

Optimality Guarantees for Crystal Structure Prediction

Vladimir V. Gusev^{1,2}, Duncan Adamson¹, Argyrios Deligkas^{1,‡}, Dmytro Antypov¹,
Christopher M. Collins³, Piotr Krysta², Igor Potapov², George R. Darling³, Matthew S.
Dyer^{1,3}, Paul Spirakis^{1,2*}, Matthew J. Rosseinsky^{1,3*}

¹Leverhulme Research Centre for Functional Materials Design, Materials Innovation
Factory, University of Liverpool; Liverpool, UK

²Department of Computer Science, University of Liverpool; Liverpool, UK.

³Department of Chemistry, University of Liverpool; Liverpool, UK.

[‡]Present address: Department of Computer Science, Royal Holloway, University of
London; London, UK.

*Corresponding authors. Emails: P.Spirakis@liverpool.ac.uk,
M.J.Rosseinsky@liverpool.ac.uk

The structures of crystalline materials determine their properties, which enable essential technologies. Crystal structure prediction (CSP) can thus play a central role in the design of new functional materials^{1,2}. Researchers have developed efficient heuristics to identify structural minima on the potential energy surface (PES)³⁻⁵. However, these methods, while often able to access all configurations in principle, provide no guarantees that the lowest energy structure has been found. Here we show that the structure of a crystalline material can be predicted with energy guarantees by an algorithm that finds all the unknown atomic positions within a unit cell by combining combinatorial and continuous optimisation. We encode the combinatorial task of finding the lowest energy periodic allocation of all atoms on a lattice as a mathematical optimisation problem of integer programming^{6,7}, allowing guaranteed identification of the global optimum using well-developed algorithms. A single subsequent local minimisation of the resulting atom allocations then reaches the correct structures of key inorganic materials directly, proving their energetic optimality under clear assumptions. This formulation of CSP establishes a bridge to the theory of algorithms and affords the absolute energetic status of observed or predicted materials. It provides ground truth for heuristic or data-driven structure prediction methods, and is uniquely suitable for quantum annealers⁸⁻¹⁰, opening a path to overcome the combinatorial explosion of atomic configurations.

There are over 200,000 crystal structures known and held in curated databases as lists of atomic positions^{11,12}. Knowledge of structure allows accurate prediction of stability, and in many cases properties. However, when considering a previously unreported composition without restriction to adopting structures that lie within the databases, the structure cannot be known and must be predicted to allow assessment of stability and properties. The central feature of CSP is that it begins with no information on the positions of the atoms in the unit cell and aims to find their exact arrangement¹³. To predict thermodynamically stable compounds, we ask whether there exists a crystal structure for a given composition with an energy below a given threshold, defined by the convex hull². This decision version^{14,15} of CSP lies at the heart of in-silico material discovery. Over the years, significant effort has been invested in CSP approaches that aim to quickly identify low-energy structures. However, a formal algorithm, as postulated by the Church-Turing thesis¹⁶, should not only be able to identify such structures, but to provide a non-existence proof if the target energy cannot be reached. The tremendous difference between finding a solution and proving its

1 optimality is evident in mathematics, where confirmation of conjectures can take decades or
2 even centuries as in the case of the Kepler conjecture¹⁷ about the densest sphere packings and
3 its recently established generalisations¹⁸. The formal statement capturing this distinction is
4 probably the most important open problem in computer science: P=NP asks whether efficient
5 ways of finding proofs of optimality exist¹⁹. To date, there are no methods for CSP of
6 extended inorganic solids that provide energy optimality guarantees in the continuous space
7 of unknown atomic positions, and thus no formal algorithm for this problem has been
8 presented.

9 This is in stark contrast to the general optimisation theory, where formal algorithms for a
10 wide range of problems have been devised and their optimality and approximation guarantees
11 are thoroughly investigated¹⁹⁻²¹. One of the most general methods to introduce optimality
12 guarantees for a variety of practical problems is integer programming⁶. This consists of
13 rewriting the problem in a particular form by introducing integer decision variables,
14 constraints, and an objective function corresponding to the task. Thus, optimisation
15 algorithms can be applied to all encoded problems at once and developed in an abstract
16 setting independent of the actual problem. This universality has led to widespread use of
17 integer programming in areas such as logistics, manufacturing, healthcare, finance and
18 computer vision⁷, and the development of robust methods and commercial solvers^{22,23}. One
19 of the key advances in this area is a class of branch-and-cut optimisation algorithms that are
20 capable of rapidly eliminating large parts of the optimisation domain from consideration if
21 the current best solution cannot be improved there. Modelling an optimization problem as an
22 integer program addressed with the branch-and-cut method not only allows the solution of
23 much larger problems than possible by brute force^{24,25}, but also provides numerical upper and
24 lower bounds on the optimal solution during the run and proof of optimality when the run is
25 complete.

26 These benefits prompted the use of mathematical optimisation for diverse materials design
27 challenges such as molecular conformation prediction²⁶, molecular design²⁷, protein
28 folding²⁸, Coulomb glass modelling²⁹ and substitutions into perovskites³⁰ and other known
29 parent structures³¹. Benefitting from these demonstrated advantages of combinatorial
30 guarantees, we provide a generally applicable CSP algorithm that addresses the continuous
31 space of possible atomic sites to correctly predict a diverse set of structures. This method
32 determines all the atomic positions previously unknown to the algorithm. The coupling of
33 local minimisation to integer programming that we use allows exploration of the continuous
34 space using strong optimisation methods on a discrete space to obtain physical energy
35 guarantees. We start by considering the allocation of all the atoms that define the materials
36 composition to a suitably dense set of discrete positions within a unit cell treated with
37 periodic boundary conditions. Given a unit cell with a set of positions $Pos =$
38 $\{pos_1, pos_2, \dots, pos_n\}$, we find an assignment of a given number of atoms of species
39 $Types = \{typ_1, typ_2, \dots, typ_k\}$ to them while minimising the interaction energy. Not all
40 positions have to be occupied. In this work, these positions form a lattice and are specified by
41 their fractional coordinates as $(\frac{i}{g}, \frac{j}{g}, \frac{k}{g})$ for integers $i, j, k \in \{0, 1, \dots, g - 1\}$, where g is the
42 discretisation parameter, equal to the number of positions per side of the unit cell, that defines
43 the discretisation, or separation between the lattice positions. A typical example is given in
44 Figure 1, where the set Pos contains 64 uniformly distributed positions within the unit cell
45 ($g = 4$) and $Types = \{Sr, Ti, O\}$.

46 We start the encoding of the atom allocation problem into an equivalent integer program by
47 introducing binary variables X_{pos}^{typ} for every pos and typ , which capture our decision to place
48 an atom of type typ at position pos . The value of X_{pos}^{typ} equals 1 if a typ atom occupies pos

1 and 0 otherwise. Since not all variable assignments are physical, *e.g.*, both *Sr* and *Ti* cannot
 2 be located at the same position, we introduce additional linear constraints to ensure that all
 3 solutions of the program will correspond to physically reasonable atomic arrangements with
 4 the correct stoichiometry (Methods).

