Environmental influences on human innovation and behavioural diversity in southern Africa 92-80 000 years ago

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- 55

56 Abstract

- 57 Africa's Middle Stone Age preserves sporadic evidence for novel behaviours among early
- 58 modern humans, prompting a range of questions about the influence of social and
- 59 environmental factors on patterns of human behavioural evolution. Here we document a
- suite of novel adaptations dating approximately 92-80 000 years before present at the
- archaeological site Varsche Rivier 003 (VR003), located in southern Africa's arid Succulent
- 62 Karoo Biome. Distinctive innovations include the production of ostrich eggshell artefacts,
- 63 long-distance transportation of marine molluscs, and systematic use of heat shatter in stone
- tool production, none of which occur in coeval assemblages at sites in more humid, well-
- 65 studied regions immediately to the south. The appearance of these novelties at VR003
- 66 corresponds with a period of reduced regional wind strength and enhanced summer rainfall,
- and all of them disappear with increasing winter rainfall dominance after 80 000 years before
- 68 present, following which a pattern of technological similarity emerges at sites throughout the
- 69 broader region. The results indicate complex and environmentally-contingent processes of
- innovation and cultural transmission in southern Africa during the Middle Stone Age.

71 Introduction

72 Archaeological evidence from the African Middle Stone Age (MSA, 400-40 ka) defines our 73 understanding of human behavioural evolution in the critical window between the emergence of our species and our subsequent global spread. Yet evidence for cultural innovation-the 74 75 appearance of novel items such as distinctive stone tools, engravings, ornaments, and implements made from organic materials-occurs unevenly through the MSA in both space 76 and time. In southern Africa, evidence for innovation appears to concentrate in two 77 successive cultural units referred to as the Still Bay and Howiesons Poort, dating 78 approximately 77-59 ka (1, 2). In the preceding periods of the MSA ('pre-Still Bay'), 79 80 instances of cultural innovation tend to be temporally and geographically isolated (3). In the subsequent MSA ('post-Howiesons Poort'), several innovations appear to be lost, including a 81 tradition of producing engraved ostrich eggshell (OES) water flasks that previously extended 82 83 across 600 km of diverse habitats from the southern Cape coast to the deserts of southern 84 Namibia (4, 5). 85

86 Explaining the complicated and apparently non-directional pattern of MSA cultural change is 87 key to understanding controls on human behavioural evolution. Prominent explanations have 88 included fluctuations in effective population size (6), the advent of territorial defense 89 associated with exploitation of dense and predictable resources (7), and climatically driven 90 phasing of cultural connectedness across the subcontinent (8). A significant biogeographic 91 skew in the available archaeological dataset, however, makes these explanations difficult to test. Southern Africa is an ecologically diverse region with complex climate dynamics, yet 92 evidence for MSA cultural innovation derives disproportionately from the relatively humid 93 southern Cape coastal fringe (Figure 1). The archaeology of the arid regions of the west 94 95 coast and interior remains notably understudied (9, 10). This skew may act to exaggerate both the appearance of cultural homogeneity and the periodicity of innovation by excluding 96 97 ecosystems that required different behavioural adaptations to enable occupation at different times. It also complicates our understanding of cultural transmission dynamics-of when and 98 under what conditions behaviours were transferred across biogeographic boundaries—and 99 thus of the interplay between population connectivity and the development and persistence 100 101 of innovations. 102

103 Here we present new data from excavations at the site of Varsche Rivier 003 (VR003),

104 located 44 km from the Atlantic coast in the Knersvlakte bioregion, southern Namaqualand

105 (Figure 1). Falling within the modern Succulent Karoo Biome, the Knersvlakte currently

receives ~175±30 mm of rainfall per year (11, 12), almost all during the austral winter, and is

107 characterised by a limited groundcover of xeric-adapted succulent shrubs and endemic

108 'stone plants' (13). While the MSA archaeology of the Succulent Karoo is largely unstudied,

