and/or repetitive and limiting creativity and novelty in four cases due to the excess of guidance by researchers. A poor incorporation of drivers of change or indicators (e.g. for wellbeing analysis) were recognized as key weaknesses in five cases.

Similarities among case studies and associations between objectives, methods and lessons learned

Variables associated with methodological procedures were selected for the MCA (for the definition of the variables see Appendix 1). The first three axes presented an inertia above 0.15 and together explained 69.0% of the total variance (F1: 50.2%, F2: 12.2%, F3: 6.6%) (Appendix 10). The HCA of these three axes identified four groups of PSP studies, characterized by the particular techniques and methods used (Fig. 5). Cluster 1 corresponded to those case studies that performed desirability and vulnerability analysis, variables that are basically associated with negative scores of F1 and positive scores of F3, respectively. Cluster 2 is characterized by those PSP exercises that identified stakeholders and drivers of change before workshops, and developed back-casting during the participatory process. Cluster 2 is associated with positive scores of F1. Cluster 3 is comprised by those case studies that identified direct drivers of change prior to PSP and explicitly included uncertainty, being associated with positive scores of F2. Finally cluster 4 is characterized by case studies that used modeling as a quantitative technique after the workshop and monitoring processes, being associated with negative scores of F3. The abovementioned characteristics of each cluster are however not exclusive of the cases that are grouped under that
These results seem to indicate a connection between motivations for performing PSP, specific methods used and lessons learned in terms of learning process, stakeholder relationships fostered and management outcomes (Fig. 5 and Fig. 6). For example, cases of cluster 1 explicitly analyzed vulnerability in order to broaden the thinking of social actors about social-ecological systems and they also identified the stimulation of creative and complex thinking as a strength. Cases of cluster 2, through performing back-casting, aimed to understand the social and institutional mechanisms behind management decisions and they recognized insights for landscape management as a positive outcome. Cases of cluster 3 that explicitly incorporated uncertainty aimed to promote community-based solutions and recognized as a positive outcome to have engaged social actors that are unrepresented in decision-making. Finally, cases of cluster 4 aimed to facilitate sharing experiences among stakeholders in a creative and collaborative way. In this cluster, a complex understanding of the current situation and the co-learning process between scientists and non-academic stakeholders were highlighted by researchers as positive outcomes.

DISCUSSION: LESSONS LEARNED

Across the diversity of PSP cases reviewed in this paper and the experiences of the involved researchers, three main questions were addressed: How was PSP useful to participants and researchers? How did PSP contribute to decision-making? And what are common methodological challenges for PSP? We discuss each of these questions below, before concluding with some recommendations for the future research of PSP.

How was PSP useful to participants and researchers?

This review demonstrates that PSP almost always has a process function that promotes stakeholders' active engagement in place-based social-ecological research that is or can be linked to environmental decisions. Stakeholders' engagement in this type of research is a benefit because it contributes towards improving the equity, legitimacy, and quality of environmental decision making. Involving stakeholders in the research process through place-based PSP provides voice to multiple perspectives on social-ecological futures (Ravera et al. 2011a, Reed et al. 2013a, Mistry et al. 2014), which can potentially reduce power asymmetries and provide more equitable decision making. By including stakeholder responses in scenarios and across scenarios, PSP can also potentially increase
the legitimacy and acceptance of policy options across stakeholders involved in a process (e.g. Peterson et al. 2003b, Bohensky et al. 2011a, b, Ravera et al. 2011a). Further, by including knowledge and information from a diversity of sources the quality of scenarios and identified policy options can be increased (e.g. Hill et al. 2010, Palomo et al. 2011, Ravera et al. 2011a, Vilardy et al. 2011, Martin-Ortega et al. 2014), and innovative strategies and opportunities for collaboration among multiple stakeholders can be identified (Peterson 2006, Butler et al. in press).

Many of the examined cases demonstrate how PSP processes succeeded in increasing dialogue, resolving conflicts, producing outputs that otherwise were not possible and enhancing multiple learning outcomes between stakeholders, researchers and policy makers in natural resource management planning (e.g. Ravera et al. 2011a, Hamann et al. 2012, Oteros-Rozas et al. 2013, Plieninger et al. 2013, Martin-Ortega et al. 2014; Fig. 6). The scenario processes increased stakeholders' awareness of the existence of local and global drivers of change and threats, and the need for long-term planning to deal with such changes (Waylen et al. 2014). The scenario processes enabled collective reflections and discussions of potential policy options to deal with current and future environmental and socio-economic changes in SES. By enabling discussions and creating shared understanding, PSP can further facilitate mobilization of stakeholders to respond to newly identified threats or opportunities. New partnerships among actors might also be created or reinforced and new leaders emerge to address new issues of interest (Plieninger et al. 2013).

Finally, PSP can encourage complexity thinking (i.e. clusters 1 and 4) (e.g. Ravera et al. 2011a, Waylen et al. 2014), which is a key aspect of resilience (Biggs et al. 2015). By requiring participants to reflect upon and characterize their SES's internal dynamics, as well as how the SES interacts with external processes, the PSP enhances participants' social-ecological understanding, and integrates their qualitative, context-specific local knowledge of the system. Scenarios also engage participants in embracing uncertainty, surprises and contradictions (e.g. Oteros-Rozas et al. 2013, Butler et al. 2014a, Martin-Ortega et al. 2014). However, nearly half of the cases did not explicitly address uncertainty during the PSP. Greater attention to this aspect could enhance participants' learning.

**PSP content and outcomes contributing to decision-making**

By bridging multiple knowledge systems PSP can bring together and produce new knowledge for environmental decision making. PSP can enhance the ability of environmental decision making to engage with complexity. In our review the two dominant ways this occurred was first by exploring complex social-ecological trade-offs, and secondly by creating novel solutions. We outline each of
PSP has proved to be an arena where multiple knowledge systems interact (e.g. Palomo et al. 2011, Ravera et al. 2011b, Oteros-Rozas et al. 2013, Reed et al. 2013a) to co-create a new understanding of the present situation and shared visions of possible future developments. PSP can provide a platform that supports stakeholders from different knowledge-systems by enabling communication and interaction in order to co-produce synthetic social-ecological knowledge as well as co-design new environmental management strategies (Martín-López and Montes, in press). The new Intergovernmental Platform of Biodiversity and Ecosystem Services (IPBES) plans to bring together different knowledge systems in its global and regional assessments to co-produce knowledge and design management strategies to face the challenge of biodiversity and ecosystem services conservation (Têngő et al. 2014, Díaz et al. 2015). A participatory and interdisciplinary research process such as PSP can be seen as a parallel research process, helpful to complement and strengthen existing research based on non-participatory methods (Peterson et al. 2003a). Although, it is noticeable that PSP is a useful tool to explicitly combine local or traditional knowledge with technical knowledge (i.e. cluster 2; Fig. 6), greater attention to non-formal and indigenous governance may assist in effectively utilizing opportunities to engage multiple knowledge systems (Hill et al. 2012). This might be particularly important in regions of the world that are underrepresented within the group of cases assessed here, such as Africa and Asia.

PSP studies typically go beyond simplistic win-win assumptions (Daw et al. 2015). Rather, they acknowledge the multiplicity of ES, for instance, by explicitly considering the trade-offs around them. Trade-offs occur when the provision of one ES is reduced as a consequence of increased use of another service (Rodriguez et al. 2006), or due to certain practices or management techniques that enhance one ES while another one is decreased. They occur along various dimensions (Mouchet et al. 2014): 1) supply-supply (conflicts between simultaneously provided ES), 2) supply-demand (spatial or temporal lags between ES supply and social benefits), and 3) demand-demand (arbitration between different and divergent stakeholders' interests). In most of the cases reviewed here, a particular focus was set on different stakeholder groups that would benefit or lose from trends in ES supply in the respective scenarios, i.e. on demand-demand trade-offs. By this, PSP may foster the awareness for visible and invisible social conflicts and power relations around ES, which is an underdeveloped field in ES research (Sikor 2013). The inclusion of an explicit governance dimension in about half of our cases supports the usefulness of PSP to address key aspects of governance such as the influence of local vs. global-drivers of change; centralized government vs. collaborative governance; fragmented weak governance with and without innovators; and community vs. neoliberal orientations.
Another strength of PSP is that the participatory processes bring the research closer to a complex reality in order to support adaptive governance (Waylen et al. 2014.), as well as creativity, which is fundamental to promote resilience (Berkes et al. 2003) (see clusters 1 and 4, Fig. 6). On one hand, PSP leads to a focus on plausible futures to discuss concrete actions, strategies and policy options according to both scientific information, local knowledge and stakeholders’ perceptions of SES and its dynamics (Daw et al. 2015). On the other hand, PSP outputs, for example in the form of images, video and storylines, are also attractive and useful tools to engage wider sections of society, as well as to invite reflections about the future from the public (Sheppard et al. 2011).

Both pragmatism and creativity are fundamental to support adaptive governance and to promote resilience (Garmestani and Benson 2013). PSP’s capability to bring governance discussion and learning to the fore is useful given the recognition that governance is both a key determinant of humanity’s ability to respond to environmental change, and very challenging for a wide range of stakeholders to understand and incorporate in their analyses (Simon and Schiemer 2014). Furthermore, PSP provides data on locally perceived changes and impacts of possible futures that are useful in achieving a better and holistic understanding of the current, and future system’s conditions and dynamics at local and regional spatial and political scales (Butler et al. 2014a).

Challenges and opportunities

Our review identified four widely shared challenges in conducting PSP. The first is the tension between explorative and normative analysis. The second is navigating conflict among diverse unequal stakeholders. Third is the challenge of communicating with a diverse group, and fourth the challenge of assessing impact.

PSP processes usually contain an inherent tension between explorative and normative analysis of SES dynamics. In our review, while we found that the most reported approach to PSP was strictly explorative (Fig. 2A), many of the scenario names suggest that normative judgments were important. Carpenter et al. (2006) follow much scenario practice (Wack 1985, van der Heijden 2000) in arguing that scenario planning is most powerful when a small set of scenarios explore clear and striking differences. Normative scenarios are distinctive in their portrayal of futures that "should be" (e.g. Opdam et al. 2002) and they can inspire policy by providing images of landscapes that could meet societal goals (Nassuer and Corry 2004). Value judgments clearly have a role in generating the vivid and distinct choices that Carpenter et al. (2006) advocate, and our analysis suggests that it would be helpful to more explicitly discuss and present these value-choices in the scenario generation. This is particularly important because most scenarios conducted here were funded and conducted as sustainability science projects that are explicitly not value neutral but pro-sustainability, and consequently have specific normative frameworks which are assumed rather
than articulated (Abson et al. 2014). Articulating values is important because it enables them to be discussed, and used in deliberation or comparison of alternatives. However, value-laden discussions are often emotionally charged and require substantial efforts to manage in an effective participatory process.

The diversity of stakeholders and their inherent power dynamics within a PSP process can also present challenges and requires substantial investment in facilitation (Butler et al. in press). In these case studies PSP has usually been built upon previous research within the study region that has identified multiple actors shaping and impacted by the region's dynamics, which may explain the high diversity of stakeholders considered (Fig. 2D)(Kok et al. 2007). However, even if stakeholders identified as relevant in the SES usually match the actors involved in the PSP, some frequently remain absent, particularly industry representatives and indigenous people, hence possibly misrepresenting power relations that can be important within the SES dynamics. Therefore, if the aim is to co-construct future scenarios and share the pros and cons of each of them among the stakeholders involved, to conduct a systematic identification of stakeholders relevant to the SES and matching those with actors invited to the PSP is highly recommended. In addition, the high diversity of stakeholders necessary for inclusive participatory processes can trigger the appearance of social conflicts.

Communicating PSP results is another challenge shared across scenarios. Due to the requirement of engaging with a diverse set of stakeholders, communication requires careful thought and substantial effort. We recommend different types of outputs, from the common scientific outputs (i.e., papers and technical reports which pursue the academic audience and environmental and development technicians, respectively) to those outputs that combine the arts and science, such as posters, drawings, illustrations or videos (Fig. 2F). For example, in 'The role of visual arts as a communication tool in scenario planning' session performed at the Resilience 2014 conference in Montpellier (for more details, see http://ideas4sustainability.wordpress.com/2014/05/08/the-role-of-visual-arts-as-a-communication-tool-in-scenario-planning/), it was highlighted that artwork not only served as a tool for communicating PSP results, but also as a tool for facilitating communication among different stakeholders groups during the PSP process and afterwards. However, the role of art in PSP could be further explored and the results assessed.