5
 6 The remaining part of the encoding is the objective function – the interaction energy^{4,32} of the
 7 resulting allocation computed with periodic boundary conditions. Here, we focus on
 8 commonly used approaches based on interatomic potentials³ that represent the energy as the
 9 sum of the electrostatic interaction of ions treated as point charges and the repulsion
 10 contribution from closely located ions, addressing the widely studied class of ionic materials
 11 that enable key technologies^{33–35}. Since the electrostatic interaction is long range, special
 12 summation methods are used, and the Ewald sum is arguably the most common³⁶. An
 13 important observation that is critical for the encoding is that the Ewald sum can be rearranged
 14 into a finite sum over all pairs of atoms within a unit cell and these pairwise contributions can
 15 be computed independently of the positions of all other atoms within the unit cell (Methods).
 16 When repulsion is modelled using two-body potentials either in the form of classical force-
 17 fields (Buckingham, Lennard-Jones) or more flexible machine learning potentials³², the total
 18 potential energy of a crystal can be written as the sum of pairwise contributions. These
 19 individual summands for every possible allocation of a pair of ions can be computed
 20 independently and stored in a table. If we denote by $\alpha_{pos_1, pos_2}^{typ_1, typ_2}$ the constant value from this
 21 table that defines the energy contribution of ions *typ*₁ and *typ*₂ placed at *pos*₁ and *pos*₂
 22 respectively, then the energy of an allocation can be written as:

$$E = \sum_{\substack{pos_1, pos_2 \in Pos, \\ typ_1, typ_2 \in Types}} \alpha_{pos_1, pos_2}^{typ_1, typ_2} X_{pos_1}^{typ_1} X_{pos_2}^{typ_2} \quad (1)$$

24
 25
 26 The term $\alpha_{pos_1, pos_2}^{typ_1, typ_2}$ is present if and only if both $X_{pos_1}^{typ_1}$ and $X_{pos_2}^{typ_2}$ are equal to 1, which
 27 corresponds to both these positions being occupied in an allocation, ensuring correct energy
 28 values.

29
 30 The set of variables X_{pos}^{typ} , chosen constraints, and the exact form of the energy function *E* in
 31 Equation (1) define an integer program (SI) whose candidate solutions are in one-to-one
 32 correspondence with allocations of atoms to lattice positions. It is a binary quadratic program,
 33 where its variables X_{pos}^{typ} appear in quadratic terms. Such optimisation problems are typically
 34 computationally intractable in the sense of the theory of NP-completeness¹⁹. However, many
 35 instances can be solved efficiently using branch-and-cut methods within existing
 36 optimisers^{22,23}. They relax the problem by allowing the variables X_{pos}^{typ} to take values
 37 between 0 and 1, resulting in a more tractable problem with a smaller minimum objective
 38 value *E*. The branch-and-cut method maintains a solution to the relaxed problem in iterations,
 39 and by using “cuts” gradually narrows down the relaxed continuous feasibility space of the
 40 problem to finally reach the guaranteed optimal binary solution (Methods). We further apply
 41 space group symmetry to identify the minimal set of lattice positions that are unique given
 42 the symmetry, and introduce proximity constraints (Methods), reducing the run time of
 43 optimisation methods by focussing on desired subspaces. We will refer to the resulting search
 44 space of atom allocations to the lattice positions that satisfy all imposed constraints as the
 45 configuration space.

1 Exact solutions of different periodic lattice atom allocation problems can be used to non-
2 heuristically investigate the PES. The configuration space and the corresponding integer
3 program are specified for a given composition, which allows a branch-and-cut algorithm to
4 find the same global optimum for this problem in every run. With this exact allocation of
5 atoms on the lattice, we then lift the restriction that atoms only occupy lattice positions to
6 predict crystal structure by local minimisation of these optimum configurations. The coupling
7 of local minimisation to integer programming enables the exploration of the PES, which is a
8 continuous space, using powerful optimisation algorithms on a discrete space (Figure 1). We
9 investigate this approach to CSP (Table 1) on a prototype set of compositions that adopt
10 cubic crystal structures: 21% of all materials in the ICSD¹¹ are cubic, including families of
11 ionic materials that have gained considerable attention due to their functional properties such
12 as garnet³³, perovskite³⁴ and spinel³⁵.

13
14 The garnet structure of the first composition studied, $\text{Ca}_3\text{Al}_2\text{Si}_3\text{O}_{12}$, cannot be simply
15 explained based on individual sphere packings, requiring instead description as a cylindrical
16 rod packing³⁷. This reflects its complexity, with distinct twelve (Ca, dodecahedron), six (Al,
17 octahedron) and four (Si, tetrahedron) coordination of the three electropositive elements by O
18 (oxide occupies a general crystallographic position with no symmetry and is four-coordinated
19 by Al, Si and two Ca). At a unit cell parameter of 11.9Å with a discretisation of 0.75 Å ($g =$
20 16) in the $la\bar{3}d$ space group, the integer programming formulation allocates the four distinct
21 atomic positions required on the 62 unique lattice positions in one second on a desktop
22 computer. The integer program also returns a guarantee of optimality for the periodic lattice
23 atom allocation within this run time. This optimum allocation is sufficiently precise that the
24 correct experimentally determined structure (Figure 2) is immediately recovered by one local
25 minimisation of this single configuration, which requires a mean shift of approximately
26 0.29Å in atomic positions. The integer program thus identifies a configuration on a lattice
27 that lies within the global minimum basin of the continuous PES and certifies that this is the
28 lowest energy structure possible at this composition under the stated assumptions, because it
29 provides guarantees of optimality.

30
31 In addition to $\text{Ca}_3\text{Al}_2\text{Si}_3\text{O}_{12}$, we applied this integer programming CSP approach to
32 investigate the PES of the following compositions: SrTiO_3 , Y_2O_3 , $\text{Y}_2\text{Ti}_2\text{O}_7$, MgAl_2O_4 . Their
33 experimentally determined structures correspond to the perovskite, bixbyite, pyrochlore and
34 spinel structure types, respectively³⁸. We investigated different supercells of SrTiO_3 with up
35 to 135 atoms in them to assess the scalability of the approach. The other structures highlight
36 the complexity of multidimensional CSP with 56–160 atoms in the unit cell (Methods).
37 Table 1 reports the configuration spaces that result in prediction of the experimentally
38 determined structures, and the times required to guarantee that the solution, and thus the
39 experimentally determined structure itself, is optimal given the composition in each of these
40 archetypal cases.

41
42 For every composition (Table 1), local minimisation of the single global optimum allocation
43 for a moderate discretisation of 0.6 – 0.7Å led to the correct structure. This indicates that
44 periodic lattice atom allocations meaningfully capture crystal structures in continuous space
45 and enable identification of the global minimum on the PES. The guaranteed bound on the
46 energy difference between the continuous and discrete solutions can be computed and goes to
47 zero as the discretisation becomes finer (SI). As the ions are not arbitrarily small, unlike
48 lattice points, it is a physically reasonable hypothesis that a discretization of the order of 30-
49 50% of the shortest interionic distances should allow the correct allocation to be identified.
50 For all the examples in Table 1, discretisations that correspond to a fraction of a bond length

1 lead to globally optimal solutions in the continuous space, reflecting the role of ionic size in
2 determining structure via repulsive contributions to the energy at short separations,
3 supporting this hypothesis. Moreover, the allocation outcome was insensitive (Extended Data
4 Tables 1-5) to the unit cell parameter (*e.g.*, SrTiO₃ returns the same allocation over 3-5Å). A
5 possible explanation is that the change in unit cell size affects the whole pool of low energy
6 solutions similarly, making the optimal allocation relatively stable and permitting reliable
7 identification of structures.