- 109 VR003 lies close to the modern limits of the Fynbos Biome. Characterised by evergreen
- shrubs and reeds, and closely related to winter rainfall regimes (14), the Fynbos Biome's
- 111 current distribution encompasses many of southern Africa's most prominent MSA sites (15).
- 112 Edaphic controls mean the Succulent Karoo / Fynbos Biome boundary is unlikely to have
- shifted substantially through the late Pleistocene (13, 16), though intensified winter rainfall
- 114 may have supported periodic northward range expansion of some fynbos taxa (17).
- 115
- 116 Still Bay and Howiesons Poort assemblages occur in the upper strata at VR003 (18). Initial
- 117 luminescence ages suggested that these occurrences were anomalously young; we redate
- the Howiesons Poort here to between 71.6-55.7 ka (SI Geochronology, Table S6),
- 119 consistent with the majority of ages elsewhere, and supporting cultural linkages between
- 120 VR003 and sites in the Fynbos during Marine Isotope Stage (MIS) 4. The character and
- 121 cultural transmission dynamics of the MSA prior to the Still Bay in this region are, however,
- 122 comparatively unknown. We thus focus on results from the deepest excavated layers at
- 123 VR003, and their relationship to coeval MSA deposits elsewhere, to explore 1) evidence for
- innovative behaviours in this typically arid region, 2) the strength of cultural connectedness
- 125 with sites to the south, and 3) relationships between innovation, cultural connectedness and
- 126 environmental change.
- 127

128 Results

- Site formation and chronology: VR003 is a north-facing rock shelter site in Neoproterozoic 129 limestone of the Widouw Formation and located in the gorge wall of the Varsche River 130 (Figure 1). Five excavation seasons since 2009 have focussed mainly on the upper slope 131 deposits in front of the rock shelter (Main Area) and the accessible areas of the shelter itself 132 (Shelter), linked by a narrow trench (Link Trench; Figure S1). The deep sounding in the Main 133 Area excavation has attained a depth of 1.9 m below surface without encountering bedrock. 134 No subsurface Later Stone Age (LSA) material is preserved in the Main Area, and the entire 135 deposit so far excavated can be assigned to the MSA. Still Bay and Howiesons Poort 136 137 horizons occur in stratum I-04 near the top of the sequence (Figure S1) (18), underlain by 138 extensive MSA (strata I-05 to I-09). Having previously described the material through to I-07 (18), we concentrate here on the deepest excavated pre-Still Bay deposits in the Main Area, 139 comprising two archaeological horizons – I-08 and I-09 – referred to for the purposes of this 140 141 paper as the Lower Deposits.
- 142
- 143 The sedimentary sequence in the Main Area is composed of slope deposits with fine grained 144 colluvium and coarser grained debris flow (Figure 2; for more detail see SI Geoarchaeology,

145 Figures S2-5). Deposition of non-archaeological clasts on the slope by debris flow and 146 colluvial processes is indicated by a lack of sorting or orientation and the fine coating of 147 some coarse grained materials, though the low incidence of soil aggregates (Figure 2, inset B) and the lack of rounded rocks from the plateau above the shelter suggests overall limited 148 149 transport distances. The regular occurrence of microscopic lithic knapping debris, and the high incidence and broad size distribution of microscopic bones (Figure 2, inset A, B) are 150 typical for occupation layers suggesting their initial deposition on the slope. The presence of 151 gypsum in the deposits indicates an arid environment with high evaporation rates. Fabric 152 analysis of elongated bone and stone objects (n=353) reveals a predominantly planar 153 distribution, suggesting that archaeological materials in the Lower Deposits were discarded 154 on the slope (Figure S6). Object dip does not deviate from the surface slope or the overlying 155 strata, and orientation does not differ significantly from a uniform distribution (Rayleigh test, 156 p = 0.0008). Similarly, the dip of the 12 identified lithic refit sets, mainly comprising 157 sequential flake removals (n=9), generally follows the deposit slope (Figure S7). 158 159 160 The Lower Deposits have been dated using single-grain luminescence (pIRIR) on feldspar, 161 and by U-series dating on ostrich eggshell (OES) fragments (Figure 2). Potassium feldspar 162 extracted from four sediment samples distributed from near the top of I-08 to the base of I-09 163 yielded stratigraphically consistent ages ranging from 80.8±4.6 ka (1σ , ±9.2 ka 2σ) to 89.9 \pm 5.0 ka (1 σ , \pm 10.1 ka 2 σ) (Table S7). U-series dating on three fragments of OES from 164 lower I-08 and upper I-09 used a novel approach termed ²³⁰Th/U burial dating (19). As OES 165 fragments were not typically piece-plotted during excavations we used two samples from 166 bucket aggregates that also yielded OES artefacts (VR003-9300, VR003-6612) (see below), 167 and one well-preserved piece-plotted fragment (VR003-9085) (Figures S11-S15). OES 168 fragment VR003-9300 yields a 230 Th/U burial age of 88.3 ±3.2 ka (2 σ error). OES fragment 169 VR003-9085 yields concordant sub-sample ages consistent with rapid U uptake, defining a 170 mean age of 90.7 ±0.7 ka in good agreement with the age determined for VR003-9300. 171