While a goal of PSP is to promote action, it is challenging to produce evidence that PSPs have actually lead to management actions, new partnerships and collaborations between stakeholders, or social learning processes. This gap exists both because identifying the impact of interventions is difficult and our sampling strategy within the 23 case studies might not have been sufficient to record all outcomes, but also because monitoring and evaluation stages were largely missing in the
cases we assessed. The extent to which scenarios achieve outcomes is highly variable and often unknown due to a lack of formal mechanisms to evaluate outcomes (Fazey et al. 2014) and to the potential time lag between the end of the exercise and the delivery of certain outcomes. Thus broad claims of attribution between PSP and impacts cannot be clearly substantiated. Adopting an explicit adaptive management approach (Peterson et al. 2003a) or articulating a theory of change (Butler et al. in review) might assist with embedding PSP within larger and longer term projects that may help researchers to plan their projects and then formally evaluate their outcomes and impacts (Table 2). This would also facilitate the comparison and contrast between experiences, and would therefore enhance the opportunity to learn from and refine PSP methods. Some tools and potential questions to ask for evaluation and monitoring of PSP are provided in Table 2. Particularly, systematic long term monitoring and evaluation of PSP in other studies has shown that this approach can generate social innovation, collective action and encourage transitions to sustainability (Butler et al. in press). Comparative studies that allow for an assessment of impacts as well as the pros and cons of different methods within PSP to develop scenario quality criteria are therefore needed (Vliet et al. 2012). Project timescales and budgets need to allow for evaluation and monitoring.

Future of Participatory Scenario Planning

Participatory social-ecological scenario planning is increasingly used to explore ecosystem services in alternative futures. Furthermore, given the expectation that IPBES will produce a variety of global, regional and local biodiversity and ecosystem service assessments, its practice can be expected to increase further. While such scenarios enable diverse and qualitative knowledge about ecosystem services to be combined with quantitative models, it is currently difficult to compare and build upon specific scenario processes as they are wedded to particular people, times, and places. Based on this review we believe that there are a number of practical guidelines which could promote good practice for PSP and its practitioners.

As discussed, conducting participatory social-ecological scenarios is challenging, time consuming, and requires integrating diverse types of knowledge. The success of PSP processes can be increased by recognizing the challenges associated with them and planning accordingly. Consequently, PSP processes should be designed for multiple iterations that maintain focus, but use multiple methods and approaches to elect and reflect people’s definitions of system and theories of change. One of the ways of increasing the efficiency and policy relevance of this process is to build upon existing work, both in terms of future visions contained in official documents, other scenario processes, existing social-ecological networks, as well as existing ways that diverse stakeholders are connected to one another, through policy networks, NGOs, governments, education, or other social institutions. While all PSP processes should learn from previous work when starting a new project,
processes need to be planned for the particular social-ecological context in which it is occurring and be based on reflections about the potential consequences of every phase of the process for the participants and SES (Martín-López and Montes, in press).

We believe that the practice of PSP would be improved by building a community of practice that uses a portfolio of common methods, addresses shared issues, and shares results, methods, and challenges in a comparative way to improve the ability of PSP to bridge across scales and cases. The field of PSP is emergent, and connects many diverse actors across, within and outside of academia. Building such a community of practice should enable access to tools, ideas, and people. As such, PSP researchers should work on making their methods and results accessible, open access, and non-technical, but also be aware of other efforts that take a PSP approach. This paper is a step towards building such a community of practice, and we hope that both scientists and the larger IPBES community can act to promote the knowledge sharing, training, and translation that are needed to develop such a community.

CONCLUSION

PSP is an increasingly used approach in place-based social-ecological research, and has been applied with a wide diversity of methodological approaches, processes, outcomes and outputs. Across the 23 case studies assessed here, PSP enhanced stakeholder engagement and supported the diversity, equity, and legitimacy of environmental decision making. PSP also improved the quality of dialogue among stakeholders with complementary types of knowledge and has the potential to support creativity and social innovation. PSP also created new local understanding of the impacts of global and local environmental change that has the potential to lead to new partnerships among stakeholders. Finally, PSP also enhanced complexity thinking among participants, especially the ability to embrace uncertainty, surprise and contradictions. In addition, the scenarios produced by PSP can be disseminated to trigger engagement and reflection among the wider public.

However, despite these benefits PSP is time consuming and subject to particular challenges. First, balancing the normative and explorative aspects of PSP requires careful reflection of what values are being promoted or suppressed. Second, systematic short term process combined with evaluation and long term monitoring of impacts is often difficult since people and resources are rarely available for long term commitment. Third, the design of a PSP process needs to fit scientific goals as well as the local social-ecological context, the different types of knowledge and the way they are integrated.

Participatory social-ecological scenarios are increasingly used to explore ecosystem services in
alternative futures. Based on this review of cases, we believe that this method has enriched
environmental management and improved scientific understanding. To improve the future success of
PSP, including those evolving within IPBES, we suggest that scientists and practitioners engaged in
PSP should be more self-aware and build a community of practice to improve the quality of individual
PSP processes, as well as provide a platform for diverse, new groups of people to conduct PSP
processes that build on and improve current methods, tools, and processes. We hope that this
comparative assessment is a first step towards building such a community.

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849. farmers' decision making to map fine-scale land management adaptation to climate and socio-economic
852. direct and indirect effects of climate change on bundles of grassland ecosystem services.


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946. del Magdalena y Universidad Autónoma de Madrid. Santa Marta, Colombia.


Table 1. List of case studies analysed

<table>
<thead>
<tr>
<th>Number</th>
<th>Location</th>
<th>End year of PSP</th>
<th>Contributed by</th>
<th>Reference(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Canada, southwest Yukon Territory</td>
<td>2011</td>
<td>Dylan Beach</td>
<td>Beach and Clark 2015</td>
</tr>
<tr>
<td>2</td>
<td>Germany, Swbaian Alb, Römerstein and Owen municipalities</td>
<td>2012</td>
<td>Tobias Plieninger</td>
<td>Plieninger et al. 2013</td>
</tr>
<tr>
<td>4</td>
<td>Mexico, State of Oaxaca, Community of Santiago de Comaltepec</td>
<td>2014</td>
<td>Kerry Waylen &amp; Julia Martin-Ortega</td>
<td>Waylen et al., under review</td>
</tr>
<tr>
<td>5</td>
<td>Colombia, Valle de Cauca, Buenaventura, Communities of Alto y Medio Dagua, and Calima.</td>
<td>2014</td>
<td>Kerry Waylen &amp; Julia Martin-Ortega</td>
<td>Waylen et al., under review</td>
</tr>
<tr>
<td>6</td>
<td>Argentina, Monte Hermoso-Bahia Blanca Estuary region, Bahia Blanca, Punta Alta and Monte Hermoso.</td>
<td>2014</td>
<td>Kerry Waylen &amp; Julia Martin-Ortega</td>
<td>Waylen et al., under review</td>
</tr>
<tr>
<td>7</td>
<td>England, Peak District National Park and Nidderdale Area of Outstanding Beauty; and Scotland, Galloway</td>
<td>2010</td>
<td>Klaus Hubacek</td>
<td>Reed et al. 2013a, Reed et al. 2013b</td>
</tr>
<tr>
<td>8</td>
<td>Bolivia, Beni, Pilón Lajas Biosphere Reserve and Indigenous Territory, Tsimane' communities of Alto Corolado and San Luis Chico</td>
<td>2014</td>
<td>Isabel Ruiz-Mallén</td>
<td>Ruiz-Mallén et al., under review</td>
</tr>
<tr>
<td>9</td>
<td>Guyana, North Rupununi (District 9)</td>
<td>2012</td>
<td>Jay Mistry</td>
<td>Mistry et al. 2014.</td>
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<tr>
<td>10</td>
<td>Nicaragua, Miraflor- Moropoteante protected area, Department of Esteli, northern mountain region</td>
<td>2008</td>
<td>Ravera Federica</td>
<td>Ravera et al. 2011a, Ravera et al. 2011b</td>
</tr>
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<td>11</td>
<td>Australia, Queensland, Mission Beach</td>
<td>2008</td>
<td>Rosemary Hill</td>
<td>Pert et al. 2010, Hill et al. 2010</td>
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<td>12</td>
<td>Spain, transhumance in the Conquense Drove Road (CDR), Teruel, Cuenca, and Guadalajara provinces</td>
<td>2010</td>
<td>Elisa Oteros-Rozas, Berta Martin-López &amp; Ignacio Palomo</td>
<td>Oteros-Rozas et al. 2013</td>
</tr>
<tr>
<td>13</td>
<td>Colombia, Coastal zone of Magdalena Department, Ciénaga Grande de Santa Marta</td>
<td>2010</td>
<td>Sandra Vilardy, Berta Martin-López &amp; Elisa Oteros-Rozas</td>
<td>Vilardy et al. 2011</td>
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<tr>
<td></td>
<td>Country/Region</td>
<td>Year</td>
<td>Author(s)</td>
<td>References</td>
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<tr>
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<td>----------------------------------------------------</td>
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<td>------------------------------</td>
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<tr>
<td>14</td>
<td>Australia, Great Barrier Reef region, Mackay Whitsunday Isaac NRM region</td>
<td>2008</td>
<td>Iris Bohnet</td>
<td>---</td>
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<tr>
<td>15</td>
<td>Romania, Southern Transylvania</td>
<td>2013</td>
<td>Jan Hanspach</td>
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<td>16</td>
<td>USA, Wisconsin, Northern Highland Lakes</td>
<td>2003</td>
<td>Garry Peterson</td>
<td>Peterson et al. 2003b</td>
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<tr>
<td>17</td>
<td>Kenya, Coast and nearshore waters of Mombasa, Nyali landing site</td>
<td>2012</td>
<td>Tim Daw</td>
<td>Daw et al. 2015</td>
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<tr>
<td>20</td>
<td>Australia, Torres Strait Islands</td>
<td>2014</td>
<td>Erin Bohensky &amp; James Butler</td>
<td>Butler et al. 2011, Butler et al. 2012a, Butler et al. 2012b,c,d</td>
</tr>
<tr>
<td>21</td>
<td>Canada, eastern Ontario, Bonnechere River watershed</td>
<td>2012</td>
<td>Allyson Quinlan</td>
<td>Quinlan et al. in prep.</td>
</tr>
</tbody>
</table>
Fig. 1. World map of biomes (Olson, 2001) indicating the location of the 23 case studies explored.
Fig. 2. Histograms of the number of cases in each category of A) objectives, B) background information, C) number of participants, D) stakeholders’ diversity, E) topics’ discussed, and F) outputs.
Fig. 3. Photographs from PSP processes in four case studies (#10, #13, #17, #22).
Fig. 4. Examples of outreach material used for communicating scenarios results: (A) leaflet of the Ciénaga Grande of Santa Marta case in Colombia (#13); (B) postcard of the Southern Transylvania case in Romania (#15), (C) poster of the drawing of the four scenarios of the Papua New Guinea case (#18) and (D) poster of the social-ecological system of Doñana Protected Area case in Spain (#22).
Fig. 5. Clusters resulting from the HCA with the corresponding names of the case studies.
Fig. 6. Clusters resulting from HCA and the related motivation for the PSP process and the strengths identified in each group of case studies.

<table>
<thead>
<tr>
<th>Cluster 1</th>
<th>Cluster 2</th>
<th>Cluster 3</th>
<th>Cluster 4</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>ORIGINAL MOTIVATION FOR PERFORMING PSP</strong></td>
<td>To generate social learning and knowledge integration amongst multiple stakeholders</td>
<td>To explore the social and institutional mechanisms underpinning management decisions</td>
<td>To raise awareness of drivers of change</td>
</tr>
<tr>
<td></td>
<td>To broaden thinking about biodiversity and landscape management</td>
<td></td>
<td>To promote community owned solutions</td>
</tr>
<tr>
<td><strong>STRENGTHS</strong></td>
<td><strong>Leaning process</strong></td>
<td><strong>Fostering relationships among social actors</strong></td>
<td><strong>Management outcome</strong></td>
</tr>
<tr>
<td></td>
<td>Creative thinking</td>
<td>New relationships among stakeholders were fostered</td>
<td>Shared vision about the desired future</td>
</tr>
<tr>
<td></td>
<td>Systems thinking</td>
<td></td>
<td>Landscape planning</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Relationships between scientists, local stakeholders and managers were strengthened</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Power asymmetries were balanced</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Understanding of different stakeholders’ viewpoints</td>
<td></td>
</tr>
</tbody>
</table>

**Cluster 1**

**Cluster 2**

**Cluster 3**

**Cluster 4**

**Dissimilarity**

- 3.5
- 3.0
- 2.5
- 2.0
- 1.5
- 1.0
- 0.5
- 0.0

**Clusters**

- Cluster 1
- Cluster 2
- Cluster 3
- Cluster 4
Appendix 1. Variables explored in all case studies.