8
9 While the solution of an integer program is guaranteed to be optimal in the discrete space of
10 the lattice, for coarser discretisations we find that the local minimisation of that solution can
11 be different from the global optimum in the continuous space, consistent with the hypothesis
12 above. For lattice discretisations of 1.03Å to 1.49Å, identification of the correct structure by
13 one local minimisation of the global optimum atom allocation was predominantly but not
14 uniformly possible. For MgAl₂O₄ with a 1.03Å discretisation ($g = 8$) and *P23* space group
15 symmetry, the configuration space became large enough to contain low-energy lattice
16 allocations that belong to local, rather than global, minima of the PES (Figure 2).
17 Nevertheless, minimisation of the four lowest energy arrangements recovered the correct
18 spinel structure. For higher symmetries, the lowest energy allocation even on this coarser
19 lattice did afford the correct structure directly (Table 1).

20
21 A heuristic partially searches the PES for preferred configurations that are then locally
22 minimised – success relies on identifying a configuration that lies on the walls of the global
23 minimum (Figure 3). By contrast, integer programming considers all periodic lattice atom
24 allocations simultaneously, identifying the globally optimal configuration. The branch-and-
25 cut algorithm allows us to discard large portions of the configuration space while retaining
26 optimality, leading to brute force-like energy guarantees without actual brute force. By
27 locally minimising these exact outcomes from the appropriate discretisation and unit cell
28 parameters, we can obtain the guaranteed global minimum in CSP. Further development will
29 integrate this configuration space screening with the space group symmetry and unit cell
30 metric determination that is common to all CSP. Beyond that, as the solver maintains a valid
31 solution, it can identify the global optimum much earlier than proving its optimality (Figure
32 3d). By foregoing optimality guarantees, it would be possible to investigate larger
33 configuration spaces and use integer programming either as an independent heuristic tool or
34 to complement allocation decisions in existing heuristic methods.

35
36 The current state of the art in computational complexity⁶ indicates that there will always be a
37 combinatorial limit to the implementation of integer programs for CSP on classical
38 computers, just as heuristics will eventually run out of capacity to explore complex structural
39 spaces efficiently enough to generate reliable outcomes. Quantum computers have the
40 potential to solve many problems faster than classical computers³⁹, demonstrating the so-
41 called quantum advantage⁴⁰⁻⁴². While large-scale implementation of quantum computing is
42 not imminent, more limited forms are increasingly available. One example is the quantum
43 annealer⁴³, a specialised hardware solver for quadratic unconstrained binary optimisation
44 (QUBO) problems⁹ alongside other types of Ising machines^{10,44}. The QUBO problem
45 involves finding a 0,1-assignment minimising an objective function containing only products
46 of at most two binary variables. Equation (1) has exactly this form after elimination of
47 constraints, creating a pathway to overcome the combinatorial explosion in CSP. We have
48 verified the applicability of this approach to CSP by solving the structures of SrO ($g = 2$,
49 *P23*), SrTiO₃ ($g = 2$, *Pm* $\bar{3}$ *m*), ZrO₂ ($g = 4$, *P2*₁*3*), and ZnS ($g = 4$, *P23*) on the 2000Q
50 quantum annealer provided free by D-Wave via Leap⁴⁵ (Methods).

1
2 The search routines that find the lowest energy periodic lattice atom allocations can be used
3 to predict crystal structures with one subsequent local minimisation. Integer programming
4 formulation of this search affords an algorithm that allows guaranteed identification of the
5 global optimum in CSP and enables quantum computers to address the arising combinatorial
6 challenges. The resulting structures are thus demonstrated to afford the lowest energy
7 possible at a given composition, proving the optimality of the observed structures of
8 archetypal materials under clear assumptions. This provides both ground truth for heuristic
9 and data-driven structure prediction methods and essential understanding by guaranteeing the
10 energetic status of experimentally isolated materials in the laboratory. Development of
11 encodings and implementations that make best use of emerging software and hardware will
12 define a distinct CSP based on optimality, certainty and quantum advantage enabling new
13 workflows for synthetic prioritisation and property prediction.