172 VR003-6612 also yields concordant sub-sample ages, however, their mean age of 74.5 ±1.4

ka is significantly younger than ages obtained for the two other OES, though it is within error

of the luminescence ages at 2σ . This may imply mixing either in the bucket aggregate from

175 which the sample derived or via disturbance processes such as bioturbation (SI

176 Geoarchaeology). A probability density function provides a combined age range for the

177 Lower Deposits of 92-80 ka at 1σ (SI Geochronology).

178

<u>Archaeology</u>: Phytoliths were analysed from nine samples distributed across stratigraphic
 units from I-09 to I-03 (SI Phytolith analysis, Tables S9 & S10, Figure S17). Abundance
 varies between samples, with highest concentrations in the Lower Deposits corresponding

182 with high proportions of Poaceae (grasses) relative to Restionaceae and non-graminoid 183 (woody and shrubby) vegetation compared to overlying strata (Table S10). The ratio of C_4 184 (warm growing season) to C_3 (cool growing season) to grass phytoliths is low (<20.8). consistent with the region's winter rainfall regime. However, higher proportions of warm 185 186 growing season C_4 grasses in the Lower Deposits (18.7±3.4) compared with the overlying strata (6.7 \pm 2.3) suggest a decrease in summer rain after ~80 ka. The decline in C₄ 187 grasses-and grasses in general-is concurrent with a two-fold increase in Restionaceae 188 and woody phytolith percentages. Combined these results are consistent with the marked 189 increase in wind strength and upwelling along the west coast that began in MIS 5a (Figure 3) 190 and the weakening of tropical systems in the southern continental interior under decreasing 191 192 orbital eccentricity (20). These factors would have limited the incursion of summer rainfall 193 systems in the region, resulting in increased rainfall seasonality (21). As Restionaceae is 194 strongly linked to winter rainfall in the Cape, this may be further evidence for an overall shift toward a more dominant winter rainfall regime (see SI Phytoliths). 195

196

197 The preserved vertebrate sample of the Lower Deposits is small (NISP=524; SI

Vertebrates, Table S11, which includes scientific names for the taxa mentioned below) and 198 dominated by tortoises (n=352), most of which are angulate tortoises that feed on grasses, 199 200 annual herbs, and succulents in sandy, coastal habitats and succulent scrublands (22). Bovids of all sizes are present, although only eland and grysbok/steenbok could be identified 201 202 to taxon. Eland can tolerate a wide variety of habitats, including those with limited surface 203 water, by consuming browse and grass (23). Steenbok are browsers that are adapted to open areas with some tall grass, bushes or scrub for shelter; grysbok may have extended 204 this far north, but generally prefer denser cover (23, 24). Cape zebra was a grazer that 205 206 would have consumed grasses in open areas (25). Consistent with the phytoliths, the faunal 207 communities imply a relatively open, arid to semi-arid environment, offering graze and browse in diverse habitats. These taxa are also found higher in the sequence, along with 208 209 springbok, wildebeest/hartebeest, and blue antelope, indicating that grasses persisted in the 210 region; small sample sizes limit detailed comparisons.

211

In addition to mammals and reptiles, the Lower Deposits preserve 26 shellfish fragments.
Limpets account for 77% of these, with granite limpets the dominant taxon, along with two
black mussel fragments (SI Molluscs; Table S12, which includes scientific names). Other
than a few isolated overlying examples, the majority of all marine shells at VR003 cluster in a
band in the Lower Deposits (Figure 2). Given the luminescence and U-series results, relative
sea level during the formation of the Lower Deposits would have been 25-40 m below

present (26), though due to off-shore topography this would not have substantially increased
VR003's distance to shore (27). The molluscs were thus likely obtained at least 45-50 km
from site. While limpets and mussels appear as an important food resource in other South
African coastal MSA assemblages (28), they are rarely transported as food packages
beyond 10 km (29), raising questions about whether the VR003 individuals were transported
as food or as empty shells. The shells from the Lower Deposits are fragmented, but no ochre
residues nor anthropogenic modifications were observed on them.

