Contourite processes associated with the overflow of Pacific Deep Water within the Luzon Trough: Conceptual and regional implications

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# **1** Contourite processes associated with the overflow of Pacific Deep

2 Water within the Luzon Trough: Conceptual and regional

## 3 implications

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15

## 16 ABSTRACT

Overflows through oceanic gateways govern the exchange of water masses in the 1718 world's ocean basins. These exchanges also involve energy, salinity, nutrients, and 19 carbon. As such, the physical features that control overflow can exert a strong 20 influence on regional and global climate. Here, we present the first description of 21 sedimentary processes generated by the overflow of Pacific Deep Water (OPDW). 22 This mass flows southward at approximately 2000 to 3450 m water depth within the 23 Luzon Trough (gateway) from the Pacific Ocean into the South China Sea. OPDW 24 can be divided into: a) a lower, denser layer (including an associated weak 25 counter-current), which has generated a large contourite depositional system (CDS-1) 26 that includes large erosional (channel and moat), depositional (mounded and plastered 27 drift), and mixed (terrace) contourite features along the trough bottom and walls, and 28 b) an upper mixing layer, which has not generated any significant depositional or 29 erosional contourite features. Where OPDW does not reach the seafloor, it is 30 underlain by bottom water that circulates more sluggishly but has generated a second 31 contourite depositional system (CDS-2) made of a large sheet-like drift. The OPDW 32 flow has generally enhanced since the middle to late Miocene, except in the shallower 33 northernmost corridor. In the deeper main trough, reductions in width and depth of the 34 gateway by Taiwan orogenic events have likely accelerated the overflow. The latest 35 significant enhancening may promote widespread development of contourite 36 depositional systems along the South China Sea's lower continental slope and 37 adjacent deeper areas. This work highlights the importance of gateway-confined 38 overflows in controlling the morphology and sedimentary evolution of adjacent deep 39 marine sedimentary systems. A clear understanding of overflow processes and their products is essential for decoding tectonic control in oceanographic or 40 41 paleoceanographic processes.

42

43 Keywords: Overflows, sedimentary processes, contourites, gateways, Luzon Trough,
 44 Taiwan orogeny, South China Sea

45

## 46 **1. Introduction**

47 Bathymetric gateways, which may differ in many geometric aspects (e.g., Drake 48 Passage, Tasmanian Seaway, Strait of Gibraltar) (Kennett, 1982; Knutz, 2008), 49 connect all the world's ocean basins, and conduct the exchange of seawater and 50 associated properties and constituents between oceans and seas (Berggren, 1982; 51Kennet, 1982; Dummann et al., 2019). In the geological past, gateways controlled 52 paleo-circulation patterns and marine basin connections (e.g., Indian Gateway, 53 Indonesian Gateway). The opening / closing, deepening / shallowing of gateways can 54 influence the sedimentary evolution of basins, global ocean circulation, global carbon 55 cycles, poleward temperature gradients, and the exchange and vertical structure of 56 water masses (and associated sedimentary processes) (Knutz, 2008). In turn, these 57 factors determine the distribution of marine biota as well as their longer term 58 evolutionary trajectories (Berggren, 1982; Ricou, 1995; Zachos, et al., 2001; Sijp et 59 al., 2014; Pérez et al., 2019).

The exchange of seawater (and associated properties and constituents) through gateways occurs primarily as lower high-density overflows, as well as upper waters (e.g., buoyant surface waters) necessary to balance the mass transport by overflows across gateways (Gordon et al., 2004, 2009, 2011; Legg et al., 2009; Hansen et al., 2016; Jochumsen et al., 2017; Sanchez-Leal et al., 2017). Examples of these processes