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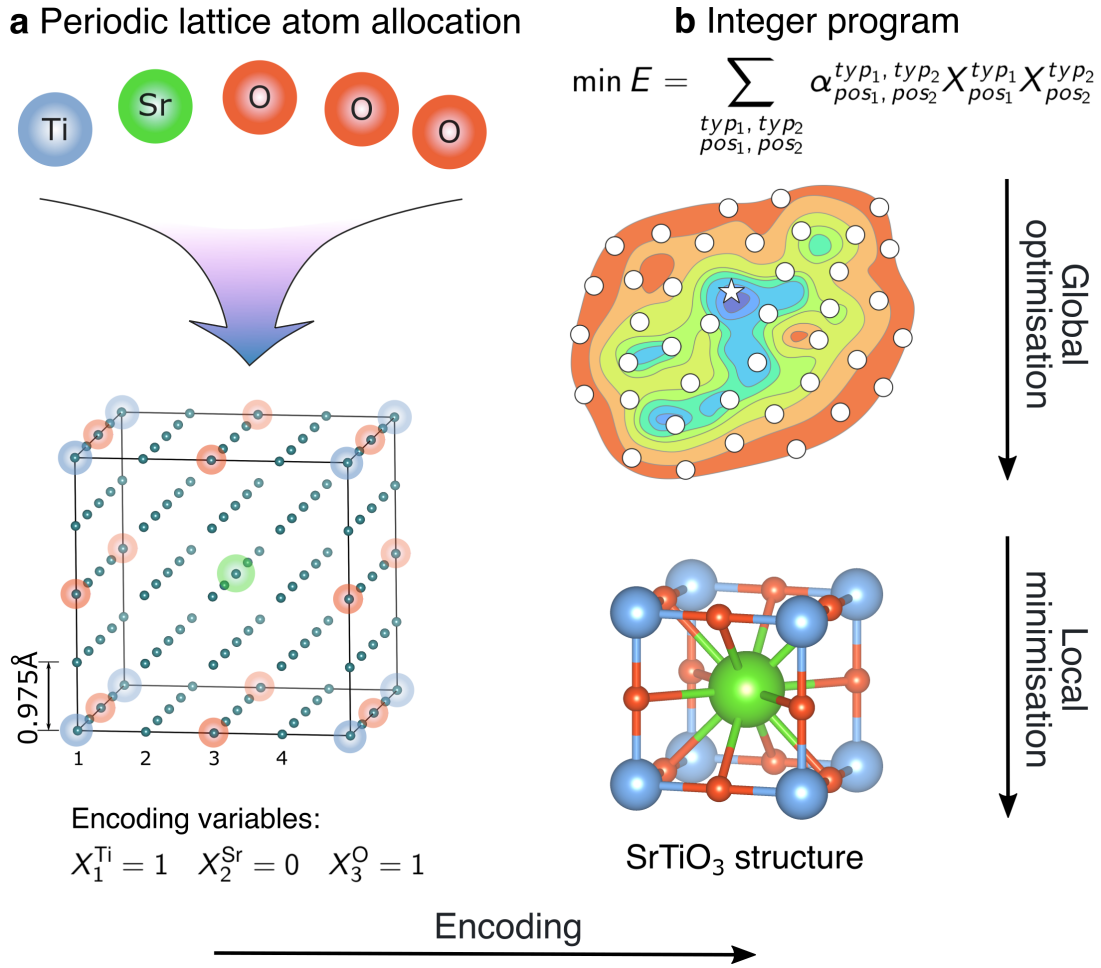
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Compound	Space group of the structure	Number of ions in the unit cell	Cell parameter / Å	Discretisation g	Space group symmetry	Number of unique lattice positions	Time / sec
SrTiO ₃ , Z=1	$Pm\bar{3}m$ (221)	5	3.9	4	$P1$ (1)	64	3
SrTiO ₃ , Z=8		40	7.8	8	$P23$ (195)	56	56
SrTiO ₃ , Z=27		135	11.7	6	$Pm\bar{3}m$ (221)	20	2
SrTiO ₃ , Z=27		135	11.7	6	$Pm\bar{3}$ (200)	24	63
SrTiO ₃ , Z=27		135	11.7	6	$P23$ (195)	28	6823
Y ₂ O ₃	$Ia\bar{3}$ (206)	80	10.7	8	$Ia\bar{3}$ (206)	17	1
Y ₂ O ₃				8	$I2_13$ (199)	40	10
Y ₂ O ₃				16	$Ia\bar{3}$ (206)	124	18
Y ₂ Ti ₂ O ₇ [†]	$Fd\bar{3}m$ (227)	88	10.2	8	$Fd\bar{3}m$ (227)	11	1
Y ₂ Ti ₂ O ₇				16	$Fd\bar{3}m$ (227)	51	1
MgAl ₂ O ₄	$Fd\bar{3}m$ (227)	56	8.2	8	$Fd\bar{3}m$ (227)	11	1
MgAl ₂ O ₄				16	$Fd\bar{3}m$ (227)	51	1
MgAl ₂ O ₄				8	$F23$ (196)	22	1
MgAl ₂ O ₄ [†]				8	$P23$ (195)	56	4085
Ca ₃ Al ₂ Si ₃ O ₁₂	$Ia\bar{3}d$ (230)	160	11.9	8	$Ia\bar{3}$ (230)	17	1
Ca ₃ Al ₂ Si ₃ O ₁₂				16	$Ia\bar{3}d$ (230)	62	1

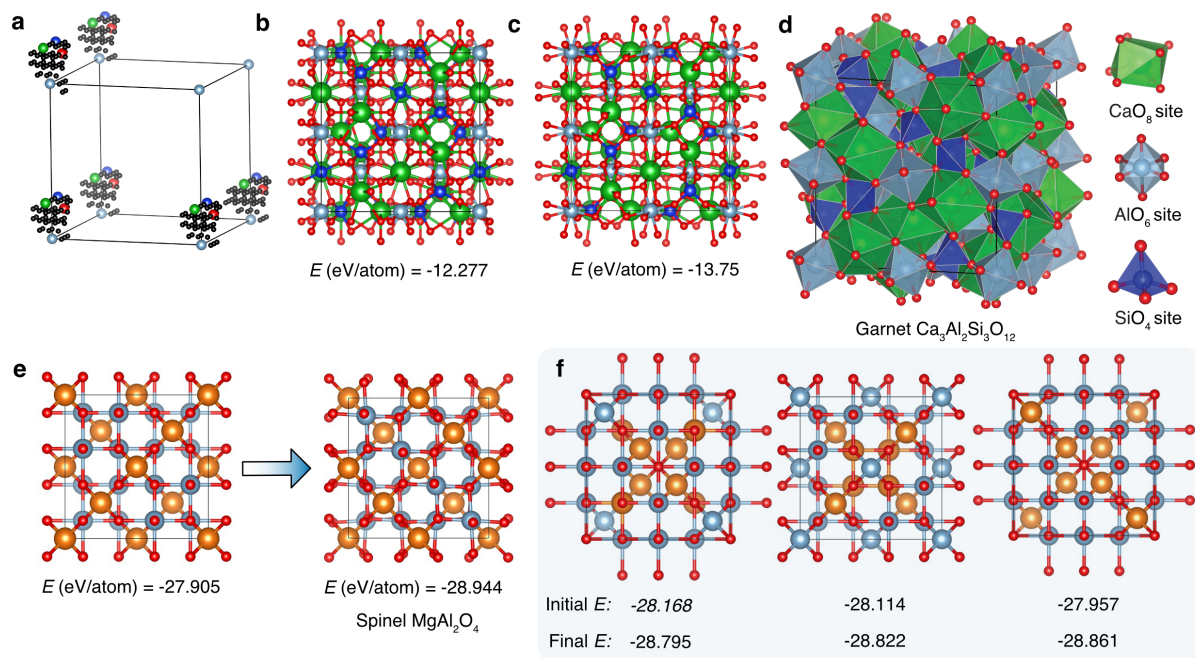
1

2 **Table 1. Configuration spaces that lead to prediction of the experimentally determined**
3 **cubic crystal structures.** The experimentally determined space group symmetry is given in
4 the second column. The perovskite structure (SrTiO_3) was investigated for a varying number
5 of formula units (Z). Other structure types, namely, bixbyite (Y_2O_3), pyrochlore ($\text{Y}_2\text{Ti}_2\text{O}_7$),
6 spinel (MgAl_2O_4) and garnet ($\text{Ca}_3\text{Al}_2\text{Si}_3\text{O}_{12}$), were investigated using different configuration
7 spaces. In all cases, the minima were not only identified, but proved to be optimal. The
8 corresponding periodic lattice atom allocation problems are defined by the dimension of the
9 unit cell and the number of lattice positions per side g . The space group symmetry defines
10 the number of unique lattice positions that is proportional to the size of the integer program.
11 The two configuration spaces labelled by † require local minimisation of several low energy
12 allocations on a lattice before the experimentally determined structure is identified, while in
13 all other cases the global optimum periodic lattice atom allocation immediately leads to the
14 correct structure after one local minimisation. The representative time needed to identify
15 solutions of the corresponding integer programs alongside the proof of their optimality is for
16 the hardware specified in Methods.
17