225

OES is abundant at VR003, consisting mainly of unworked fragments, most of which appear 226 227 to have been heated (SI Ostrich eggshell, Table S9). Surface preservation is typically poor, 228 and engraved OES is limited to one piece with parallel striations from a Howiesons Poort 229 context in the Link Trench (Figure S1). From approximately halfway through the Lower 230 Deposits, however, we recovered 21 flaked OES fragments (Figures 2 & 4; SI Ostrich eggshell, Table S14). All pieces show flaking in the form of precise percussive initiations that 231 were truncated when the piece broke. Typically three or more initiations were used to form 232 an arc consistent with a circular aperture of approximately 23.6 mm (±6.1 mm) that likely 233 234 reflect circular perforations initially made on whole OES (Table S14). Such perforations are consistent in size, if not form, with laterally perforated ostrich eggshell flasks from the 235 Holocene (30). Pieces with only one or two initiations may reflect the early stages of this 236 perforation process (SI Ostrich eggshell). 237

238

Main Area excavations have produced 27 772 flaked stone artefacts; 3062 of these were 239 recovered from the Lower Deposits (SI Lithics) in addition to a small sample of pigments (SI 240 Pigments). Distinctive artefact markers for the Still Bay and Howiesons Poort are limited to 241 242 the upper strata, mainly I-04 (Figure S1). Quartz accounts for 60.0% of all artefacts from I-07 243 to I-03 (range: 54.2-65.4%), and silcrete for 10.9% (range: 7.2-16.1%) (18). In the Lower Deposits the proportion of quartz (29.8%) halves while silcrete (41.6%) becomes the 244 245 dominant raw material for the only time in the sequence (Table S16). This change is most evident in the distribution of silcrete cores (Figure 2) which account for 61.5% of cores in the 246 247 Lower Deposits.

248

These silcrete cores are small (max dimension mean= 43.7 ± 10.2 mm), typologically diverse (Table S22), and exclusively produced small flakes and blades (scar length mean= 17.4 ± 6.9 mm). Cores with prepared platforms and no obvious management of the flaking surface are the most common identifiable type, though opportunistic and minimally worked cores account for about a quarter of the total. The average number of flake scars on silcrete cores

- is 3.1, suggesting generally short reduction chains. Blade removals are not numerous but
 are concentrated on some cores (Figure 5, Figure S24), and with an average scar length of
 15.2 mm could be classified as bladelets. Blade production, however, generally seems to
 have been opportunistic (SI Lithics, Tables S17 & S18).
- 258

Heat shatter is unusually common in the Lower Deposits at VR003 (SI Lithics, Table S24, 259 Figure S22), accounting for 24.2% of all silcrete artefacts (Tables S16); 62.3% of silcrete 260 cores were made on such heat shattered fragments. Among those cores the mean scar 261 262 count is only 2.3. Thus, the majority of silcrete cores in the Lower Deposits result from heat shattering of unworked pieces that were retrieved and used to produce a limited number of 263 small flaking products prior to discard (Figure 5). Given the short reduction chains it is less 264 likely that heat was used to improve the flaking characteristics of the rock than as an 265 expedient means of creating small, angular core blanks. 266

267

268 Discussion

- 269 Geoarchaeological work combined with refit analysis suggests that strata I-08 and I-09 at
- 270 VR003 are intact slope deposits, and two different geochronometric techniques produce
- 271 largely concordant ages suggesting accumulation within the interval ~92-80 ka. Phytolith
- assemblages in these Lower Deposits indicate higher proportions of summer rain and limited
- fynbos taxa, giving way to an increasingly dominant winter rainfall regime across the MIS 5/4
- transition. Corresponding with these environmental changes, the archaeological
- assemblages in the Lower Deposits are distinctive both from those overlying them, but also
- 276 from documented MSA assemblages elsewhere in southern Africa.
- 277

Though it is not possible to confirm that the flaked OES pieces are fragments of flasks, no 278 279 comparable flaked OES artefacts have been reported from other MSA assemblages with large samples of OES (e.g., (9, 31, 32)). Potential OES flasks occur in the Howiesons Poort 280 at Apollo 11 (33) and Diepkloof (4), but have not been reported from pre-Howiesons Poort 281 contexts. The flask apertures at Diepkloof are also ground rather than flaked, consistent with 282 283 LSA flasks. Thus, if the flaked OES from VR003 were flasks they were produced differently 284 to other flasks from either MSA or LSA, and if they were not flasks then they reflect some other novel behaviour. In either case, use of OES as a raw material for artefact manufacture 285 286 appears to be quite deep-rooted in the MSA.