65 include the deep Nordic overflows through gaps in the Greenland-Iceland-Scotland 66 ridge and Antarctic Bottom Water overflows from the Weddell and Ross seas in the 67 Antarctic (Gordon et al., 2004, 2009; Legg et al., 2009), as well as intermediate-depth 68 overflows from the Red Sea and the Mediterranean (Peters et al., 2005; Legg et al., 69 2009; Sanchez-Leal et al., 2017). The history of long-term changes in overflow 70 behavior could be deduced from the products of overflows, i.e., contourite features. 71For example, erosional features represent fast overflows and depositional features 72 mark relatively weak overflows, where mounded contourites (drifts) indicate faster 73 currents as compared to sheeted drifts (e.g., Faugères et al., 1999; Hernandez-Molina 74 et al., 2008; Stow et al., 2009; Rebesco et al., 2014). For many gateways, erosion 75 represents the most dominant sedimentary process, and high velocity and overflow 76 currents leave no sedimentary record (Garabato et al., 2002; Gordon et al., 2004, 2009; Sanchez-Leal et al., 2017). In those gateways with significant sedimentation, the 77 78 history of long-term changes in overflows and associated gateway tectonics can be 79 decoded based on the sedimentary record. In the Faeroe-Shetland gateway, the change 80 from erosion / non-deposition to enhanced contourite drift accumulation at the early 81 Pliocene suggests a reduction in meridional overflow transport, which may have been 82 an important factor for the growth of North Hemisphere ice sheets (Knutz and 83 Cartwright, 2003). In the Bruce Passage, the development of contourite drifts 84 recorded the opening and evolution of the gateway (Maldonado et al., 2003; Hernandez-Molina et al., 2007; Lobo et al., 2011; Garcia et al., 2016). Thus, the rare 85 86 sedimentary record and modern seafloor can serve as archives of gateway evolution,

87 recording the long-term regional tectonic events over time.

88	At gateway exits, overflows form large-scale contourite features in adjacent
89	ocean basins. These features may be erosional (e.g., channels, furrows), depositional
90	(e.g., drifts, bedforms), or mixed (e.g., terraces) (see McCave et al., 1980; Llave et al.,
91	2007; Garcia et al., 2009; Stow et al., 2013; Hernandez-Molina et al., 2014, 2016;
92	Rebesco et al., 2014; de Weger et al., 2020). Exit features are fairly well documented.
93	By contrast, overflow processes within gateways have not been systematically
94	described. One exception is a general report on overflow of Weddell Sea Deep Water
95	through the Bruce Passage from the Weddell Sea into the Scotia Sea
96	(Hernandez-Molina et al., 2007; Lobo et al., 2011; Garcia et al., 2016).
97	Pacific Deep Water (PDW), also called North Pacific Deep Water in the north
98	Pacific, overflows from the Pacific Ocean into the Luzon Trough in the Luzon Strait
99	(Fig. 1) through the narrow Bashi Channel and Taitung Canyon (Qu et al., 2006; Zhao
100	et al., 2014, 2016; Zhou et al., 2014, 2018), and then enters the South China Sea
101	through two gaps (Ye et al., 2019) in the Heng-Chun Ridge (Fig. 1A). The overflow
102	of Pacific Deep Water (OPDW), after crossing the Luzon Trough, may gradually get
103	mixed due to energetic internal waves/tides and eddies (Qu et al., 2006; Tian et al.,
104	2006; Zhu et al., 2019), and eventually exits the South China Sea in the intermediate
105	layer through the Luzon Strait (Qu et al., 2000; Tian et al., 2006) and in the upper
106	layer mainly through the Karimata and Mindoro Straits (Qu et al., 2009; Yaremchuk et
107	al., 2009). The narrow trough gateway, the only deep passage connecting the Pacific
108	Ocean and the South China Sea, formed due to the Taiwan orogeny (Huang et al.,

109 2018). The Luzon Trough is therefore ideal for studying overflow within a confined 110 setting, and the role of tectonics in trough and overflow evolution. In this study, the 111 deep north and narrow middle areas of the Luzon Trough are analyzed in order to: 1) 112 identify primary contourite features within the Luzon Trough, 2) decode the role of 113 OPDW in the formation of these features, 3) investigate the influence of the Taiwan 114 orogeny on the overflow variations, and 4) explore the possible effect of the overflow 115 along the adjacent South China Sea margin.