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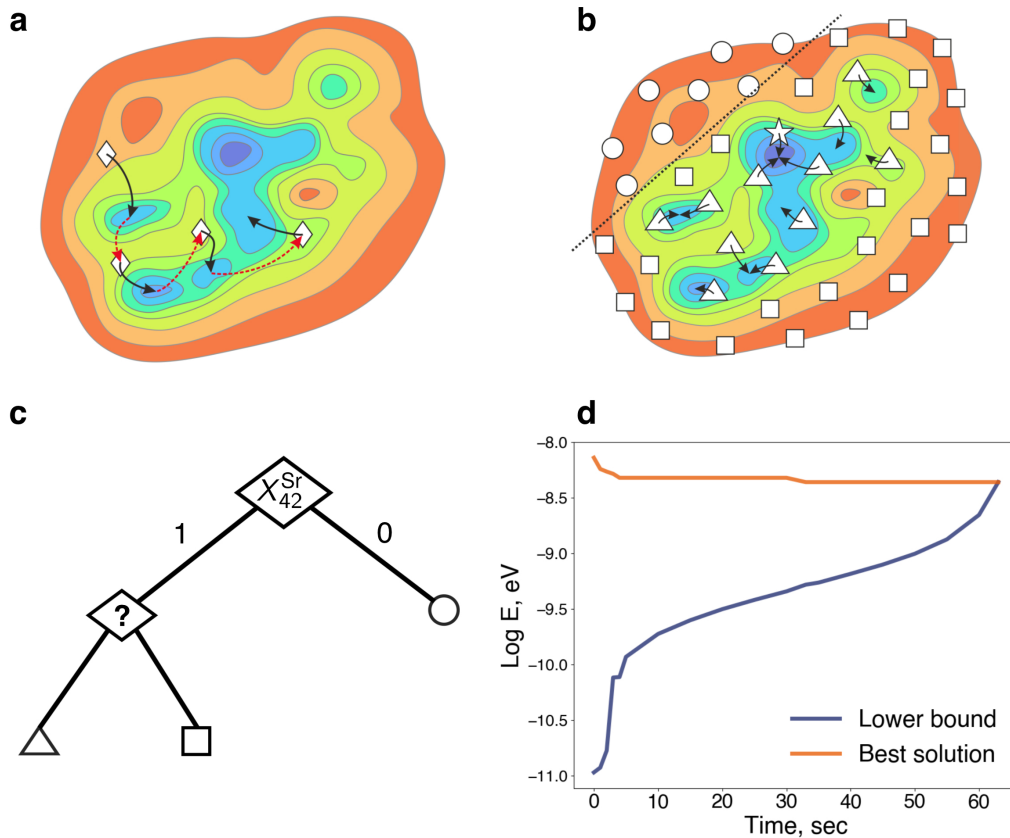
Fig. 1. Crystal structure prediction using integer programming. (a) Atoms defining a specific composition (illustrated here for SrTiO₃) are allocated to a suitably dense set of discrete positions in space under periodic boundary conditions. The resulting configurations generate candidate crystal structures that lie on the potential energy surface shown in (b), where they are represented as circles. Structure prediction can be performed by identifying and then locally minimising low-energy allocations to afford the lowest energy structure with atomic positions in continuous space. If the space of configurations is well-chosen, this leads to the correct crystal structure in a single local minimisation of the lowest energy global optimum allocation (star). Exhaustive evaluation of atomic configurations to identify the globally optimal allocation is achieved by encoding this task as an integer program. This is an established mathematical optimisation problem, which can be solved using existing solvers based on powerful algorithms and emerging quantum computers.



1

2 **Fig. 2. Using integer programming to predict garnet ($\text{Ca}_3\text{Al}_2\text{Si}_3\text{O}_{12}$) and spinel**
3 **(MgAl_2O_4) structures. (a)** The unique positions of a lattice with 0.74\AA discretisation ($g =$
4 16) in the $Ia\bar{3}d$ space group. The space group⁴⁶ is the group of symmetry operations that
5 describe the symmetry of the unit cell. Atomic positions corresponding to the global optimum
6 solution of the resulting periodic lattice atom allocation problem for $\text{Ca}_3\text{Al}_2\text{Si}_3\text{O}_{12}$ are
7 coloured: Ca (green), Al (light blue), Si (dark blue), O (red). Local energy minimisation of
8 this solution, represented in (b), affords the correct garnet structure shown in (c) and (d). (e)
9 The periodic lattice atom allocation for 1.02\AA discretisation ($g = 8$) and $P23$ space group
10 symmetry for MgAl_2O_4 (left) that minimises into the correct spinel structure (right). (f) There
11 are allocations in this configuration space that are lower in energy than (e), as quantified by
12 the initial energy shown, but do not minimise into the correct structure, shown by comparison
13 of the final energies with that in e. The same discretisation with the higher symmetry $F23$
14 space group produces the lowest energy solution that immediately minimises into the correct
15 structure.

16



1

2 **Fig. 3. Comparison between heuristic and non-heuristic exploration of a potential**
 3 **energy surface. (a)** A typical CSP scheme partially explores the surface by iteratively
 4 performing local minimisation (solid black arrows) of selected atomic configurations
 5 (diamonds) in a continuous space. These configurations are derived according to some search
 6 strategy (dotted red arrows) defined by a particular heuristic. **(b)** CSP leveraging integer
 7 programming performs exact global optimisation on the whole discrete configuration space
 8 of crystals generated by periodic atom allocation on a lattice, followed by local structural
 9 minimisation of a single (star), or several (triangles), low energy solution(s) in a continuous
 10 space. **(c)** Branch-and-cut algorithms designed for integer programs achieve the guaranteed
 11 global optimum periodic lattice atom allocation by separating all atomic configurations into
 12 branches roughly corresponding to the allocation decisions. Some branches (circles, located
 13 on **b** to represent their position on the potential energy surface) are completely discarded
 14 (dotted line on **b**), if all allocations are guaranteed to be worse than the best solution found so
 15 far (triangle). More promising branches (triangles) are explored before less promising ones
 16 (squares). The branch-and-cut tree is expanded until all configurations are assessed. **(d)** An
 17 optimisation run of the integer program solver on the periodic lattice atom allocation problem
 18 for SrTiO₃ (Z=27, Table 1). At every moment, a lower bound on the global optimum is
 19 available as well as the lowest energy allocation found so far. The run is completed when
 20 these energies match, providing a guaranteed solution. The global optimum is identified
 21 before the proof of optimality.
 22

1 Methods

3 Configuration spaces

4 Every periodic lattice atom allocation problem, and the resulting configuration space in our
5 computations, is defined by the following parameters:

- 6 (a) the number of atoms to allocate, dimensions of the unit cell, and the chosen force-
7 fields.
- 8 (b) the number of potential atomic positions within a unit cell, which is controlled by the
9 discretisation parameter g equal to the number of lattice points per cell side.
- 10 (c) proximity constraints specify how close two ionic species can be to each other.
- 11 (d) the desired space group of periodic allocations on a lattice.