287

Evidence for exploitation of edible shellfish species (e.g. limpets and mussels) occurs along
the southern Cape coast as early as 164 ka (34); however transport of such species over
>45 km is rare during the MSA and suggests movement of people between coastal and

291 interior southern Namagualand during MIS 5b. Long-distance transportation of a small

292 sample of shellfish during the MSA has been noted from Apollo 11 and Pockenbank, which

293 are ~140 km and ~120 km from the modern coast respectively (35, 36); they are both

located in southern Namibia, suggesting that this behaviour may have been widespread 294

295 through arid regions of southern Africa. Elsewhere limited transport of marine shell over ~15

- km is found in the MSA at Diepkloof (31) and at Sibudu Cave (37). 296
- 297

The major component of the Lower Deposits lithic technology is characterised by focussed 298 299 procurement of silcrete, use of heat shatter to create core blanks, and short core-reduction chains producing small flakes and blades. Comparable technological systems have not been 300 described in the MSA elsewhere (for reviews see 1, 38, 39, and SI Lithics), including at the 301 six Fynbos Biome sites that lie within 100 km of VR003 (Figure 1; SI Lithics, Table S24). 302 303 Assemblages at those nearby sites are generally dominated by locally available quartzite or 304 hornfels with systematic use of silcrete only after 75 ka (40-45). The exception is in MSA-305 Lynn at Diepkloof, which exhibits an increase in heat-treated silcrete and dedicated bladelet 306 production leading in to the Still Bay (3). Heat shatter is rare in that assemblage, however, 307 and none of the documented reduction processes feature the systematic use of core blanks 308 made from heat shatter. The presence of bifacial and unifacial points in MSA-Lynn also 309 suggests continuity with the overlying Still Bay, something that did not occur at VR003.

310

Results from VR003 suggest that the southern Succulent Karoo fostered a different set of 311 adaptations to those characteristic of more humid areas to the south, including the 312 manufacture of OES artefacts (possibly flasks), long distance transportation of marine shell, 313 and the primary use of heat treatment to create core blanks for production of small flakes 314 and blades. This package corresponds to a specific set of environmental conditions, and 315 316 terminates around 80 ka with an apparent strengthening of regional winter rainfall systems. Though it lacks fossile directeur implements, the archaeological signature of the Lower 317 Deposits is as distinctive in the VR003 MSA sequence as the Howiesons Poort or Still Bay. 318 The difference between these phases is not in their innovativeness per se, but in their 319 320 differing geographic extent. While the Howiesons Poort and Still Bay extend across much of 321 southern Africa, the Lower Deposit package at VR003 appears to be a localised and 322 environmentally-contingent adaptation.

323

324 These observations carry four significant implications for models of human behavioural evolution. First, they support arguments that the productive environments of the southern 325 Cape coastal plains were not the sole locus of human innovation in southern Africa (9). 326 327 Indeed, conversely, marginal environments likely required novel or at least distinct

technological solutions to enable persistent survivorship (46). Second, and following from the
 first, research emphasis on biogeographically limited regions of southern Africa has probably
 acted to exaggerate the extent of cultural similarities through the MSA (SI Lithics).

331

Third, cultural connectedness in southern Africa, if measurable by similarity in stone tool 332 333 assemblages, was variable through the late Pleistocene. While VR003 is culturally in phase with sites across the Fynbos Biome at least after 71 ka, it was not between 92-80 ka. Fourth, 334 arguments that widespread cultural interaction was a primary driver of innovation in southern 335 336 Africa (e.g., (8)) are not supported. It is possible that strong cultural connections pertained across the arid and interior regions of the sub-continent during MIS 5, however available 337 data are insufficient to substantiate this proposition. In any case, Still Bay and Howiesons 338 Poort assemblages occur across these regions as well as more humid parts of southern 339 Africa (2). More interesting is the apparent loss of innovations (production of OES artefacts, 340 systematic use of heat shatter to create core blanks) at VR003 after 80 ka, preceding the 341 342 spread of the Still Bay. In some cases at least, innovation was responsive to environmental conditions and neither driven by interaction nor inherently cumulative (47). 343