116

- 117 **2.** Geological and oceanographic setting
- 118 2.1 Geological background of the Luzon Strait

119 The South China Sea (Fig. 1A) formed during the Oligocene to middle Miocene 120 (Taylor and Hayes, 1980; Li et al., 2014) with eastward subduction of the lithosphere 121 along the Manila Trench due to northwest movement of the Philippine Sea plate at a 122 rate of 5-8 cm/year (Hayes and Lewis, 1984; Hall, 2002; Clift et al., 2003; Sibuet and 123 Hsu, 2004). Subduction continued in the middle to late Miocene, with oblique 124 collision between the N-S trending Luzon volcanic arc and the NE-SW trending 125northern South China margin. The oblique collision initially occurred north of Taiwan 126 at 12–6.5 Ma, then gradually propagated southward (Suppe, 1981; Huang et al., 2018; 127 Clift et al., 2008; Chen et al., 2019). The arc-continent collision is an event referred to 128 as the Taiwan orogeny (Huang et al., 2018). Our study area —the northern and middle 129 Luzon Trough— occupies the Luzon Strait between the South China Sea and the

130	Pacific Ocean (Fig. 1A). The trough represents a forearc basin bound by an
131	accretionary wedge (Heng-chun Ridge) to the west and a volcanic arc (Luzon Arc) to
132	the east. The formation of this trough began in the middle to late Miocene due to the
133	Taiwan orogeny (Clift et al., 2008; Huang et al., 2018).

- 134
- 135 2.2 Oceanographic setting of the Luzon Strait

136 The Luzon Strait hosts a distinctive inflow-outflow-inflow structure in vertical (Fig. 1B) (Review in Zhu et al., 2019; Cai et al., 2020). In the upper layer, the North 137 Pacific Tropical Water (NPTW), with a density of 1024 kg/m<sup>3</sup> (Qu et al., 1999), flows 138 139 into the South China Sea and contributes to forming the South China Sea Surface 140 Water (SSW) between 0 and 500 m water depth, with a density between 1021.0 and 1026.8 kg/m<sup>3</sup> (Qu et al., 2006; Tian et al., 2006; Zhang et al., 2015; Cai et al., 2020). 141 142 The upper layer inflow is induced by the Kuroshio Current intrusion (Review in Cai et 143 al., 2020). In the middle layer, the South China Sea Intermediate Water (SIW, a density between 1026.8 and 1027.6 kg/m<sup>3</sup>) flows out of the South China Sea into the 144 145Pacific Ocean and contributes to circulation of the North Pacific Intermediate Water (NPIW, a density of 1026.5 to 1027.6 kg/m<sup>3</sup>) between  $\sim$ 500 and  $\sim$ 1500 m water depth 146 147 (Qu et al., 1999; Tian et a., 2006; Cai et al., 2020). In the deep layer, the PDW, with a potential density of 1036.7 kg/m<sup>3</sup> (referenced to 2000 decibar) to 1045.8 kg/m<sup>3</sup> 148 149 (referenced to 4000 decibar) (Kaneko et al., 2001), flows into the South China Sea as 150 a dense overflow (OPDW) between ~1500 and 2450 m water depth and forms the South China Sea Deep Water (SDW) with a potential density (referenced to 2000 151

<u>decibar</u>) of 1036.7 to 1036.8 kg/m<sup>3</sup>, and Bottom water (SBW) with a potential density
(referenced to 2000 decibar) larger than 1036.8 kg/m<sup>3</sup> (Qu et al., 2006; Zhao et al.,
2014; Zhou et al., 2018; Ye et al., 2019). The deep layer inflow and middle layer
outflow are driven by baroclinic pressure gradient across the Luzon Strait induced by
the density differences between the South China Sea basin and the Pacific Ocean (Fig.
1C. Review in Zhu et al., 2019).