12
13 To evaluate the applicability of the periodic lattice atom allocation for CSP, we have
14 restricted ourselves to cubic structures and test the prediction of known cubic materials by
15 minimising optimal configurations for variable unit cell size, symmetry and lattice
16 discretisation. Selection of parameters of this kind is an integral part of every CSP code, thus,
17 to assess integer programming encoding, rather than parameter screening, we limit ourselves
18 to a range of parameters set around the experimentally determined value. The lattice
19 parameters reported in Table 1 are the correct values rounded to the first decimal place.
20 Additional computations (Extended Data Tables 1-5) suggest that any reasonable value close
21 to the experimentally determined one will lead to the same outcome. The potential positions
22 are uniformly distributed within the unit cell and their total number is equal to g^3 . Symmetry
23 constraints arise either from the space groups of the experimentally determined structures or
24 their subgroups. In all reported cases, there was at least one configuration space leading to a
25 successful prediction.

26 Potential energy of a crystal

27 The Ewald summation³⁶ decomposes electrostatic interaction into three terms U^{self} , U^{real}
28 and U^{recip} . This split is controlled by a real parameter α and the summation is performed
29 either in real space over the unit cell copies labelled by n or over the reciprocal lattice vectors
30 m . We denote by V the volume of the unit cell, N the number of ions within it, q_i is the
31 charge of the i -th ion, $r_{ij,n}$ is the distance between the i -th ion in the original cell and the j -th
32 ion in the cell labelled by n , r_i is the position vector of the i -th ion within the original cell.
33 The constituent parts are as follows:
34

$$35$$
$$36 \quad U^{self} = -\frac{\alpha}{\sqrt{\pi}} \sum_{i=1}^N q_i^2, \quad U^{real} = \frac{1}{2} \sum_{i,j=1}^{N'} q_i q_j \sum_n \frac{\text{erfc}(\alpha r_{ij,n})}{r_{ij,n}},$$
$$37 \quad U^{recip} = \frac{1}{2\pi V} \sum_{i,j=1}^N q_i q_j \sum_{m \neq 0} \frac{\exp(-(\pi m/\alpha)^2 + 2\pi i m(r_i - r_j))}{m^2}.$$
$$38$$

39 Here, m^2 corresponds to the usual scalar product and the summation up to N' simply excludes
40 the case of $i = j$ for the original unit cell as it leads to division by zero. Since the reciprocal
41 lattice vectors m and the distances $r_{ij,n}$ are well-defined as soon as the unit cell and the set of
42 positions Pos are chosen, these sums can be rearranged and the coefficient in front of $q_i q_j$
43 can be computed independently of the atom allocation. By substituting the charges of
44 elements typ_1, typ_2 for q_i, q_j and pos_1, pos_2 for their positions, we derive the electrostatic
45 contribution part in $\alpha_{pos_1, pos_2}^{typ_1, typ_2}$. The repulsive part of the potential energy is obtained via direct
46 summation, since it has a finite range.
47

1 The repulsive contributions depend on the composition and are given in Extended Data
2 Tables 6 (Y_2O_3 , $\text{Y}_2\text{Ti}_2\text{O}_7$, SrO and SrTiO_3)⁴⁷, 7 (MgAl_2O_4)⁴⁸, 8 ($\text{Ca}_3\text{Al}_2\text{Si}_3\text{O}_{12}$)⁴⁹ and 9
3 (ZrO_2)⁵⁰ and ZnS)⁵¹.

4
5 Local minimisations were performed using GULP⁵² and Atomic Simulation Environment⁵³.
6 Structures are visualised using VESTA⁵⁴.

7 8 **Solving integer programs**

9
10 On a conceptual level, suppose that we are given a minimisation problem that depends on
11 binary variables X_{pos}^{typ} with a feasible region defined by the constraints, as is the case for the
12 periodic lattice atom allocation problem. Such optimisation problems are typically
13 computationally intractable in the sense of the theory of NP-completeness¹⁹. This theory
14 provides strong evidence for the nonexistence of efficient exact algorithms that always
15 succeed in solving these problems, as in the case of CSP itself¹⁴. However, this intractability
16 refers to the difficulty of building universal algorithms that would solve all possible
17 instances. There are though techniques that can efficiently solve most instances. Branch-and-
18 cut is one of these efficient methods that deals with this intractability^{6,24}.

19
20 The first step is a relaxation of the problem to enlarge the set of feasible solutions, most often
21 by dropping some of the constraints, *e.g.*, by allowing X_{pos}^{typ} to be between 0 and 1. The
22 minimum value of the objective function E in such a relaxed feasibility region then drops
23 down, resulting in a lower bound on the original optimal value. Such a lower bound is
24 maintained and refined during the iterative optimisation process. In addition to the lower
25 bound, the solvers also compute and maintain an upper bound on the value of the objective E ,
26 which is simply its value at the best currently found feasible solution to the problem. This
27 optimisation process can gradually tighten the relaxation by adding additional valid
28 constraints known as cuts that are feasible for the original binary problem but unfeasible for
29 the relaxed problem. Together with the upper and lower bounds, this allows systematic and
30 efficient exploration of the search space in pursuit of the optimal solution. Branching relies
31 on constraints that divide the relaxed problem into separate subproblems, where each such
32 subproblem's feasible region is "closer" to a part of the original binary problem region.
33 Which of those subproblems to explore is decided by the current lower and upper bound – if
34 the lower bound happens to be larger than the best known upper bound, this implies that this
35 particular subproblem cannot hold the original optimal binary solution and can thus be
36 omitted. Finally, the optimality of the ultimate binary solution is certified by the fact that its
37 objective value E matches the current lower bound.

38
39 The problem set out above is an example of a binary quadratic integer program, where its
40 variables X_{pos}^{typ} appear in terms that are quadratic. Relaxations of such programs are usually
41 achieved by powerful linearisation techniques, where one replaces each product of two
42 variables by a single fresh variable, thus obtaining a linear term in that new variable. This
43 leads to a relaxed linear or semidefinite program²⁰, which can then be solved efficiently by a
44 multitude of techniques, such as Dantzig's simplex method or interior point methods^{19,55}.
45 Solvers based on optimisation theory thus lead to an exact solution to the integer program for
46 which Equation (1) is the objective function that considers the entire search space and
47 guarantees the optimality of that solution. Beyond that, many-body potentials can be
48 incorporated into Equation (1) by adding products of more than two binary variables.

1 In practice, we were able to solve relatively large integer programs, e.g., Y_2O_3 at $g = 16$ and
 2 $Ia\bar{3}$ symmetry contained 248 binary variables and 30,628 quadratic terms. The combinatorics
 3 do influence the speed, as illustrated by the one hundred-fold increase in run time for $Z=27$
 4 $SrTiO_3$ when the space group symmetry is reduced from $Pm\bar{3}m$ to $P23$, reflecting the larger
 5 number of allocations then possible on the same lattice. Moreover, as our encoding is largely
 6 oblivious to different representations of the same crystal, the number of different assignments
 7 of binary variables corresponding to equivalent crystals, including the global optimum,
 8 significantly increases. This generates equivalent solutions to the program, which are known
 9 to slow the branch-and-cut algorithm⁷. The presented integer program for the periodic lattice
 10 atom allocation problem is only one of many possible encodings, and different encodings for
 11 the same optimisation problem can lead to different run times and theoretical properties⁶. By
 12 developing specific encodings that address redundancies within periodic allocation problems,
 13 the branch-and-cut identification of the guaranteed minimum can be further accelerated.