344

345 Though the suite of adaptations identified at VR003 is currently unique, the pattern of 346 transient innovations is consistent with the broader evidence from the African MSA. This can 347 be read in one of two ways. It could imply a pre-modern condition in which the capacity for innovation was latent but cultural behaviour itself was yet to become a major selective force 348 (48). Subsequent developments may reflect stabilisation of high-fidelity information 349 transmission in the human niche (49, 50), potentially supported by transformations in 350 language efficacy (51). Alternatively, it may partly reflect the out-sized role played by durable 351 materials in models of human behavioural evolution. Flask fragments are rare even in large 352 LSA OES assemblages, and their identifying characteristics are-in contrast to the possible 353 VR003 examples—quite subtle; ground surfaces representing fragments of apertures <15 354 mm (30). In the small, fragmented OES assemblages from pre-Still Bay MSA contexts (9) 355 and/or where surface preservation is poor (as at VR003) such artefacts would easily be 356 357 masked. Thus, even if the flaked OES from the Lower Deposits are the remains of flasks, 358 their disappearance does not necessarily reflect the loss of that capability but potentially its shift into a form that is less robust and visually obvious. Similarly, while the long-distance 359 transportation of durable items is evident in the MSA by 200 ka (52); evidence for long-360 361 distance transport of perishable items, as with the shellfish at VR003, first appears much later for reasons that we consider more probably taphonomic than behavioural. Such 362 preservation problems currently confound all models of human behavioural evolution (49, 53, 363 364 54). Ultimately the archaeology of human behavioural evolution reflects the intersection of

- four factors: capability to innovate (55), motivation to innovate (46), stabilisation of
- innovations (56, 57), and preservation of innovations (58). The record from VR003 speaks to
- the first two, highlighting the importance of obtaining data from diverse ecological regions.
- 368 Disentangling the last two remains a key challenge going forward.
- 369

370 Methods

- 371 Excavation. Excavations at VR003 proceeded within stratigraphic units. Only selected items
- were individually piece plotted during excavations in 2009/2011, with most items recovered
- in 11 L buckets aggregates. In 2014, 2015, and 2016, during which seasons the Lower
- Deposits were excavated, all artefacts >20 mm and bones >25 mm were piece plotted.
- Elongate items were plotted with 2 points where possible to allow for fabric analysis.
- 376 Modified OES was plotted regardless of size in these seasons. All bucket aggregates were
- sieved on site through nested 3 mm and 1.5 mm mesh sieves.
- 378

Geoarchaeology. Geoarchaeological analysis included grain size, loss on ignition, magnetic susceptibility, and micromorphology as well as fabric and refit analyses. Detailed results are presented in SI Geoarchaeology. Thin section analysis was conducted with a petrographic microscopic with magnification of up to 200x using oblique incidental (OIL), plane (PPL) and cross polarized (XPL) light. Micromorphological description follows terminology in (59, 60) and a detailed analysis is presented in SI Micromorphology. Fabric analysis was undertaken using the methods and code provided in (61).

386

Single-grain luminescence dating. Luminescence samples were obtained by hammering 387 metal tubes into cleaned section faces. Samples were prepared under subdued red light at 388 the Royal Holloway Luminescence Laboratory, yielding sand-sized quartz and potassium 389 feldspar (K-feldspar) separates. All luminescence measurements were carried out using a 390 Risø TL/OSL-DA-15 automated dating system, fitted with a dual-laser single-grain 391 luminescence attachment. Quartz was measured using a standard single-aliquot 392 regenerative-dose method whereas a post infrared, infrared stimulated luminescence 393 394 procedure, intended to minimise the effect of "fading" (62) was used for K-feldspar. Individual 395 grains were stimulated using either a focused 10 mW Nd:YVO₄ solid-state diode-pumped green (532 nm) laser for quartz or a 140 mW TTL modulated infra-red (IR, 830 nm) laser 396 with a Schott RG 780 longpass filter mounted in the beamline for K-felspar. Simultaneous 397 398 illumination of all grains on a disc was carried out using either blue (470 nm) or IR (870 nm) light emitting diodes (LEDs). Luminescence was detected using an Electron Tubes Ltd 399 400 9235QB15 photomultiplier tube shielded by Schott BG3 and BG39 filters. Irradiation was carried out using a 1.48 GBg ⁹⁰Sr/⁹⁰Y beta source calibrated relative to the National Physical 401

402 Laboratory, Teddington 60 Co γ-source (63). Data analysis was carried out using functions 403 within the *numOSL* and *Luminescence* R packages. K-feldspar ages were corrected for a 404 measured fading rate (g-value normalised to 2 days) of 1.9±0.1 %/decade (64).