<table>
<thead>
<tr>
<th>Features</th>
<th>Variables</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>0. Case details</td>
<td>Case study / Title</td>
<td>Please give a title to your case study</td>
</tr>
<tr>
<td></td>
<td>Contributed by</td>
<td>Name of person(s) who filled out this survey?</td>
</tr>
<tr>
<td></td>
<td>Role of contributor</td>
<td>What role did the person(s) who filled out this survey have in the process?</td>
</tr>
<tr>
<td></td>
<td>Reference(s)</td>
<td>DOI or URL of any documentation of the scenarios</td>
</tr>
<tr>
<td>1. Context and case identity</td>
<td>Location</td>
<td>Country + area/state/region, village/city/municipality/community</td>
</tr>
<tr>
<td></td>
<td>Scale</td>
<td>At which scale were the scenarios created (e.g., local community, municipality, watershed, regional)? Did you explicitly include processes at multiple scales?</td>
</tr>
<tr>
<td></td>
<td>Definition of scale and boundaries</td>
<td>How were scales and boundaries of system defined? Who defined them?</td>
</tr>
<tr>
<td></td>
<td>Ecological context</td>
<td>Please indicate what is the ecoregion according to Olson, et al. 2001. Terrestrial Ecoregions of the world: a new map of life on Earth. Bioscience 51(11):933-938. What are the main ecosystems present in the SES? Is it included or are there protected areas? If so please indicate name and type of protection.</td>
</tr>
<tr>
<td></td>
<td>Governance/Institutional context</td>
<td>What are the most relevant institutions operating in the SES? (e.g. community council, community non-paid activities, guerrilla and/or paramilitarism, municipality, watershed management institution, regional government, National Park, NGOs, European Common Agricultural Policy, mining/fishery/timber/meat market, REDD+/PES schemes, etc.). This might</td>
</tr>
</tbody>
</table>
be extremely complex but we do not seek for a detailed institutional description of the SES, therefore please refer to the most relevant institutions within the future scenario context in the study area, taking into account this information is meant to be useful mostly to discuss which kind of approaches might be useful in which institutional contexts.

<table>
<thead>
<tr>
<th>Socio-economic context</th>
<th>What are the main livelihoods/economic sectors in the SES?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Focus of the scenario planning</td>
<td>Was there a specific focus in the scenario planning? (e.g. Water management, transhumance, biodiversity conservation, problems/challenges, etc.). Distinguish between issue-based, area-based, and institution-based (van Noten et al. (2013))</td>
</tr>
<tr>
<td>Main stakeholders in the SES</td>
<td>What are the main stakeholders in the SES? Please specify from local/internal (e.g. the commoners, the mayor, the priest, the president of the shepherds association, the intermediaries buying the meat/timber, etc.) to external and/or global scales (e.g. external logging and mining companies, an international development cooperation agency)?</td>
</tr>
<tr>
<td>Definition of main stakeholders in the SES</td>
<td>How were these stakeholders identified and by whom?</td>
</tr>
<tr>
<td>Project (Research/Action) context</td>
<td>Was the scenario planning embedded on a wider project or a project on itself? What were the aims of the wider project? (e.g. to evaluate the ES provided by the social-ecological network related to the practice of transhumance, to identify sustainable community-based governance models for the management of natural resources, etc.) How long did the whole project last?</td>
</tr>
<tr>
<td>Resource for scenario planning</td>
<td>To what extent did scenario planning count on human and financial resources?</td>
</tr>
</tbody>
</table>
Extensive (more than 50,000 euro, more than two people hired, more than one year) or limited (less than 50,000 euros, less than two people hired, less than one year).

<table>
<thead>
<tr>
<th>Year</th>
<th>When were the scenarios created?</th>
</tr>
</thead>
<tbody>
<tr>
<td>2. Objectives</td>
<td>General objective</td>
</tr>
<tr>
<td></td>
<td>What were the overall objectives of the project/process? Please describe. Identify as: descriptive and/or normative, exploratory and/or pre-policy, process and/or product (van Notten, 2005).</td>
</tr>
<tr>
<td></td>
<td>Specific objectives</td>
</tr>
<tr>
<td></td>
<td>What objectives had the research team in mind? E.g. scenarios were used to get people to think about relationships and possible future they haven't been including in decisions, to evaluate the robustness of alternative polices across different futures, to give policy insights, etc. What objectives had the stakeholders? Was there any process to build shared objectives?</td>
</tr>
<tr>
<td></td>
<td>Motivation for choosing participatory scenario planning tool?</td>
</tr>
<tr>
<td></td>
<td>Why were scenarios chosen to be applied in this case?</td>
</tr>
</tbody>
</table>

3. Methodological approach

<table>
<thead>
<tr>
<th>Background information sources</th>
</tr>
</thead>
<tbody>
<tr>
<td>How was background information (e.g. Interviews, data bases, surveys… that support the scenario creation) obtained (sources and processes)? How was it used? What was the main reason for obtaining background information?</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Background information use</th>
</tr>
</thead>
</table>
| How did this information support the scenario planning? How was it integrated into the scenarios? (e.g. the drivers of change identified in previous interviews and surveys were used by the research team to select the 3/4 guidelines of each scenario, data about impact of climate change in the area was used as guidelines for scenarios,...). What motivated this
<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Guidelines or examples used by team</td>
<td>Did the team base the process on previous processes or published guidelines? Where did they get inspiration from? Please add references if possible/necessary. What motivated this choice?</td>
</tr>
<tr>
<td>Process for the identification of drivers of change</td>
<td>E.g. Surveys, workshop, data bases, experts, research team… (non exclusive). What motivated this choice?</td>
</tr>
<tr>
<td>Use of the drivers of change to create the scenarios</td>
<td>How were the drivers identified used? What motivated this choice?</td>
</tr>
<tr>
<td>Number of drivers of change identified</td>
<td>How many drivers of change were identified? Were they ranked (e.g. According to their relevance, to the probability that they affect the SES, to the vulnerability of the SES to them, etc.)</td>
</tr>
<tr>
<td>Specific drivers of change identified</td>
<td>Please specify (direct and indirect). A direct driver unequivocally influences ecosystem processes. Important direct drivers include climate change, pollution, overexploitation, land conversion leading to habitat change, overexploitation, and invasive species and diseases. An indirect driver operates more diffusely, by altering one or more direct drivers. Important indirect drivers are changes in population/demography, economic activities, socio-political, scientific and technological, and cultural and religious factors (Millennium Ecosystem Assessment definitions).</td>
</tr>
<tr>
<td>Type of scenario design</td>
<td>e.g. A priori, driven by participants, with a modelling component, mixed approaches… What motivated this choice?</td>
</tr>
</tbody>
</table>
| Criteria for prioritization of drivers                                 | e.g. Vulnerability towards the driver, impact of the driver, likelihood of the
<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
<tbody>
<tr>
<td>of change as guidelines for scenarios</td>
<td>driver, uncertainty of the driver, capacity to exert influence on the drive… What motivated this choice?</td>
</tr>
<tr>
<td>Time span (year projected)</td>
<td>What year was the end projection of the scenarios? What was the timespan of the scenarios? What motivated this choice?</td>
</tr>
<tr>
<td>Number of scenarios designed</td>
<td>How many scenarios were created? Where were scenarios created that were not used in the end? Why? What motivated this choice?</td>
</tr>
<tr>
<td>4. Methodological process</td>
<td>Previous information given to participants involved in scenario design: Yes/ no. How/when was the information given? E.g. potential modelled impacts of climate change or depletion of resources in the area, influence of the focus practice (in the case of transhumance for instance) on the social-ecological system, brief history of scenario planning and its uses, etc.</td>
</tr>
<tr>
<td></td>
<td>Previous relation of researchers with participants: What engagement did research team have with participants beforehand (e.g. information, scenario co-design, planning co-design with scenarios as part, etc.)</td>
</tr>
<tr>
<td></td>
<td>Duration of the process: How long did the whole scenario process last? How long did the participatory scenario activity last? How many workshops were carried out? How many hours of work of participants? How much time passed between workshops if several? Did the same participants come to all the workshops (continuity)?</td>
</tr>
<tr>
<td></td>
<td>Phases/structure of the participatory design of scenarios (scenario activity): At what point were stakeholders brought into the process? In which stages of the process were participants involved? E.g. only envisioning, past+envisioning, envisioning+back-casting…</td>
</tr>
<tr>
<td></td>
<td>Methodological tools for each phase during the scenario creation: E.g. Individual reflections, small group discussions, maps, miniatures, cards, collages, drawings, mental models, quantitative models…</td>
</tr>
<tr>
<td>Topic</td>
<td>Question</td>
</tr>
<tr>
<td>--------------------------------------------------</td>
<td>------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Back-casting</td>
<td>Yes/no. How was the back-casting developed?</td>
</tr>
<tr>
<td>Presentation of results to participants</td>
<td>Yes/no. When and how were the results presented to participants?</td>
</tr>
<tr>
<td>Feedback (Validation)</td>
<td>Yes/no. Was there a validation of scenarios outputs by participants? I.e. were scenarios checked to see if participants/stakeholders thought they were credible? If so, how was it carried out? Who did it? Was this taken into consideration (e.g. scenarios updated)?</td>
</tr>
<tr>
<td>Storyline type</td>
<td>Qualitative/quantitative/mixed? How were the narratives built?</td>
</tr>
<tr>
<td>Storyline spatially explicit</td>
<td>Yes/no. How?</td>
</tr>
<tr>
<td>Storyline with intermediate time-frames</td>
<td>Yes/no. What timing?</td>
</tr>
<tr>
<td>Conflicts emerged</td>
<td>Were there any conflicts during the participatory process? Did conflicts emerged within/between commissioners/researches/participants/...? Was the process designed to address conflicts? Did the participatory process help handling the conflicts? How were they handled? Were these conflicts recognised for the first time, or were there any previously-acknowledged conflicts? Did these conflicts affect the outcomes?</td>
</tr>
<tr>
<td>Process of participant's selection</td>
<td>How were participants selected (any specific method)? Who decided whom to invite? How were participants invited (email, telephone, letter, personal contact, news advertisement)? Did participants receive any compensation/reward for their participation? If so, what was it? Was there a limit to the number of...</td>
</tr>
<tr>
<td>Question</td>
<td>Answer</td>
</tr>
<tr>
<td>-------------------------------------------------------------------------</td>
<td>------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Number of participants</td>
<td>How many participants were invited? How many participated? Min/Max group size.</td>
</tr>
<tr>
<td>Types of participants</td>
<td>Who was (not) invited to participate? Governance level of participants (e.g. Primary/secondary stakeholders, resource users or managers) Was any key stakeholder missing from the process? If so, why?</td>
</tr>
<tr>
<td>Number of facilitators</td>
<td>Number of facilitators and ratio of facilitators/participants</td>
</tr>
<tr>
<td>Types of facilitators</td>
<td>Were they the researchers or professionals? If the researchers acted as facilitators, were they trained? Did they have previous experience of scenario planning?</td>
</tr>
<tr>
<td>Post-workshop data analysis</td>
<td>How was the data obtained from scenario exercise analysed? What role played the research team? What role played the participants? E.g. summaries of storylines (when necessary, for example for a paper), analysis of semi-qualitative information such as trends of ES in the scenarios analysed (e.g. represented in graph), weighted ranking of measures/actions suggested in the back-casting according to the quantitative priority participants have given them...</td>
</tr>
<tr>
<td>Uncertainty</td>
<td>Was uncertainty explicitly addressed during the process? If so, how?</td>
</tr>
<tr>
<td>Vulnerability</td>
<td>Was vulnerability explicitly addressed during the process? If so, how? E.g. In the evaluation of the scenarios, we addressed the trend followed by ES, the trend in different dimensions of human well-being, the food security of the SES and the vulnerability of the SES in each scenario.</td>
</tr>
</tbody>
</table>

...
<table>
<thead>
<tr>
<th><strong>Desirability</strong></th>
<th>Was desirability explicitly addressed during the process? If so, how? (e.g. was there a completely desired scenario, without guidelines?)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>5. Content of scenarios</strong></td>
<td><strong>Guidelines given</strong> If you gave a few guidelines of each scenario from which the participants had to develop the rest of it, what were the guidelines of each of the scenarios? Or you were inspired (&quot;hardly or softly&quot;) by previous general/high-level scenarios, please also refer to them.</td>
</tr>
<tr>
<td><strong>Scenario names</strong></td>
<td>Names of each scenario. If there were names given by the research team and names given by participants, please mention both making the difference. How were the names chosen?</td>
</tr>
<tr>
<td><strong>Characteristics of storylines</strong></td>
<td>Briefly summarize each scenario (50 words per scenario)</td>
</tr>
<tr>
<td><strong>Ecosystem Services</strong></td>
<td>Were ES explicitly discussed or was the ES framework somehow used? Yes/no. If so, how? (not all cases might have explicitly addressed ES)</td>
</tr>
<tr>
<td><strong>Biodiversity</strong></td>
<td>Was biodiversity explicitly addressed? Yes/no. If so how? (e.g. Conservation, challenges…)</td>
</tr>
<tr>
<td><strong>Human well-being</strong></td>
<td>Was human well-being explicitly addressed? If so how?</td>
</tr>
<tr>
<td><strong>Trade-offs and synergies</strong></td>
<td>Did the process explicitly explore trade-offs and synergies with participants? Of what? (e.g. between action/policy insights, ecosystem services, human well-being dimensions…)</td>
</tr>
<tr>
<td><strong>6. Outputs</strong></td>
<td><strong>Collages</strong> Yes/no. How? Why (motivation to do it)? Who did them? Did participants collaborated in the production? If so, how? What was the target: a) the community/stakeholders involved in</td>
</tr>
</tbody>
</table>
process? b) external stakeholders relevant to the system e.g. policy? c) scientific audiences?