14 **Constraints for integer programs**

15 The following constraints are always present and ensure that the resulting periodic lattice
 16 atom allocations are correct:

- 17 1. A constraint $\sum_{typ \in Types} X_{pos}^{typ} \leq 1$ for every $pos \in Pos$ to prevent different atoms
 18 from occupying the same position. (*exclusivity*)
- 19 2. A constraint $\sum_{pos \in Pos} X_{pos}^{typ} = C_{typ}$ for every species $typ \in Types$, where C_{typ} is the
 20 desired number of atoms of species typ within the unit cell. (*stoichiometry*)

21 These additional constraints are used to focus the search on desired structure types and
 22 improve the running times:

- 23 1. Crystals have symmetry, so it is natural to constrain our search space by fixing the
 24 desired space group of the resulting allocation. The space group dictates which
 25 positions are symmetrically equivalent and must be occupied by the same chemical
 26 element, namely, these are positions belonging to the same crystallographic orbit. For
 27 every pair of such positions pos_1 and pos_2 we put $X_{pos_1}^{typ} = X_{pos_2}^{typ}$ for all $typ \in Types$.
 28 Note that we can, in principle, rewrite our encoding right away using these equalities
 29 by introducing new variables – one for every orbit and species, but modern solvers do
 30 this easily during the pre-solve stage while integrating other constraints as well.
 31 (*symmetry*)
- 32 2. The exclusivity constraint can be further strengthened to preclude ions from
 33 occupying positions that are too close to each other. To estimate the size of an ion in a
 34 typical crystal, we rely on Shannon ionic radii, see below for the values used in the
 35 study. For every pair of positions pos_1 and pos_2 that are closer than, e.g., 75% of the
 36 sum of ionic radii of typ_1 and typ_2 atoms in our computations, we include the
 37 constraint $X_{pos_1}^{typ_1} + X_{pos_2}^{typ_2} \leq 1$. The selected percentage value is a hyper-parameter
 38 used to accommodate lattice distortion and imprecision of radii estimation.
 39 Practically, we avoid addition of a large number of such constraints and achieve a
 40 similar effect by putting a large positive coefficient in front of the term $X_{pos_1}^{typ_1} X_{pos_2}^{typ_2}$ in
 41 the objective function. If both variables are non-zero, then the energy of such an
 42 arrangement is very high, and it is excluded from consideration. (*proximity*)

1 The following values of Shannon ionic radii were used during computations⁵⁶: O²⁻ 1.35 Å, S²⁻
2 1.84 Å, Al³⁺ 0.39 Å, Y³⁺ 0.9 Å, Mg²⁺ 0.57 Å, Ti⁴⁺ 0.42 Å, Ca²⁺ 1.0 Å, Si⁴⁺ 0.26 Å, Zr⁴⁺ 0.59
3 Å, Zn²⁺ 0.6 Å, Sr²⁺ 1.18 Å.

4 5 **Quantum computing**

6
7 Equation (1) contains only products of at most two binary variables and thus can be seen as
8 the objective function of a QUBO problem. Periodic lattice allocation problems have
9 additional constraints such as exclusivity and stoichiometry which we need to eliminate in
10 order to use quantum annealers. We mimic the effect of these constraints via modification of
11 the objective function. By adding additional penalty terms, we can ensure that an optimal
12 solution of the modified program satisfies the constraints, otherwise, its energy is too high to
13 be optimal. The term $\mu \sum_{typ_1, typ_2} X_{pos}^{typ_1} X_{pos}^{typ_2}$, which is at least μ as soon as two different ion
14 species are placed at pos , enforces the exclusivity condition if μ is a large enough positive
15 number. By introducing the term $\gamma (C_{typ} - \sum_{pos \in P_{os}} X_{pos}^{typ})^2$ with a large enough positive γ ,
16 we ensure that an optimal allocation must have the desired stoichiometry. This results in a
17 QUBO problem that can be directly submitted to a quantum annealer, other hardware QUBO
18 solvers^{10,44} or addressed with quantum approximate optimisation algorithms on gate-based
19 quantum computers⁵⁷. In practice, quantum annealers produce a range of allocations
20 including the ones that violate these conditions, but their energies are high, and they are
21 excluded during postprocessing.

22
23 We solved a variety of simple structures on the 2000Q quantum annealer provided free by D-
24 Wave via Leap⁴⁵. There are configuration spaces where the quantum annealer has been able
25 to identify the global optimum allocation that minimises into the experimental structure,
26 exactly as achieved with the classical computing approach. Specifically, we have obtained
27 the rock salt structure of SrO ($g = 2, P23$), where the actual space group $Fm\bar{3}m$ was
28 replaced with $P23$ to increase the complexity of the problem, the perovskite structure of
29 SrTiO₃ ($g = 2, Pm\bar{3}m$), the fluorite structure of cubic zirconia ZrO₂ ($g = 4, P2_13$) and the
30 wurtzite structure of ZnS ($g = 4, P23$). We used space group symmetry and a small number
31 of lattice positions to limit the size of the resulting integer programs. Each optimisation run
32 of the quantum annealer used up to 168 qubits and produced hundreds of allocations in
33 milliseconds including several allocations corresponding to the global optimum. While the
34 current generation of quantum annealers is limited in terms of the size of programs they can
35 run, because of a lack of free qubits and their connectivity, and cannot guarantee optimality
36 due to their high sensitivity to the noisy environment, the technology is being actively
37 developed to overcome these drawbacks⁵⁸.

38
39 We have deliberately used integer programs of the same form as used in classical computing
40 for clarity and simplicity. The only adjustments were the annealing schedules, which control
41 the optimisation process on the quantum computer, and the penalty terms used during
42 constraint elimination of the resulting integer programs (Extended Data Table 10). These
43 parameters had significant impact on the outcomes of predictions. The QUBO formulation
44 introduced here can be further adjusted to better suit the quantum annealer. It is likely that by
45 designing CSP encodings that are well-suited to the noisy intermediate-scale quantum
46 computers, performance will improve, with the aim of effectively recovering optimality in
47 almost all cases⁵⁹. Hybrid quantum-classical computation⁶⁰ could bring significant
48 advantages of quantum computing for CSP even before the full potential of this technology is
49 realised.

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Equipment

Our computational experiments were done on a 40-core workstation (two 20-core Intel Xeon E5-2630v4 CPUs) running at 2.2 GHz with 64Gb of RAM. Gurobi 9.5 was the integer programming solver used. Quantum computations were done on D-Wave 2000Q quantum annealer via Leap using the associated API (<https://docs.ocean.dwavesys.com/en/stable/>).

Data availability

The authors declare that the data supporting the findings of this study are available within the paper and its supplementary information files.

Code availability

An implementation of the integer programming encoding for periodic lattice allocation problem and subsequent CSP is available at <https://github.com/lrcfmd/ipcsp>.