405

U-series dating of ostrich eggshell. OES were analyzed in the Berkeley Geochronology 406 Center's U-Daughter Lab. OES dating samples were screened using profiles of [U] and 407 ²³²Th/U obtained via laser ablation using a Photon Machines Analyte II excimer laser 408 attached to a Thermo-Fisher NEPTUNE Plus inductively coupled mass spectrometer (ICP-409 MS). Selectively abraded subsamples of OES calcite from known positions in each OES 410 fragment were analyzed in solution mode using the Thermo-Fisher NEPTUNE Plus ICP-MS. 411 Laser ablation, solution analyses, data reduction, and calculation of ²³⁰Th/U burial ages 412 followed techniques similar to those described in (19). Activity ratios and ages were 413 calculated using the half-lives from (65-67). Ages and uncertainties were calculated using 414 (68). 415

416

Phytolith analysis. Nine sediment samples were processed for phytoliths, covering strata 417 418 from I-09 to I-03. Phytoliths were extracted from approximately 5 g of bulk fine fraction 419 sediment. Samples were sieved through 125 µm mesh; 2 g of each <125 µm subsample 420 was treated for carbonate digestion using 10% HCI, followed by clay deflocculation aided by 421 NaPO₃. 10% KOH solution was used for digestion of organic matter, before another round of deflocculation. Samples were neutralized, centrifuged and decanted between all steps. 422 423 Phytoliths were extracted by heavy liquid flotation, using a solution of sodium polytungstate (2.3 g/cm³). Assemblages were pipetted onto coverslips, dried, and mounted to microscope 424 slides using Entellan medium for identification and counting. Phytolith abundance was 425 426 counted up to 300, with concentration per gram of sediment later estimated using the proportion of sample analysed and initial 2 g weight. 427

428

429 Faunal analysis. All faunal (vertebrate, mollusc, and eggshell) remains were examined, including those that were individually plotted (>25 mm) as well as those recovered from the 3 430 mm screens. The screened materials were rinsed with water before sorting. Specimens were 431 432 identified using comparative material housed in the Department of Archaeology at the University of Cape Town and the Department of Anthropology and the Museum of Wildlife 433 and Fish Biology at the University of California, Davis, and published manuals. Specimens of 434 435 any size that could be identified to element and taxon were recorded in the MNISQL database program written by Richard G. Klein (Stanford University). All identified specimens 436

437 were examined for surface modifications and preservation. Molluscs and eggshells were

438 counted, weighed, and examined for surface modifications. Discoloration consistent with439 heating was also noted (69).

440

441 Lithic analysis. Basic methods of stone artefacts classification and analysis are described

- 442 in (18). Additional data were acquired as follows. Core scars counts applied a scaled
- 443 minimum scar size cut-off at 20% of core maximum dimension, and also a fixed value (15
- 444 mm) presented separately in SI. Heat treatment was identified visually using methods
- described in (70). Silcrete is common and physically diverse around VR003 (71),
- 446 complicating development of reference collections. For this reason we rely principally on
- visually-obvious heat-induced non-conchoidal fractures (HINC) (72) to identify the presence
- 448 of thermal effects.
- 449

450 Data availability statement

451 All data used in the present study are available from the corresponding author on reasonable452 request.

453

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468

469 Author contributions

470 TES & AM directed excavations at VR003; dating by SJA (luminescence) and EMN & WDS

- 471 (uranium-series); geoarchaeology by MCS (micromorphology), IM & RR (sedimentology),
- 472 AFB (fabric analysis), and MAL (lithic refit); KB prepared and analysed the phytolith samples
- 473 with environmental context provided by BMC; faunal analysis by TES & SL; TES & PJM
- 474 analysed the ostrich eggshell, and CFK analysed the Iziko comparative sample; NM

- analysed the pigments; AM and CAO'D analysed the stone artefacts; AM, TES, SJA, EMN,
- 476 WDS, MCS, AFB, KB, BMC, SL, NM, PJM, IM & JO wrote the manuscript.
- 477

478 Competing Interests Statement

- The authors have no competing interests as defined by Nature Portfolio, or other interests
- that might be perceived to influence the results and/or discussion reported in this paper.
- 481

482 Data Availability Statement

- 483 The spatial, lithic, faunal and pigment data used in this paper are included in a
- 484 supplementary file. Phytolith data are provided in tables in the Supplementary Information.
- The VR003 collections are curated at University of Cape Town and Iziko Museums Cape
- 486 Town, and can be accessed by arrangement with TES and AM.
- 487