**Drawings**

Yes/no. How? Why (motivation to do it)? Who?

**Leaflets/postcards**

Yes/no. How? Why (motivation to do it)? Who did them? Did participants collaborated in the production? If so, how? What was the target: a) the community/stakeholders involved in process? b) external stakeholders relevant to the system e.g. policy? c) scientific audiences?

**Posters**

Yes/no. How? Why (motivation to do it)? Who did them? Did participants collaborated in the production? If so, how? What was the target: a) the community/stakeholders involved in process? b) external stakeholders relevant to the system e.g. policy? c) scientific audiences?

**Scientific publications**

Yes/no. How? Why (motivation to do it)? Who did them? Did participants collaborated in the production? If so, how? What was the target: a) the community/stakeholders involved in process? b) external stakeholders relevant to the system e.g. policy? c) scientific audiences?

**Reports**

Yes/no. How? Why (motivation to do it)? Who did them? Did participants collaborated in the production? If so, how? What was the target: a) the community/stakeholders involved in process? b) external stakeholders relevant to the system e.g. policy? c) scientific audiences?

**Illustrations**

Yes/no. How? Why (motivation to do it)? Who did them? Did participants collaborated in the production? If so, how? What was the target: a) the
| Videos | Yes/no. How? Why (motivation to do it)? Who did them? Did participants collaborated in the production? If so, how? What was the target: a) the community/stakeholders involved in process? b) external stakeholders relevant to the system e.g. policy? c) scientific audiences? |
| 7. Outcomes | Monitoring of evolution/impacts | Yes/No. How was/is/will be the monitoring developed? What are/were/will be the metrics of success? Who does/has done/will do the monitoring? |
|  | Short-term impacts on local and wider scales | What are/have been the impacts on the local/wider scales in the short term? How were the scenarios used by participants? Has there been any implementation of the scenario results (and therefore an impact in decision-making)? Has there been a process of learning by stakeholders (e.g. making them more oriented to long-term thinking or willing to integrate uncertainty in future thinking/planning)? |
|  | Long-term impacts on local and wider scales | What are/have been the impacts on the local/wider scales in the long term? How were the scenarios used by participants? Has there been any implementation of the scenario results (and therefore an impact in decision-making)? Has there been a process of learning by stakeholders (e.g. making them more oriented to long-term thinking or willing to integrate uncertainty in future thinking/planning)? |
| Evaluation | Was there any evaluation of the approach/process of scenario planning? |
What were the criteria/questions used to evaluate? How (methods used) was the evaluation done? Who did the evaluation (only internal within researcher or with participants?)?

<table>
<thead>
<tr>
<th>8. Lessons learnt</th>
<th>Weaknesses/Limitations</th>
<th>Please mention at least five weaknesses of your approach and process</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Strengths/Potentials</td>
<td>Please mention at least five strengths of your approach and process. E.g. Did the scenarios act as an effective boundary object? Did they lower knowledge asymmetry? Did they build community cohesion?</td>
</tr>
<tr>
<td></td>
<td>General reflections on what scenarios added to this process/project</td>
<td>Perhaps a free text field. This might flag up some fruitful ideas for the discussion. E.g. Has the project enabled system thinking? Did it help build consensus? Changes on collective thinking on the governance system?</td>
</tr>
<tr>
<td></td>
<td>Key insights</td>
<td>E.g. Did the scenarios act as an effective boundary object? Did they lower knowledge asymmetry? Did they build community cohesion? Was there a tendency for scenarios to gravitate to extremes/simplifications, perhaps due to cognitive biases?</td>
</tr>
<tr>
<td></td>
<td>Other comments</td>
<td>E.g. Did the scenarios act as an effective boundary object? Did they lower knowledge asymmetry? Did they build community cohesion? Was there a tendency for scenarios to gravitate to extremes/simplifications, perhaps due to cognitive biases?</td>
</tr>
</tbody>
</table>
### Appendix 2. Case context and identity.

<table>
<thead>
<tr>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
</table>

#### 1. Geographical spread and Ecoregions

**World regions**

<table>
<thead>
<tr>
<th>Region</th>
<th>%</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Latin America</td>
<td>30</td>
<td>7</td>
</tr>
<tr>
<td>Europe</td>
<td>26</td>
<td>6</td>
</tr>
<tr>
<td>North America</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Australia</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Africa</td>
<td>9</td>
<td>2</td>
</tr>
<tr>
<td>Asia</td>
<td>9</td>
<td>2</td>
</tr>
</tbody>
</table>

**Ecoregions and protected areas**

<table>
<thead>
<tr>
<th>Ecoregion</th>
<th>%</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tropical and subtropical moist broadleaf forest</td>
<td>30</td>
<td>7</td>
</tr>
<tr>
<td>Tropical and subtropical dry broadleaf forest</td>
<td>9</td>
<td>2</td>
</tr>
<tr>
<td>Tropical and subtropical coniferous forest</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>Temperate broadleaf and mixed forest</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Temperate coniferous forest</td>
<td>9</td>
<td>2</td>
</tr>
<tr>
<td>Boreal forest/taiga</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>Tropical and subtropical grasslands Savannahs and shrub lands</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Temperate grasslands, savannas and shrub-lands</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>Flooded grasslands and savannahs</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>Montane grasslands and shrub-lands</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Tundra</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Mediterranean forest, woodlands and shrubs</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Desert and xeric shrublands</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>Mangroves</td>
<td>4</td>
<td>1</td>
</tr>
</tbody>
</table>
## 2. Scales and boundaries

**Scales**

<table>
<thead>
<tr>
<th>Type of scale (0 = admin; 1 = natural feature)</th>
<th>43</th>
<th>10</th>
</tr>
</thead>
<tbody>
<tr>
<td>Includes local scale</td>
<td>91</td>
<td>21</td>
</tr>
<tr>
<td>Includes regional scale and higher</td>
<td>43</td>
<td>10</td>
</tr>
<tr>
<td>Multi-scale explicitly addressed</td>
<td>26</td>
<td>6</td>
</tr>
</tbody>
</table>

**Boundaries**

<table>
<thead>
<tr>
<th>Boundaries determined by natural features</th>
<th>43</th>
<th>10</th>
</tr>
</thead>
<tbody>
<tr>
<td>Political boundaries</td>
<td>48</td>
<td>11</td>
</tr>
<tr>
<td>Boundaries specifically selected for the research, i.e. neither political nor natural</td>
<td>39</td>
<td>9</td>
</tr>
</tbody>
</table>

## 3. Governance and institutional context and livelihoods

**Stakeholders part of the governance setting**

<table>
<thead>
<tr>
<th>Supranational governmental institutions (e.g. international organizations, EU, international trade agreements)</th>
<th>35</th>
<th>8</th>
</tr>
</thead>
<tbody>
<tr>
<td>National &amp; regional institutions involved</td>
<td>87</td>
<td>20</td>
</tr>
<tr>
<td>Local &amp; municipal government involved</td>
<td>96</td>
<td>22</td>
</tr>
<tr>
<td>Community councils, tribal &amp; indigenous organizations involved</td>
<td>70</td>
<td>16</td>
</tr>
<tr>
<td>Conservation groups, NGOs, co-management groups, Natural resources management regulatory agencies (incl. park authorities)</td>
<td>96</td>
<td>22</td>
</tr>
<tr>
<td>Resources industries (fishing, mining, palm oil, etc.)</td>
<td>61</td>
<td>14</td>
</tr>
<tr>
<td>Criminal groups and guerrilla</td>
<td>9</td>
<td>2</td>
</tr>
</tbody>
</table>

**Economic sectors**

| Resource industry (fishing, mining, palm oil, timber)                                                     | 48 | 11 |
4. Background information on the scenario process

Focus of the scenario process

 Issue-based only (includes institution-based) (0 = other than issue based or issue based and other, 1 = only issue based) 43 10
 Area-based only (0 = other area based or area-based and other ; 1 = only area based) 13 3
 Both issue and area based 43 10
 Type of issue-based (conservation, biodiversity, wildlife) (0 = no conservation focus, 1 = yes) 52 12
 Type of issue-based (natural resources management, development and climate change adaptation) (0 = no management focus, 1 = yes) 83 19

Main stakeholders involved in the scenario process

 Main stakeholders involved in the scenario process includes national government 30 7
 Main stakeholders involved in the scenario process includes regional government 48 11
 Main stakeholders involved in the scenario process includes local government 52 12
 Main stakeholders involved in the scenario process includes community council, tribal indigenous leaders 70 16
 Main stakeholders involved in the scenario process includes co-management groups, NGOs, natural resources agencies 87 20
 Main stakeholders involved in the scenario process includes resources industry 48 11
 Main stakeholders involved in the scenario process includes other stakeholders 13 3
Who/how stakeholders were identified

| Identification and classification by researchers only (0 = not by the researcher or by researchers with input from others, 1 = by researchers only) | 39 | 9 |
| Jointly identification with (or input from) local stakeholders (0 = identified without input from stakeholders, 1 = with input from stakeholders) | 61 | 14 |
| Specific method was used for identifying stakeholders (e.g. network analysis, snowballing, etc.) | 48 | 11 |

Project and resources

| Part of larger project | 91 | 21 |
| Resource for scenario planning (0 = limited; 1 = extensive) | 61 | 14 |
| Were resources enough for achieving goals | 91 | 21 |

End year of the study

| Year | 2014 | 26 | 6 |
| Year | 2013 | 9 | 2 |
| Year | 2012 | 30 | 7 |
| Year | 2011 | 4 | 1 |
| Year | 2010 | 17 | 4 |
| Year | 2009 | 4 | 1 |
| Year | 2008 | 4 | 1 |
| Year | 2003 | 4 | 1 |
## Appendix 3. Subject and objectives of the PSP exercise.

<table>
<thead>
<tr>
<th>Category</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>1. Objectives according to van Notten’s (2003) typology</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Goal</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Only exploratory</td>
<td>39</td>
<td>9</td>
</tr>
<tr>
<td>Only pre-policy</td>
<td>26</td>
<td>6</td>
</tr>
<tr>
<td>Exploratory and pre-policy</td>
<td>35</td>
<td>8</td>
</tr>
<tr>
<td><strong>Values</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Only descriptive</td>
<td>46</td>
<td>10</td>
</tr>
<tr>
<td>Only normative</td>
<td>18</td>
<td>4</td>
</tr>
<tr>
<td>Descriptive and normative</td>
<td>36</td>
<td>8</td>
</tr>
<tr>
<td><strong>2. Objectives according to categories emerging from our data</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Complementary research</td>
<td>22</td>
<td>5</td>
</tr>
<tr>
<td>Awareness raising</td>
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<td>3</td>
</tr>
<tr>
<td>Social learning</td>
<td>26</td>
<td>6</td>
</tr>
<tr>
<td>Decision support</td>
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<td>9</td>
</tr>
<tr>
<td><strong>Goal</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Only exploratory</td>
<td>39</td>
<td>9</td>
</tr>
<tr>
<td>Only pre-policy</td>
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<td>6</td>
</tr>
<tr>
<td>Exploratory and pre-policy</td>
<td>35</td>
<td>8</td>
</tr>
<tr>
<td><strong>Values</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Only descriptive</td>
<td>46</td>
<td>10</td>
</tr>
<tr>
<td>Only normative</td>
<td>18</td>
<td>4</td>
</tr>
<tr>
<td>Descriptive and normative</td>
<td>36</td>
<td>8</td>
</tr>
<tr>
<td>Function</td>
<td>Count1</td>
<td>Count2</td>
</tr>
<tr>
<td>------------------------------</td>
<td>--------</td>
<td>--------</td>
</tr>
<tr>
<td>Only as a process</td>
<td>36</td>
<td>8</td>
</tr>
<tr>
<td>Only as a product</td>
<td>9</td>
<td>2</td>
</tr>
<tr>
<td>Process and product</td>
<td>55</td>
<td>12</td>
</tr>
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</table>