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9

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14

15

16 **Author contributions**

17 All authors took part in discussions to frame the use of modern optimisation approaches in
18 CSP. V.V.G. and A.D. conceptualised the idea of periodic lattice atom allocation. V.V.G.
19 developed Ewald summation and QUBO encodings, implemented the approach and evaluated
20 it on classical computers. Dm.A. and C.M.C. performed supplementary analysis of resulting
21 structures. V.V.G. suggested the use of quantum annealers, V.V.G. and Du.A. performed
22 evaluation. V.V.G., A.D., Dm.A., M.S.D., M.J.R. wrote the first draft of the manuscript.
23 V.V.G., Du.A., C.M.C., P.K., I.P., P.S., and M.J.R. wrote the final draft of the manuscript.
24 All authors were involved in discussions and evaluation of drafts during the writing process.
25 P.S. and M.J.R. directed the research.

26 **Competing interests**

27 Authors declare that they have no competing interests.

28 **Supplementary information**

29 Supplementary Discussion and Supplementary Equations are provided.

30 **Correspondence** should be addressed to P.S. and M.J.R.

31

1 **Extended Data**

2

Unit cell size	-30%	-20%	-10%	Correct	+10%	+20%	+30%
eV/atom	infeasible	-22.989	-30.186	-31.704	-30.907	-29.273	-27.441

3
4 **Extended Data Table 1.** The change in energy of the optimal solution of the periodic lattice
5 atom allocation problem for SrTiO₃ with $g = 4$ and $P23$ (195) space group symmetry
6 constraint under varying unit cell size. If the unit cell is too small to accommodate all the ions
7 while satisfying proximity constraints, then no solution is returned. This is the case for the
8 unit cell that is 30% smaller than the experimentally determined structure (2.7 Å vs 3.9 Å).
9 All other periodic lattice atom allocations listed above are locally optimised into the same
10 correct structure despite having very different unit cell sizes (and energies), indicating that
11 exact knowledge of cell parameters is not necessary for a successful application of this
12 technique.

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Unit cell size	-20%	-10%	Correct	+10%	+20%
eV/atom	-23.091	-27.254	-27.905	-27.098	-25.735

16
17 **Extended Data Table 2.** The change in energy of the optimal solution of the periodic lattice
18 atom allocation problem for MgAl₂O₄ with $g = 8$ and $Fd\bar{3}m$ (227) space group symmetry
19 constraint under varying unit cell size. All optimal configurations minimise into the correct
20 spinel structure with the energy -28.944 eV/atom.

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Unit cell size	-5%	Correct	+10%	+15%	+20%
eV/atom	-25.252	-26.262	-26.128	-26.251	-25.876

24
25 **Extended Data Table 3.** The change in energy of the optimal solution of the periodic lattice
26 atom allocation problem for Y₂O₃ with $g = 16$ and $Ia\bar{3}$ (206) space group symmetry
27 constraint under varying unit cell size. All optimal configurations minimise into the correct
28 bixbyite structure with the energy -27.395 meV/atom. Note that the global optimal solution
29 for the periodic lattice atom allocation problem for Y₂O₃ with the unit cell size -10%
30 minimises into a structure with the energy -26.392 eV/atom, which is higher by 1.002
31 eV/atom than the correct structure, indicating that the optimal configuration has been
32 changed.

Unit cell size	-15%	-10%	Correct	+5%	+10%
eV/atom	-28.680	-32.471	-35.002	-34.919	-34.388

Extended Data Table 4. The change in energy of the optimal solution of the periodic lattice atom allocation problem for $Y_2Ti_2O_7$ with $g = 16$ and $Fd\bar{3}m$ (227) symmetry constraint under varying unit cell size. All optimal configurations minimise into the correct pyrochlore structure with the energy -35.154 eV/atom.

Unit cell size	-3%	-2%	Correct	+5%	+10%	+15%
eV/atom	-12.109	-12.176	-12.277	-12.327	-12.253	-12.302

Extended Data Table 5. The change in the optimal solution of the periodic lattice atom allocation problem under varying unit cell size for $Ca_3Al_2Si_3O_{12}$, $g=16$, $Ia\bar{3}d$ (230). All optimal configurations relax into the correct garnet structure with the energy -13.750 eV/atom.

Interaction	A (eV)	ρ (Å)	C (eV Å ⁻⁶)
$Y^{3+} - O^{2-}$	23000	0.24203	0
$Sr^{2+} - O^{2-}$	1952.39	0.33685	19.22
$Ti^{4+} - O^{2-}$	4590.7279	0.261	0
$O^{2-} - O^{2-}$	1388.77	0.36262	175

Extended Data Table 6. Buckingham potential parameters for Y_2O_3 , $Y_2Ti_2O_7$, SrO and $SrTiO_3$ with the cut-off radius of 10Å .⁴⁷

Interaction	A (eV)	ρ (Å)	C (eV Å ⁻⁶)
$Mg^{2+} - O^{2-}$	1284.380	0.2997	0
$Al^{3+} - O^{2-}$	1725.000	0.2897	0
$O^{2-} - O^{2-}$	9547.960	0.2192	32.00

Extended Data Table 7. Buckingham potential parameters for $MgAl_2O_4$.⁴⁸

Interaction	D_{ij} (eV)	a_{ij} (\AA^{-2})	r_0 (\AA)	C_{ij} (eV \AA^{12})
$\text{Ca}^{1.2+} - \text{O}^{1.2-}$	0.030211	2.241334	2.923245	5.00
$\text{Si}^{2.4+} - \text{O}^{1.2-}$	0.340554	2.006700	2.100000	1.00
$\text{Al}^{1.8-} - \text{O}^{1.2-}$	0.361581	1.900442	2.164818	0.90
$\text{O}^{1.2-} - \text{O}^{1.2-}$	0.042395	1.379316	3.618701	22.00

Extended Data Table 8. Force-field parameters for $\text{Ca}_3\text{Al}_2\text{Si}_3\text{O}_{12}$.⁴⁹ The repulsive part is a combination of Morse and Lennard-Jones potentials as follows:

$D_{ij} \left(\left(1 - \exp \left(-a_{ij}(r - r_0) \right) \right)^2 - 1 \right) + \frac{C_{ij}}{r^{12}}$. This parameter set uses partial charge states on the ions.

Interaction	A (eV)	ρ (\AA)	C (eV \AA^{-6})
$\text{Zr}^{4+} - \text{O}^{2-}$	7290.347	0.2610	0
$\text{O}^{2-} - \text{O}^{2-}$	25.410	0.6937	32.32
$\text{Zn}^{2+} - \text{S}^{2-}$	613.356	0.3990	0
$\text{S}^{2-} - \text{S}^{2-}$	1200.000	0.1490	120.0

Extended Data Table 9. Buckingham potential parameters for ZrO_2 ⁵⁰ and ZnS ⁵¹.

Crystal structure	μ	γ	Annealing time, μs
SrO	100	100	200
SrTiO ₃	100	100	200
ZrO ₂	50	50	1000
ZnS	100	100	200

Extended Data Table 10. Parameters of the quantum annealing runs. In every case, the quantum annealer produced the correct periodic lattice atom allocation. The number of reads were between 100 and 300. Annealing time is given in microseconds. The presented coefficients μ and γ that were used to offset proximity and stoichiometry constraints to the objective function are defined in Methods.