488 Figure captions

- Figure 1. (A) Aridity map of southern Africa, with the Varsche Rivier 003 (VR003; white dot)
 and relevant MSA sites referred to in the text (yellow dots) indicated. Aridity index data from
 (73) with definitions according to (74). Red box shows location of inset map (B). (B) VR003
 in relation to modern biome-bioregion boundaries from (75) and Fynbos Biome sites
 immediately south: DRS=Diepkloof, EBC=Elands Bay Cave, HRS=Hollow Rock Shelter,
- 494 KFR=Klipfonteinrand 1, MRS=Mertenhof, PL8=Putslaagte 8. (C) Site photo of VR003 and
- the Varsche Rivier 003 valley, looking west. **(D)** Excavation plan at conclusion of most recent
- season in 2016. The original deep sounding is highlighted in red.
- 497

498 **Figure 2**. East section of deep sounding with key artefact type and location of

499 geochronology samples. Per convention, luminescence ages are presented here at 1 sigma

- 500 uncertainty, U-Th ages at 2 sigma. For artefacts, aggregate contexts refers to silcrete cores
- recovered during the initial seasons (2009, 2011) during which individual artefacts were
- 502 typically not plotted. These cores were recovered from bucket aggregates and include up to
- 503 two cores in some cases. <u>Inset bottom left</u>: Microphotographs showing the typical
- components and structure of I-08. (A). Bone (B) fragments range in size from gravel to sand.
- 505 Another typical anthropogenic component at the site is ostrich eggshell (OES). Note also the
- 506 coarse crystalline limestone (Li), and calcite crystals (C) and fine coating of these coarse
- 507 grains. (B) Microscopic silcrete (Si) knapping debris and rounded soil aggregates (Agg) from
- 508 the plateau above the site in a calcareous matrix.
- 509
- **Figure 3**. Comparison of primary factors determining long-term regional climate dynamics with VR003 phytolith data for 1) $C_4:C_3$ grass ratios and 2) the percentage of Restionaceae

and woody taxa, a classification reflecting the abundance of fynbos vegetation (Cordova et 512 513 al., 2013; Esteban et al., 2017). Data for orbital parameters is from Laskar et al. (2004) and the SE Atlantic wind strength composite is as calculated by Chase et al. (2019) using the 514 data of Farmer et al. (2005), Little et al. (1997), Pichevin et al. (2005) and Stuut et al. (2002). 515 The phytolith data are depicted as uncertainty spaces defined by the multiplication of 516 probability density functions derived from 1) stratigraphic unit ages and errors for the Lower 517 Deposits and Howiesons Poort layers and 2) aggregated phytolith data and the associated 518 standard deviation of sample values from these units (see SI for more detail). 519

520

Figure 4. Flaked OES fragments from the Lower Deposits. Artefacts 9220 and 7693 have 521 simple perforations like those made by hyenas (76), but 9340, 7974, and 9300 show 522 apparent elaborations of similar perforations through more extensive flaking. The artefacts in 523 the central column may thus represent production stages leading to more finely 'finished' 524 pieces in the left two columns. The 'hypothetical fit' in the top right is an indicative photo 525 montage of VR003 samples 6147, 7833, 8415, 8348, and 6307. These pieces do not 526 actually refit. Artefact AA8438 (bottom right) is from the Ethnographic Collection at Iziko 527 528 Museums, and was recovered from Ysterfontein Village by G.E. Loedalf in June 1968 and 529 donated to the museum in December 1968.

530

Figure 5. A selection of silcrete cores from the Lower Deposits (see also Figure S24). White 531 arrows indicate location of HINC surfaces; yellow arrows indicate location of flaking 532 initiations. All artefacts shown except 2815 were made on heat shatter. 1421: Minimal core 533 on heat shatter. Inset (a) shows the contact between the smooth post-heat removal and the 534 scalar features on the older shatter surface. <u>1462</u>: Simple prepared platform core on heat 535 shatter. <u>1520:</u> Minimal core on heat shatter. <u>3450</u>: Single platform core with small laminar 536 537 removals on heat shatter. 5391: Prepared core on heat shatter with one laminar removal. <u>7379</u>: Multiplatform core on heat shatter. <u>2815</u>: Unheated single platform core with small 538 laminar removals. 539

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