1. Background information source

<table>
<thead>
<tr>
<th>Was background information collected?</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>100</td>
<td>23</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>When was background information collected (one case collected information both before and after)?</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Before</td>
<td>87</td>
<td>20</td>
</tr>
<tr>
<td>After</td>
<td>17</td>
<td>4</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>How was background information collected?</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Desk research (e.g. literature search, public sources, census data)</td>
<td>57</td>
<td>13</td>
</tr>
<tr>
<td>Part of larger project</td>
<td>22</td>
<td>5</td>
</tr>
<tr>
<td>Participatory process (workshops, interviews, focus groups etc.)</td>
<td>52</td>
<td>12</td>
</tr>
<tr>
<td>Expert knowledge (e.g. expert workshops)</td>
<td>30</td>
<td>7</td>
</tr>
<tr>
<td>Different types of analysis by researchers (e.g. climate projections, morphological analysis, social metabolism analysis)</td>
<td>35</td>
<td>8</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>How was background information used/reason to use it?</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fact check</td>
<td>22</td>
<td>5</td>
</tr>
<tr>
<td>Expand participants comments, flesh out scenarios</td>
<td>43</td>
<td>10</td>
</tr>
<tr>
<td>To prepare researchers/organisations of workshop/design workshop</td>
<td>70</td>
<td>16</td>
</tr>
<tr>
<td>Identify key variables/drivers/shocks</td>
<td>52</td>
<td>12</td>
</tr>
<tr>
<td>Back-casting</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Map system and change</td>
<td>22</td>
<td>5</td>
</tr>
<tr>
<td>Identify stakeholders</td>
<td>22</td>
<td>5</td>
</tr>
</tbody>
</table>

2. Background information use

<table>
<thead>
<tr>
<th>How did background information support scenario planning?</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Information, inspiration for organisers of workshop</td>
<td>43</td>
<td>10</td>
</tr>
<tr>
<td>Reflect on/select drivers, key-variables, power relations, land change</td>
<td>30</td>
<td>7</td>
</tr>
<tr>
<td>Background for stakeholders</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Inspire discussion</td>
<td>43</td>
<td>10</td>
</tr>
</tbody>
</table>

(con’d)
<table>
<thead>
<tr>
<th>Activity</th>
<th>Count</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Find stakeholders</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>Build/support models</td>
<td>35</td>
<td>8</td>
</tr>
<tr>
<td>Context, timeline</td>
<td>30</td>
<td>7</td>
</tr>
</tbody>
</table>

**Was background information integrated in the scenario building?**

<table>
<thead>
<tr>
<th>Response</th>
<th>Count</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>78</td>
<td>18</td>
</tr>
<tr>
<td>No</td>
<td>22</td>
<td>5</td>
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</tbody>
</table>

**How was background information integrated into the scenario building?**

<table>
<thead>
<tr>
<th>Method</th>
<th>Count</th>
<th>%</th>
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<tbody>
<tr>
<td>Using archetypes</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Guidelines</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Context, relationships</td>
<td>30</td>
<td>7</td>
</tr>
<tr>
<td>Drivers</td>
<td>43</td>
<td>10</td>
</tr>
</tbody>
</table>

**What motivated how/if background information was used?**

<table>
<thead>
<tr>
<th>Motivation</th>
<th>Count</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Context</td>
<td>43</td>
<td>10</td>
</tr>
<tr>
<td>Not constrain creation</td>
<td>9</td>
<td>2</td>
</tr>
<tr>
<td>Connect with previous project</td>
<td>26</td>
<td>6</td>
</tr>
<tr>
<td>Time</td>
<td>26</td>
<td>6</td>
</tr>
<tr>
<td>Inform debate</td>
<td>30</td>
<td>7</td>
</tr>
<tr>
<td>Find stakeholders</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Design workshops</td>
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<td>5</td>
</tr>
<tr>
<td>Consistent</td>
<td>30</td>
<td>7</td>
</tr>
<tr>
<td>Ensure integrative process</td>
<td>48</td>
<td>11</td>
</tr>
</tbody>
</table>

**How long did it take until final scenarios where done (months)?**

<table>
<thead>
<tr>
<th>Duration</th>
<th>Count</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>0-5</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>6-10</td>
<td>35</td>
<td>8</td>
</tr>
<tr>
<td>11-15</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>16-20</td>
<td>9</td>
<td>2</td>
</tr>
<tr>
<td>&gt;20</td>
<td>22</td>
<td>5</td>
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</tbody>
</table>

3. Did the team base the process on previous processes or published guidelines?

(con'd)
Did the team base the process on previous processes or published guidelines?

<table>
<thead>
<tr>
<th></th>
<th>Process</th>
<th>Published</th>
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<tbody>
<tr>
<td>Previous published guidelines</td>
<td>100</td>
<td>23</td>
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<tr>
<td>Previous process</td>
<td>78</td>
<td>18</td>
</tr>
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</table>

4. Process for identification of drivers of change

Process for identification of drivers of change

<table>
<thead>
<tr>
<th></th>
<th>Process</th>
<th>Published</th>
</tr>
</thead>
<tbody>
<tr>
<td>Participatory process:</td>
<td>91</td>
<td>21</td>
</tr>
<tr>
<td>Focus groups</td>
<td>30</td>
<td>7</td>
</tr>
<tr>
<td>Workshops</td>
<td>74</td>
<td>17</td>
</tr>
<tr>
<td>In depth interviews</td>
<td>30</td>
<td>7</td>
</tr>
<tr>
<td>Surveys</td>
<td>9</td>
<td>2</td>
</tr>
<tr>
<td>External (external to the participatory process):</td>
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<td>14</td>
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<tr>
<td>Researchers notes, proposed by researchers</td>
<td>43</td>
<td>10</td>
</tr>
<tr>
<td>Previous research/lit review</td>
<td>48</td>
<td>11</td>
</tr>
<tr>
<td>Predefined by project scope, predefined categories</td>
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<td>4</td>
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5. Use of drivers of change for scenarios\(^\text{[1]}\)

Use of drivers of change

<table>
<thead>
<tr>
<th></th>
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<th>Published</th>
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</thead>
<tbody>
<tr>
<td>Morpho-matrix</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>2 axes=4 scenarios</td>
<td>43</td>
<td>10</td>
</tr>
<tr>
<td>Uncertainty scenarios</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Hunt's archetypes</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>To elicit responses</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Drive models for forecasts</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>ABM (agent based models)</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>Flesh out storylines, basis and breath of storylines</td>
<td>65</td>
<td>15</td>
</tr>
<tr>
<td>NA</td>
<td>9</td>
<td>2</td>
</tr>
</tbody>
</table>

6. How many drivers where identified?

How many drivers where identified?

<table>
<thead>
<tr>
<th></th>
<th>Process</th>
<th>Published</th>
</tr>
</thead>
<tbody>
<tr>
<td>0-10</td>
<td>43</td>
<td>10</td>
</tr>
<tr>
<td>11-20</td>
<td>26</td>
<td>6</td>
</tr>
</tbody>
</table>

(con'd)
<table>
<thead>
<tr>
<th>21-30</th>
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<th>1</th>
</tr>
</thead>
<tbody>
<tr>
<td>31-40</td>
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<td>0</td>
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<tr>
<td>41-50</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>&gt;50</td>
<td>22</td>
<td>5</td>
</tr>
</tbody>
</table>

Where they ranked?

Yes 43 10
No 52 12
NA 4 1

How where they ranked?

q-sort 4 1
Impact, probability of influence, importance, relevance 26 6
Uncertainty 13 3
NA 35 8

7. Type of driver

<table>
<thead>
<tr>
<th>Type of driver</th>
<th>78</th>
<th>18</th>
</tr>
</thead>
<tbody>
<tr>
<td>Social driver:</td>
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<td></td>
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<tr>
<td>Health</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>Demographics</td>
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<td>12</td>
</tr>
<tr>
<td>Employment</td>
<td>26</td>
<td>6</td>
</tr>
<tr>
<td>Poverty/inequality</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Social e.g. values</td>
<td>48</td>
<td>11</td>
</tr>
<tr>
<td>Technology</td>
<td>39</td>
<td>9</td>
</tr>
<tr>
<td>Development e.g. Energy use</td>
<td>30</td>
<td>7</td>
</tr>
<tr>
<td>Urbanisation</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Globalisation</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Economics/market conditions</td>
<td>57</td>
<td>13</td>
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<tr>
<td>Tourism</td>
<td>26</td>
<td>6</td>
</tr>
<tr>
<td>Governance</td>
<td>52</td>
<td>12</td>
</tr>
<tr>
<td>Legislation/policy</td>
<td>52</td>
<td>12</td>
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</tbody>
</table>

(con'd)
<table>
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<tr>
<th>Climate</th>
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<th>35</th>
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<tbody>
<tr>
<td>Ecological driver:</td>
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<td>11</td>
</tr>
<tr>
<td>Environmental Change e.g. Land cover, biodiversity loss, coral bleaching deforestation</td>
<td>48</td>
<td>11</td>
</tr>
<tr>
<td>NA</td>
<td>22</td>
<td>5</td>
</tr>
<tr>
<td>Direct or indirect driver?</td>
<td></td>
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<tr>
<td>Direct</td>
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<td>Indirect</td>
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<td>Not categorized</td>
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8. Type of scenario design

<table>
<thead>
<tr>
<th>Type of scenario design</th>
<th></th>
<th></th>
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</thead>
<tbody>
<tr>
<td>Participants/stakeholder driven</td>
<td>61</td>
<td>14</td>
</tr>
<tr>
<td>Driven by researchers/project team</td>
<td>26</td>
<td>6</td>
</tr>
<tr>
<td>Previous work/literature</td>
<td>43</td>
<td>10</td>
</tr>
<tr>
<td>Other (2x2 matrix, morphological matrix)</td>
<td>43</td>
<td>10</td>
</tr>
</tbody>
</table>

9. Criteria for prioritisation of driver

<table>
<thead>
<tr>
<th>What was the criteria for prioritisation of drivers of change for guidelines for scenarios?</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Uncertainty</td>
<td>26</td>
<td>6</td>
</tr>
<tr>
<td>Relevance, Importance, Impact, Influence</td>
<td>70</td>
<td>16</td>
</tr>
<tr>
<td>No prioritization</td>
<td>87</td>
<td>2</td>
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<tr>
<td>Structural analysis</td>
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<tr>
<td>Contrast</td>
<td>13</td>
<td>3</td>
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<tr>
<td>Likelihood</td>
<td>9</td>
<td>2</td>
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<tr>
<td>Vulnerability</td>
<td>13</td>
<td>3</td>
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</table>

10. Number of scenarios created

<table>
<thead>
<tr>
<th>Was there an end year used?</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>91</td>
<td>21</td>
</tr>
<tr>
<td>No</td>
<td>9</td>
<td>2</td>
</tr>
<tr>
<td>If yes, what was the end projection year?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2025</td>
<td>9</td>
<td>2</td>
</tr>
</tbody>
</table>

(con'd)
2030 | 39 | 9
2032 | 4 | 1
2034 | 13 | 3
2035 | 4 | 1
2040 | 4 | 1
2043 | 4 | 1
2050 | 9 | 2
2030, 2060, 2090 (three time projections where used) | 13 | 3

### Time span

<table>
<thead>
<tr>
<th>Time span</th>
<th>10-20</th>
<th>21-30</th>
<th>31-40</th>
<th>&gt;40</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>61</td>
<td>14</td>
<td>5</td>
<td>2</td>
</tr>
</tbody>
</table>

### Motivation for choosing this time projection

- **Data availability**: 13 | 3
- **Drivers**: 9 | 2
- **Generations**: 26 | 6
- **Link to other scenarios**: 4 | 1
- **Stakeholders/local people**: 30 | 7
- **Visionary, non-fictionary, manageable, far but not to far, imaginable, reasonable, related to current situation, related to current policy and drivers**: 17 | 4

### Previous experience

- **Previous experience**: 17 | 4
- **Literature**: 4 | 1
- **Researchers**: 13 | 3
- **Other**: 17 | 4

### 11. Time span (year projected)

**Did the case create scenarios?**

- **Yes**: 91 | 21
- **No**: 9 | 2
How many scenarios where created?

<table>
<thead>
<tr>
<th>Scenarios Created</th>
<th>Cases</th>
<th>Subcases</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>3</td>
<td>9</td>
<td>2</td>
</tr>
<tr>
<td>4</td>
<td>65</td>
<td>15</td>
</tr>
<tr>
<td>5</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>8</td>
<td>8</td>
<td>2</td>
</tr>
<tr>
<td>17</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>24</td>
<td>4</td>
<td>1</td>
</tr>
</tbody>
</table>

Where all scenarios created used?

<table>
<thead>
<tr>
<th>Used</th>
<th>Cases</th>
<th>Subcases</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>70</td>
<td>16</td>
</tr>
<tr>
<td>No</td>
<td>30</td>
<td>7</td>
</tr>
</tbody>
</table>

Number of scenarios created and not used

<table>
<thead>
<tr>
<th>No usage</th>
<th>Cases</th>
<th>Subcases</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>70</td>
<td>16</td>
</tr>
<tr>
<td>3</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>15</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>20</td>
<td>4</td>
<td>1</td>
</tr>
</tbody>
</table>

Motivation to include/not include scenarios

<table>
<thead>
<tr>
<th>Reason</th>
<th>Cases</th>
<th>Subcases</th>
</tr>
</thead>
<tbody>
<tr>
<td>Implausible, unviable for local people</td>
<td>65</td>
<td>15</td>
</tr>
<tr>
<td>Drivers, Positive/Negative, Current/Business as usual</td>
<td>34</td>
<td>8</td>
</tr>
<tr>
<td>Minimize overlap, ensure contrast, high variability</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Group size, number of subgroups</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Data availability</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Researchers decided</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>Feasibility manageable</td>
<td>39</td>
<td>9</td>
</tr>
</tbody>
</table>

[1] For the classification of drivers of change we adopted the Millenium Assessment framework. However, there are other frameworks available such as STEEP, which is typically used as a prompt for Social, Technological, Environmental, Economic and Policy drivers (Bradfield et al. 2005) and was used by cases #4, #5 and #6. Bradfield, R., G. Wright, G. Burt, G. Cairns, and K. Van Der Heijden. 2005. The origins and evolution of scenario techniques in long range business planning. Futures 37(8):795-812. http://dx.doi.org/10.1016/j.futures.2005.01.003
### Appendix 5. Process.

<table>
<thead>
<tr>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Structure and duration of the process</td>
<td></td>
</tr>
<tr>
<td>Previous information given to participants involved in scenario design:</td>
<td></td>
</tr>
<tr>
<td>Brief introduction about scenario planning</td>
<td>39</td>
</tr>
<tr>
<td>Scientific information about global change</td>
<td>22</td>
</tr>
<tr>
<td>Other information about the study area</td>
<td>35</td>
</tr>
<tr>
<td>Objective of the project and/or exercise</td>
<td>44</td>
</tr>
<tr>
<td>Other previous exercises (e.g. MedAction)</td>
<td>4</td>
</tr>
<tr>
<td>Previous relation of researchers with participants</td>
<td>78</td>
</tr>
<tr>
<td>Local co-researchers</td>
<td>61</td>
</tr>
<tr>
<td>None</td>
<td>44</td>
</tr>
<tr>
<td>&lt;3 years</td>
<td>44</td>
</tr>
<tr>
<td>4-10 years</td>
<td>6</td>
</tr>
<tr>
<td>&gt;10 years</td>
<td>6</td>
</tr>
<tr>
<td>Duration of the process (N=22-23)</td>
<td>Min-max</td>
</tr>
<tr>
<td>Months</td>
<td>2-60</td>
</tr>
<tr>
<td>Number of workshops</td>
<td>1-18</td>
</tr>
<tr>
<td>Duration of workshops - days</td>
<td>0.5-4</td>
</tr>
<tr>
<td>Duration of workshops - hours</td>
<td>2-15</td>
</tr>
<tr>
<td>Continuity of participants (N=21)</td>
<td>Not complete</td>
</tr>
<tr>
<td>Continuity of participants</td>
<td>10</td>
</tr>
<tr>
<td>Phases/structure of the participatory design of scenarios (scenario activity)</td>
<td>% of case studies</td>
</tr>
<tr>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>Method/process design</td>
<td>91</td>
</tr>
<tr>
<td>Drivers/guidelines identification and/or selection by participants</td>
<td>52</td>
</tr>
<tr>
<td>Envisioning</td>
<td>86</td>
</tr>
<tr>
<td>Modelling</td>
<td>48</td>
</tr>
<tr>
<td>Back-casting</td>
<td>29</td>
</tr>
<tr>
<td>Comment/Feedback</td>
<td>22</td>
</tr>
</tbody>
</table>

2. Methodological tools

<table>
<thead>
<tr>
<th>Methodological tools during the scenario creation</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Interviews</td>
<td>44</td>
<td>10</td>
</tr>
<tr>
<td>Individual reflections</td>
<td>22</td>
<td>5</td>
</tr>
<tr>
<td>Small groups discussions</td>
<td>48</td>
<td>11</td>
</tr>
<tr>
<td>Groups discussions</td>
<td>26</td>
<td>6</td>
</tr>
<tr>
<td>Cards</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Rankings</td>
<td>39</td>
<td>9</td>
</tr>
<tr>
<td>Collages</td>
<td>100</td>
<td>23</td>
</tr>
<tr>
<td>Drawings</td>
<td>100</td>
<td>23</td>
</tr>
<tr>
<td>Maps</td>
<td>100</td>
<td>23</td>
</tr>
<tr>
<td>Sock flow diagrams</td>
<td>100</td>
<td>23</td>
</tr>
<tr>
<td>Mental models</td>
<td>100</td>
<td>23</td>
</tr>
<tr>
<td>Wall-mounted time-lines</td>
<td>100</td>
<td>23</td>
</tr>
<tr>
<td>Quantitative models/data (e.g. Climate, land-use change, habitat…)</td>
<td>39</td>
<td>9</td>
</tr>
<tr>
<td>Fictional newspaper headlines</td>
<td>13</td>
<td>3</td>
</tr>
</tbody>
</table>

### 3. Back-casting

<table>
<thead>
<tr>
<th>Back-casting (N=23)</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Back-casting</td>
<td>17</td>
<td>4</td>
</tr>
</tbody>
</table>

### 4. Storyline

<table>
<thead>
<tr>
<th>Storyline type</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Qualitative</td>
<td>96</td>
<td>22</td>
</tr>
<tr>
<td>Mixed</td>
<td>18</td>
<td>4</td>
</tr>
<tr>
<td>Who did the storylines - participants</td>
<td>46</td>
<td>10</td>
</tr>
<tr>
<td>Who did the storylines - research team</td>
<td>36</td>
<td>8</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Storyline spatially explicit</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Storyline spatially explicit - maps</td>
<td>26</td>
<td>6</td>
</tr>
<tr>
<td>Storyline spatially explicit - partly</td>
<td>44</td>
<td>10</td>
</tr>
<tr>
<td>Storyline with intermediate time-frames</td>
<td>36</td>
<td>8</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Duration of intervals (years)</th>
<th>Min-max Average</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>5-30</td>
</tr>
</tbody>
</table>

### 5. Conflicts

<table>
<thead>
<tr>
<th>Conflicts emerged during the participatory process</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>100</td>
<td>23</td>
</tr>
</tbody>
</table>

Conflicts
### 6. Presentation of results and feedback processes after the workshops of future scenarios

<table>
<thead>
<tr>
<th>Process of participation selection</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Presentation of results</td>
<td>100</td>
<td>23</td>
</tr>
<tr>
<td>In the same process</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Other workshop</td>
<td>48</td>
<td>11</td>
</tr>
<tr>
<td>Report</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Video</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Others (e.g. magazine, booklet, art-science event)</td>
<td>26</td>
<td>6</td>
</tr>
<tr>
<td>Feedback (validation) process</td>
<td>91</td>
<td>21</td>
</tr>
<tr>
<td>Other workshop</td>
<td>43</td>
<td>9</td>
</tr>
<tr>
<td>Comments to scenario draft</td>
<td>30</td>
<td>7</td>
</tr>
<tr>
<td>Big Meeting</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Participatory video</td>
<td>4</td>
<td>1</td>
</tr>
</tbody>
</table>

### 7. Participants selection and attendees to future scenarios workshops

<table>
<thead>
<tr>
<th>Process of participation selection</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Use of previous scientific method</td>
<td>70</td>
<td>16</td>
</tr>
<tr>
<td>Stakeholder analysis</td>
<td>52</td>
<td>12</td>
</tr>
<tr>
<td>Snowball sampling</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Social network analysis</td>
<td>9</td>
<td>2</td>
</tr>
<tr>
<td>Ethnographic interviews</td>
<td>9</td>
<td>2</td>
</tr>
<tr>
<td>Selection is made with or via local research partners</td>
<td>83</td>
<td>19</td>
</tr>
</tbody>
</table>
Local stakeholders 65 15

Method for asking for participation

<table>
<thead>
<tr>
<th>Method</th>
<th>65</th>
<th>15</th>
</tr>
</thead>
<tbody>
<tr>
<td>E-mail</td>
<td>65</td>
<td>15</td>
</tr>
<tr>
<td>Phone calls</td>
<td>57</td>
<td>13</td>
</tr>
<tr>
<td>Face-to-face</td>
<td>44</td>
<td>10</td>
</tr>
<tr>
<td>Others (local newspapers, radio, post)</td>
<td>26</td>
<td>6</td>
</tr>
</tbody>
</table>

Number of participants

<table>
<thead>
<tr>
<th>Participants</th>
<th>48</th>
<th>11</th>
</tr>
</thead>
<tbody>
<tr>
<td>14-32 participants</td>
<td>48</td>
<td>11</td>
</tr>
<tr>
<td>33-52 participants</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>53-72 participants</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>73-92 participants</td>
<td>9</td>
<td>2</td>
</tr>
<tr>
<td>more than 93 participants</td>
<td>13</td>
<td>3</td>
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</tbody>
</table>

Type of participants

<table>
<thead>
<tr>
<th>Type</th>
<th>96</th>
<th>22</th>
</tr>
</thead>
<tbody>
<tr>
<td>Local community</td>
<td>96</td>
<td>22</td>
</tr>
<tr>
<td>Local policy-makers</td>
<td>83</td>
<td>19</td>
</tr>
<tr>
<td>Supra-local policy-makers</td>
<td>44</td>
<td>10</td>
</tr>
<tr>
<td>Natural resources management agencies</td>
<td>65</td>
<td>15</td>
</tr>
<tr>
<td>NGOs</td>
<td>61</td>
<td>14</td>
</tr>
<tr>
<td>Academics</td>
<td>35</td>
<td>8</td>
</tr>
<tr>
<td>Business sector</td>
<td>39</td>
<td>9</td>
</tr>
<tr>
<td>Recreation sector</td>
<td>22</td>
<td>5</td>
</tr>
</tbody>
</table>
### Appendix 6. Content of scenarios.

<table>
<thead>
<tr>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Source of inspiration for guidelines</td>
<td></td>
</tr>
<tr>
<td>Archetypes Hunt et al.</td>
<td>13</td>
</tr>
<tr>
<td>Focal issues or drivers</td>
<td>52</td>
</tr>
<tr>
<td>Grounded theory, emergent</td>
<td>13</td>
</tr>
<tr>
<td>Risks, extremes, threats</td>
<td>22</td>
</tr>
<tr>
<td>Mentioned MEA or MED</td>
<td>17</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>2. Choice of scenario names</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Created by participants</td>
<td>30</td>
</tr>
<tr>
<td>Created by researchers</td>
<td>52</td>
</tr>
<tr>
<td>Can't recall/not specified</td>
<td>26</td>
</tr>
<tr>
<td>Only women gave names</td>
<td>4</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>3. Types of scenario names</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>More than four (one with 5, one with 10)</td>
<td>9</td>
</tr>
<tr>
<td>Four (Best case, Worst/BAU, 2 in between)</td>
<td>65</td>
</tr>
<tr>
<td>Three (Best case, Worst/BAU, 1 in between)</td>
<td>13</td>
</tr>
<tr>
<td>Others (one matrix, one no-names, one with two)</td>
<td>13</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>4. Ecosystem services</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Included explicitly</td>
<td>57</td>
</tr>
<tr>
<td>Included but not explicitly</td>
<td>17</td>
</tr>
<tr>
<td>Not discussed</td>
<td>30</td>
</tr>
<tr>
<td>Total included</td>
<td>74</td>
</tr>
</tbody>
</table>
5. Biodiversity

<table>
<thead>
<tr>
<th></th>
<th>Included explicitly</th>
<th>Included but not explicitly</th>
<th>Not discussed</th>
<th>Total included</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>74</td>
<td>17</td>
<td>9</td>
<td>91</td>
</tr>
<tr>
<td></td>
<td>17</td>
<td>4</td>
<td>2</td>
<td>21</td>
</tr>
</tbody>
</table>

6. Human well-being

<table>
<thead>
<tr>
<th></th>
<th>Included explicitly</th>
<th>Included but not explicitly</th>
<th>Not discussed</th>
<th>Total included</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>74</td>
<td>17</td>
<td>9</td>
<td>91</td>
</tr>
<tr>
<td></td>
<td>17</td>
<td>4</td>
<td>2</td>
<td>21</td>
</tr>
</tbody>
</table>

7. Trade-offs

<table>
<thead>
<tr>
<th></th>
<th>Included explicitly</th>
<th>Included but not explicitly</th>
<th>Not discussed</th>
<th>Total included</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>70</td>
<td>16</td>
<td>0</td>
<td>86</td>
</tr>
<tr>
<td></td>
<td>30</td>
<td>7</td>
<td>0</td>
<td>37</td>
</tr>
</tbody>
</table>

8. Main factors underpinning mixtures in the scenarios

(i) Cases where scenarios were based on mixtures of two main factors

<table>
<thead>
<tr>
<th>Case #</th>
<th>Factors</th>
<th>Issues addressed</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Extent of mining vs. extent of landscape/habitat and wildlife protection</td>
<td>Wildlife management</td>
</tr>
<tr>
<td>2</td>
<td>Food production in cultural landscapes with government funding vs. lowest-cost food production, free market</td>
<td>Energy production/consumption</td>
</tr>
<tr>
<td>3</td>
<td>Effective government in partnership or central planning role vs. weak government with/without innovators</td>
<td>Urbanization, poverty alleviation, rural development</td>
</tr>
<tr>
<td>4</td>
<td>Conservation and development together vs. little conservation and over-exploitation</td>
<td>Violence trigger people movements; environmental management, tourism,</td>
</tr>
</tbody>
</table>
6 **Sustainability vs. unfettered growth, pollution, resource depletion**

Population, technology, resource usage

7 **Intensive land management vs. managing for ecosystem services bundles**

Landscape planning and environmental management

8 **Traditional land use vs. development**

Forest conservation

9 **Self sufficiency vs. conflict/divide**

Oil discovery, corruption, youth facilities

(ii) **Cases where scenarios were based on mixtures of three main factors**

<table>
<thead>
<tr>
<th>Case #</th>
<th>Factors</th>
<th>Issues addressed</th>
</tr>
</thead>
<tbody>
<tr>
<td>11</td>
<td>Real estate development vs. agricultural intensification vs. habitat conservation</td>
<td>Biodiversity</td>
</tr>
<tr>
<td>12</td>
<td>Transhumance vs. extensive/intensive livestock vs. over-exploitation and collapse</td>
<td>Agricultural management</td>
</tr>
<tr>
<td>15</td>
<td>Locally driven development vs. mixed/external opportunities vs. intensification</td>
<td>Land use intensification, cultural values</td>
</tr>
<tr>
<td>16</td>
<td>Depopulation vs. rapid growth vs. conflicting outcomes</td>
<td>Population, land use</td>
</tr>
<tr>
<td>18</td>
<td>Green economy vs. carbon-intensive economy and high human capacity vs. low</td>
<td>Food security, poverty and livelihoods</td>
</tr>
<tr>
<td>21</td>
<td>Locally driven vs. global development vs. rich/poor divide</td>
<td>Community values and ecosystem services</td>
</tr>
<tr>
<td>23</td>
<td>Mild vs. sever climate change combined with global economic model vs. locally driven development</td>
<td>Grassland management, biodiversity conservation</td>
</tr>
</tbody>
</table>

(iii) **Cases where scenarios were based on mixtures of four or more main factors**

<table>
<thead>
<tr>
<th>Case #</th>
<th>Factors</th>
<th>Issues addressed</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>Market vs. government planning vs. innovation vs. collective governance vs. violent conflict</td>
<td>Forest management, climate change, poverty alleviation, livelihoods</td>
</tr>
<tr>
<td>10</td>
<td>Governance fail through</td>
<td>Agriculture, biodiversity,</td>
</tr>
<tr>
<td>Fragmentation/Stagnation vs. Community-Based Enterprise vs. Mixed Market/Partners vs. Neo-Liberal</td>
<td>Food Security</td>
<td></td>
</tr>
<tr>
<td>-------------------------------------------------------------------------------------------------</td>
<td>---------------</td>
<td></td>
</tr>
<tr>
<td>13 Fisheries and Water Resources Decline vs. Technological Solution vs. Productive Mosaic vs. Armed Conflict</td>
<td>Fish, Water Resources, Agricultural Systems</td>
<td></td>
</tr>
<tr>
<td>17 High vs. Low Development, High vs. Low Population Growth, High vs. Low Investment in Fisheries, Effective vs. Ineffective Governance and Law Enforcement</td>
<td>Fisheries</td>
<td></td>
</tr>
<tr>
<td>19 Good Social Development and Governance vs. Bad Social Development and Governance AND Higher Projections of Climate Change vs. Lower Projections of Climate Change OR (in Other Workshops) Green Economy vs. Extractive Economy</td>
<td>Food Security, Poverty and Livelihoods</td>
<td></td>
</tr>
<tr>
<td>20 Strong vs. Weak Local Culture; Regional Development Models Supporting vs. Not Supporting Torres Strait and Managing Climate Change</td>
<td>Community Resilience, Self-Sufficiency Livelihoods and Culture</td>
<td></td>
</tr>
<tr>
<td>23 Technogarden vs. Development and Climate Change vs. Severe Climate Change Effects vs. Adapting Mosaic and Social-Ecological System Management</td>
<td>MA</td>
<td></td>
</tr>
</tbody>
</table>
## Appendix 7. Outputs.

<table>
<thead>
<tr>
<th>Types of Outputs – and who created them</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Collages – using a variety of materials</td>
<td>30 7</td>
<td></td>
</tr>
<tr>
<td>Created by researchers</td>
<td>17 4</td>
<td></td>
</tr>
<tr>
<td>Created by participants</td>
<td>13 3</td>
<td></td>
</tr>
<tr>
<td>Drawings – (some overlap with illustrations)</td>
<td>65 15</td>
<td></td>
</tr>
<tr>
<td>Created by researchers</td>
<td>17 4</td>
<td></td>
</tr>
<tr>
<td>Created by participants</td>
<td>26 6</td>
<td></td>
</tr>
<tr>
<td>Created by (commissioned) artist</td>
<td>26 6</td>
<td></td>
</tr>
<tr>
<td>Illustrations</td>
<td>57 13</td>
<td></td>
</tr>
<tr>
<td>Created by researchers</td>
<td>9 2</td>
<td></td>
</tr>
<tr>
<td>Created by participants</td>
<td>9 2</td>
<td></td>
</tr>
<tr>
<td>Created by (commissioned) artist</td>
<td>13 3</td>
<td></td>
</tr>
<tr>
<td>Leaflets/postcards</td>
<td>22 5</td>
<td></td>
</tr>
<tr>
<td>Created by researchers</td>
<td>17 4</td>
<td></td>
</tr>
<tr>
<td>Created by funding organization</td>
<td>4 1</td>
<td></td>
</tr>
<tr>
<td>Posters</td>
<td>65 15</td>
<td></td>
</tr>
<tr>
<td>Created by researchers</td>
<td>30 7</td>
<td></td>
</tr>
<tr>
<td>Created by participants</td>
<td>4 1</td>
<td></td>
</tr>
<tr>
<td>Created by funding agent</td>
<td>4 1</td>
<td></td>
</tr>
<tr>
<td>Scientific publications</td>
<td>91 21</td>
<td></td>
</tr>
<tr>
<td>Created by researchers</td>
<td>26 6</td>
<td></td>
</tr>
<tr>
<td>Co-written with participants</td>
<td>4 1</td>
<td></td>
</tr>
<tr>
<td>Reports</td>
<td>100 23</td>
<td></td>
</tr>
<tr>
<td>Created by researchers</td>
<td>35</td>
<td>8</td>
</tr>
<tr>
<td>------------------------</td>
<td>----</td>
<td>---</td>
</tr>
<tr>
<td>Videos</td>
<td>43</td>
<td>10</td>
</tr>
<tr>
<td>Created with professional support</td>
<td>22</td>
<td>5</td>
</tr>
</tbody>
</table>

2. Intended audience and output uses in addition to communications

<table>
<thead>
<tr>
<th>% of case studies mentioned</th>
<th>N</th>
</tr>
</thead>
</table>

Intended audience for outputs

<table>
<thead>
<tr>
<th>Participants</th>
<th>65</th>
<th>15</th>
</tr>
</thead>
<tbody>
<tr>
<td>Academics</td>
<td>70</td>
<td>16</td>
</tr>
<tr>
<td>Policy and decision makers</td>
<td>65</td>
<td>15</td>
</tr>
<tr>
<td>Broad audience</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Local community</td>
<td>83</td>
<td>19</td>
</tr>
</tbody>
</table>

Other uses of outputs (and secondary objectives)

| Combined with another research tool (e.g., interviews, board game) | 9  | 2  |
| Used to satisfy funding requirements | 9  | 2  |
| Used to engage stakeholders (inclusive participation) | 17 | 4  |
| Used to capture learning and share with the community | 17 | 4  |
| Used to visualize scenarios | 22 | 5  |
| Used to further discussion | 13 | 3  |
## Appendix 8. Definitions (OECD 2002) and their adaption for scenario planning exercises  
(see [http://ec.europa.eu/europeaid/evaluation/methodology/glossary/glo_en.htm](http://ec.europa.eu/europeaid/evaluation/methodology/glossary/glo_en.htm))

<table>
<thead>
<tr>
<th>Term</th>
<th>OECD</th>
<th>Scenario planning adaption</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Partners</strong></td>
<td>The individuals and/or organizations that collaborate to achieve mutually agreed upon objectives</td>
<td>The scenario planning participants, including researchers, facilitators and other stakeholders in the social-ecological system, including government and communities</td>
</tr>
<tr>
<td><strong>Beneficiaries</strong></td>
<td>The individuals, groups, or organizations, whether targeted or not, that benefit, directly or indirectly, from the development intervention</td>
<td>The stakeholders that are intended to benefit from the scenario planning process, usually with a focus on resource-dependent communities</td>
</tr>
<tr>
<td><strong>Outputs</strong></td>
<td>The products, capital goods and services which result from a development intervention; may also include changes resulting from the intervention which are relevant to the achievement of outcomes.</td>
<td>The scenarios, narratives and actions or strategies developed from the process</td>
</tr>
<tr>
<td><strong>Outcomes</strong></td>
<td>The likely or achieved short-term and medium-term effects of an intervention’s outputs</td>
<td>Enhanced capacity of partners and beneficiaries within 1 year of the scenario planning process. This is manifested as changes in their perceptions, values, learning, social networks, partnerships, institutions and governance.</td>
</tr>
<tr>
<td><strong>Impacts</strong></td>
<td>Positive and negative, primary and secondary effects produced by a development intervention, directly or indirectly, intended or unintended</td>
<td>Implementation of alternative policies and strategies that is attributable to the enhanced capacity of partners brought about by the scenario planning process, and targeted at beneficiaries.</td>
</tr>
<tr>
<td><strong>Monitoring</strong></td>
<td>A continuing function that uses systematic collection of data on specified indicators to provide management and the main stakeholders of an ongoing</td>
<td>Systematic collection of data to track the extent of progress and achievement of outcomes and impacts using indicators as a result of the scenario planning process.</td>
</tr>
</tbody>
</table>
development intervention with indications of the extent of progress and achievement of objectives and progress in the use of allocated funds. Related term: performance monitoring, indicator.

Evaluation
The systematic and objective assessment of an on-going or completed project, programme or policy, its design, implementation and results. The aim is to determine the relevance and fulfilment of objectives, development efficiency, effectiveness, impact and sustainability. An evaluation should provide information that is credible and useful, enabling the incorporation of lessons learned into the decision-making process of both recipients and donors. Evaluation also refers to the process of determining the worth or significance of an activity, policy or program. An assessment, as systematic and objective as possible, of a planned, on-going, or completed development intervention.

Attribution
The ascription of a causal link between observed (or expected to be observed) changes and a specific intervention. Note: Attribution refers to that which is to be credited for the observed changes or results achieved. It represents the extent to which observed development effects can be attributed to a specific intervention or to the performance of one or more partner taking account of other interventions, (anticipated or unanticipated) confounding factors, or external shocks.

Assessment of the scenario design, implementation and results through a formal methodological approach.
## Appendix 9. Monitoring and evaluation.

### 1. Monitoring

<table>
<thead>
<tr>
<th>Extent of monitoring undertaken by case studies</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>No monitoring</td>
<td>52</td>
<td>12</td>
</tr>
<tr>
<td>Some monitoring within project lifespan</td>
<td>35</td>
<td>8</td>
</tr>
<tr>
<td>Monitoring beyond project lifespan and/or institutionalisation of monitoring program</td>
<td>13</td>
<td>3</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Reasons given for monitoring or not monitoring</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monitoring done for contractual obligation</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Research framework</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>Foster learning</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>Assess learning</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Assess outcomes</td>
<td>17</td>
<td>4</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Reasons given for monitoring not done</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Resource constraints</td>
<td>48</td>
<td>11</td>
</tr>
<tr>
<td>Not necessary</td>
<td>9</td>
<td>2</td>
</tr>
<tr>
<td>Impractical</td>
<td>9</td>
<td>2</td>
</tr>
</tbody>
</table>

### 2. Evaluation

<table>
<thead>
<tr>
<th>Evaluation method</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Formal evaluation done</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Formal evaluation not done</td>
<td>87</td>
<td>20</td>
</tr>
</tbody>
</table>

Evaluation method used by case studies undertaking evaluation (N = 15)

<table>
<thead>
<tr>
<th>Evaluation method</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Survey/questionnaire</td>
<td>53</td>
<td>8</td>
</tr>
<tr>
<td>Interview</td>
<td>60</td>
<td>9</td>
</tr>
<tr>
<td>Observation</td>
<td>27</td>
<td>4</td>
</tr>
<tr>
<td>Analysis of project outputs</td>
<td>20</td>
<td>3</td>
</tr>
<tr>
<td>-----------------------------</td>
<td>-----</td>
<td>---</td>
</tr>
<tr>
<td>Discussion</td>
<td>13</td>
<td>2</td>
</tr>
<tr>
<td>Team reflection/review</td>
<td>20</td>
<td>3</td>
</tr>
<tr>
<td>Multiple methods</td>
<td>53</td>
<td>8</td>
</tr>
</tbody>
</table>

Reasons given for evaluating or not evaluating

Formal evaluation done for contractual obligation | 7 | 2 |
Research framework | 4 | 1 |
Assess learning | 26 | 6 |
Assess outcomes | 4 | 1 |
Assess process | 17 | 4 |

Reasons given for formal evaluation not done

Resource constraints | 39 | 9 |
Not necessary | 4 | 1 |
Impractical | 22 | 5 |

3. Outcomes and impacts

<table>
<thead>
<tr>
<th>Short-term outcomes and impacts (&lt;1 year after project)</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Formal evaluation</td>
<td></td>
<td></td>
</tr>
<tr>
<td>No evidence</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Weak evidence</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Moderate evidence</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Strong evidence</td>
<td>13</td>
<td>3</td>
</tr>
</tbody>
</table>

No formal evaluation

<table>
<thead>
<tr>
<th>No formal evaluation</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>No evidence</td>
<td>9</td>
</tr>
<tr>
<td>Weak evidence</td>
<td>52</td>
</tr>
<tr>
<td>Evidence Level</td>
<td>Formal Evaluation</td>
</tr>
<tr>
<td>----------------</td>
<td>-------------------</td>
</tr>
<tr>
<td>No evidence</td>
<td>0 0</td>
</tr>
<tr>
<td>Weak evidence</td>
<td>0 0</td>
</tr>
<tr>
<td>Moderate evidence</td>
<td>0 0</td>
</tr>
<tr>
<td>Strong evidence</td>
<td>9 2</td>
</tr>
</tbody>
</table>

Long-term outcomes and impacts (>1 year after project) detected by projects ending more than 1 year ago (N=17)

<table>
<thead>
<tr>
<th>Evidence Level</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Moderate evidence</td>
<td>17 4</td>
<td></td>
</tr>
<tr>
<td>Strong evidence</td>
<td>9 2</td>
<td></td>
</tr>
</tbody>
</table>
**APPENDIX 10. Results from Multiple Correspondence Analysis**

Appendix 10. Results from Multiple Correspondence Analysis

Table A.10.1. Eigenvalues and percentages of inertia absorbed by the first three axes (F1, F2 and F3) of the Multiple Correspondence Analysis (MCA).

<table>
<thead>
<tr>
<th></th>
<th>F1</th>
<th>F2</th>
<th>F3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eigenvalue</td>
<td>0,247</td>
<td>0,161</td>
<td>0,159</td>
</tr>
<tr>
<td>Adjusted Inertia (%)</td>
<td>50,150</td>
<td>12,208</td>
<td>6,620</td>
</tr>
<tr>
<td>Cumulative %</td>
<td>50,150</td>
<td>62,358</td>
<td>68,978</td>
</tr>
</tbody>
</table>

Table A.10.2. Principal coordinates of the variables in the first three axes (F1, F2, F3) of the Multiple Correspondence Analysis (MCA). Values in bold correspond to the variables with highest squared cosines.

<table>
<thead>
<tr>
<th>Variable</th>
<th>F1</th>
<th>F2</th>
<th>F3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Biodiversity conservation</td>
<td>0,210</td>
<td>-0,234</td>
<td>-0,318</td>
</tr>
<tr>
<td>Climate change</td>
<td>-0,068</td>
<td>-0,160</td>
<td>-0,035</td>
</tr>
<tr>
<td>Stakeholders identification</td>
<td>1,271</td>
<td>0,164</td>
<td>-0,323</td>
</tr>
<tr>
<td>Direct drivers</td>
<td>0,990</td>
<td>0,290</td>
<td>0,212</td>
</tr>
<tr>
<td>Indirect drivers</td>
<td>0,925</td>
<td>0,182</td>
<td>0,165</td>
</tr>
<tr>
<td>Quantitative analysis</td>
<td>0,885</td>
<td>-0,415</td>
<td>-0,188</td>
</tr>
<tr>
<td>Uncertainty</td>
<td>0,246</td>
<td>0,220</td>
<td>-0,421</td>
</tr>
</tbody>
</table>

(con'd)
Table A.10.3. Principal coordinates of the case studies in the first three axes (F1, F2, F3) of the Multiple Correspondence Analysis (MCA).

<table>
<thead>
<tr>
<th>Case studies</th>
<th>F1</th>
<th>F2</th>
<th>F3</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. SW Yukon Wildlife (Canada)</td>
<td>-0.320</td>
<td>-0.071</td>
<td>0.126</td>
</tr>
<tr>
<td>2. Swabian Alb (Germany)</td>
<td>0.033</td>
<td>-0.015</td>
<td>0.677</td>
</tr>
<tr>
<td>3. Eastern Cape (South Africa)</td>
<td>0.168</td>
<td>0.076</td>
<td>0.253</td>
</tr>
<tr>
<td>4. COMETLA (Mexico)</td>
<td>-0.508</td>
<td>-0.042</td>
<td>-0.357</td>
</tr>
<tr>
<td>5. COMETLA (Colombia)</td>
<td>-0.417</td>
<td>-0.178</td>
<td>-0.656</td>
</tr>
<tr>
<td>6. COMETLA (Argentina)</td>
<td>-0.508</td>
<td>-0.042</td>
<td>-0.357</td>
</tr>
<tr>
<td>7. Uplands (UK)</td>
<td>-0.087</td>
<td>-0.253</td>
<td>-0.383</td>
</tr>
</tbody>
</table>

(con'd)
<p>| | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>8. COMBIOSERVE (Bolivia)</td>
<td>0.023</td>
<td>-0.483</td>
<td>0.438</td>
</tr>
<tr>
<td>9. COBRA North Rupununi (Guyana)</td>
<td>-0.296</td>
<td>1.151</td>
<td>-0.005</td>
</tr>
<tr>
<td>10. Semi-arid North (Nicaragua)</td>
<td>0.391</td>
<td>-0.610</td>
<td>-0.484</td>
</tr>
<tr>
<td>11. Wet Tropics (Australia)</td>
<td>0.628</td>
<td>-0.188</td>
<td>-0.694</td>
</tr>
<tr>
<td>12. Transhumance (Spain)</td>
<td>0.812</td>
<td>-0.054</td>
<td>0.345</td>
</tr>
<tr>
<td>13. Cienaga Grande (Colombia)</td>
<td>1.008</td>
<td>0.195</td>
<td>0.277</td>
</tr>
<tr>
<td>14. Mackay Whitsunday Isaac (Australia)</td>
<td>-0.416</td>
<td>0.534</td>
<td>-0.199</td>
</tr>
<tr>
<td>15. Southern Transylvania (Romania)</td>
<td>0.322</td>
<td>0.986</td>
<td>-0.046</td>
</tr>
<tr>
<td>16. Northern Highland Lake (USA)</td>
<td>0.222</td>
<td>-0.036</td>
<td>-0.298</td>
</tr>
<tr>
<td>17. Coastal ecosystem services (Kenya)</td>
<td>0.321</td>
<td>0.138</td>
<td>-0.309</td>
</tr>
<tr>
<td>18. Nusa Tenggara Barat (Indonesia)</td>
<td>-0.617</td>
<td>-0.276</td>
<td>0.310</td>
</tr>
<tr>
<td>19. West New Britain (Papua New Guinea)</td>
<td>-0.617</td>
<td>-0.276</td>
<td>0.310</td>
</tr>
<tr>
<td>20. Torres Strait (Australia)</td>
<td>-0.617</td>
<td>-0.276</td>
<td>0.310</td>
</tr>
<tr>
<td>21. Bonnechere River (Canada)</td>
<td>-0.609</td>
<td>0.092</td>
<td>0.332</td>
</tr>
<tr>
<td>22. Doñana (Spain)</td>
<td>0.636</td>
<td>-0.197</td>
<td>0.279</td>
</tr>
</tbody>
</table>

(con’d)
<table>
<thead>
<tr>
<th>Rank</th>
<th>Location</th>
<th>Value</th>
<th>Slope</th>
<th>Intercept</th>
</tr>
</thead>
<tbody>
<tr>
<td>23.</td>
<td>Alps (France)</td>
<td>0.449</td>
<td>-0.178</td>
<td>0.130</td>
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</table>
### Appendix 11. Strengths and weaknesses.

#### 1. Strengths

<table>
<thead>
<tr>
<th>Stakeholders’ engagement</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Social learning</td>
<td>57</td>
<td>13</td>
</tr>
<tr>
<td>Research partnerships</td>
<td>48</td>
<td>11</td>
</tr>
<tr>
<td>Awareness raising</td>
<td>22</td>
<td>5</td>
</tr>
<tr>
<td>Social cohesion</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>91</td>
<td>21</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Technical development</th>
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<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Collective discussions</td>
<td>39</td>
<td>9</td>
</tr>
<tr>
<td>Adaptable and dynamic process</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Multiple approach</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Systematic process</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Other (training facilitators, interdisciplinarity, emphasize trade-offs, present comprehensive drivers, etc.)</td>
<td>34</td>
<td>7</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>83</td>
<td>19</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Quality of outcomes</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Policy relevant</td>
<td>39</td>
<td>9</td>
</tr>
<tr>
<td>Worldviews diversity</td>
<td>30</td>
<td>7</td>
</tr>
<tr>
<td>Other (publishable results, habitat restoration, good models)</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>70</td>
<td>16</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Process completion</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Back-casting</td>
<td>17</td>
<td>4</td>
</tr>
<tr>
<td>Other (monitoring and evaluation, data triangulation)</td>
<td>9</td>
<td>2</td>
</tr>
</tbody>
</table>
2. Weaknesses

<table>
<thead>
<tr>
<th>Stakeholders’ engagement</th>
<th>% of case studies</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td>Participation (extent, continuity)</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Conflicts</td>
<td>9</td>
<td>2</td>
</tr>
<tr>
<td>Diversity of participants</td>
<td>35</td>
<td>8</td>
</tr>
<tr>
<td>Representativeness of powerful stakeholders</td>
<td>35</td>
<td>8</td>
</tr>
<tr>
<td>Representativeness of powerless stakeholders (including gender discrimination)</td>
<td>9</td>
<td>2</td>
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<tr>
<td>Ownership</td>
<td>22</td>
<td>5</td>
</tr>
<tr>
<td>Total</td>
<td>74</td>
<td>13</td>
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<table>
<thead>
<tr>
<th>Technical development</th>
<th>% of case studies</th>
<th>N</th>
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<tbody>
<tr>
<td>Time, cost and energy constraints</td>
<td>48</td>
<td>11</td>
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<tr>
<td>Accuracy versus social relevance</td>
<td>22</td>
<td>5</td>
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<tr>
<td>Lack of quantitative analysis</td>
<td>39</td>
<td>9</td>
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<tr>
<td>Cultural barriers</td>
<td>13</td>
<td>3</td>
</tr>
<tr>
<td>Other (logistic difficulties, facilitation problems, continuity of process, researchers’ bias)</td>
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<td>6</td>
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<tr>
<td>Total</td>
<td>87</td>
<td>20</td>
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<table>
<thead>
<tr>
<th>Quality of outcomes</th>
<th>% of case studies</th>
<th>N</th>
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<tbody>
<tr>
<td>Outcomes biased by participants’ preferences</td>
<td>22</td>
<td>5</td>
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<tr>
<td>Poor incorporation of specific outputs (e.g. drivers analysis, uncertainty evaluation)</td>
<td>22</td>
<td>5</td>
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<tr>
<td>Scenario polarization</td>
<td>13</td>
<td>3</td>
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<tr>
<td>Limitations to novelty</td>
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<td>4</td>
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<tr>
<td>Lack of robust policy-relevant strategies</td>
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<td>5</td>
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<tr>
<td>Total</td>
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<td>15</td>
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</tbody>
</table>

Process completion

| Lack of back-casting | 4 | 1 |
| Lack of communication/dissemination | 17 | 4 |
| Lack of monitoring and evaluation | 22 | 5 |
| Total | 35 | 8 |