The OPDW, which occurs at depths below ~2000 m with a potential density 158 (referenced to 2000 decibar) of 1036.8 to 1036.9 kg/m<sup>3</sup> (Zhao et al., 2014; Zhou et al., 159 160 2018), enters the Luzon Strait (Fig. 1) primarily through the Bashi Channel (1.2 Sv, where 1 Sv =  $1 \times 10^6 \text{ m}^3$ /s) and secondarily through Taitung Canyon (0.4 Sv) (Zhao et 161 162 al., 2014). The overflow then flows southward along the northern Luzon Trough 163 through the narrow, middle part into the southern trough, to finally enter the South 164 China Sea (Fig. 1). This final stage primarily occurs through two gaps (0.73 and 0.45 Sv) in the Heng-Chun Ridge (Zhao et al., 2014). The velocity of the present-day 165 166 overflow within the Luzon Trough can reach up to 30 cm/s (Zhao et al., 2014, 2016; 167 Zhou et al., 2014). The OPDW exhibits significant seasonal and intraseasonal 168 variaions (Zhou et al., 2014; Zhao et al., 2016), including intensified, thicker, deeper, 169 denser flows with higher transports in late fall (October-December) and weakened, 170 thinner, shallower, lighter flows with lower transports in spring (March-May). This 171overflow is driven by a persistent baroclinic pressure gradient between the Pacific 172Ocean and the South China Sea due to strong diapycnal mixing in the Sea (Qu et al., 1732006; Tian et al., 2009; Zhou et al., 2018), which is induced by energetic internal tides,

174 internal waves, and mesoscale eddies (Review in Zhu et al., 2019).

175

- 176 **3. Materials and methods**
- 177 This study used multibeam swath bathymetry, multichannel seismic reflection
- 178 profiles, surface samples, and oceanographic data.

179

180 *3.1 Multibeam swath bathymetric surveys* 

The bathymetric survey, which covered the entire Luzon Trough (Fig. 1A), was conducted by the Xiangyanghong 14 vessel during the Luzon Strait cruise, from 23 December 2005 to 4 January 2006, using a RESON SeaBat 8150 multibeam system. The original multibeam sounding data were processed using Caris HIPS and SIPS software (version 8.1.9). The final high-resolution seabed digital terrain model was built at a 100 m grid resolution using the swath angle surface method of Caris HIPS and SIPS software (Fig. 2).

The bathymetric data are used to identify modern contourite features together with seismic data following the morphological and seismic criteria defined by Fauguères et al. (1999), Rebesco and Stow (2001), Rebesco (2005), Nielsen et al., (2008), Rebesco and Camerlenghi (2008), and Rebesco et al. (2014).

192

### 193 *3.2 Seismic reflection data*

194 Fourteen multichannel 2D seismic reflection profiles (Fig. 1A) spanning a total

195 length of ~1200 km were collected on five cruises between 1995 and 2009. These 196 included the R/V Maurice Ewing survey EW9509 (Schnuerle et al., 2008) from 23 197 August 1995 to 24 September 1995; the R/V Marcus G. Langseth expeditions 198 MGL0905 (McIntosh et al., 2013a) from 1 April 2009 to 29 April 2009; MGL0906 199 (McIntosh et al., 2013b) from 4 May 2009 to 4 June 2009 and MGL0908 (McIntosh 200 et al., 2014) from 16 June 2009 to 25 July, 2009; and the Malina Trench Cruise of the 201 Xiangyanghong 10 vessel in July 2016. The seismic data have a dominant frequency 202 range between 30 and 60 Hz, giving a vertical resolution (tuning thickness) of v/240 203 to v/120 (v represents average interval velocity). Average interval velocity was 204 estimated at about 1600 m/s from the average p-wave velocity for sediment 434 m 205 thick at IODP 349 site U1431 (Fig. 1A. Expedition Scientists, 2014), along the 206 abyssal plain of the South China Sea, near the study area. The other four sites (IODP 207 349 U1432 through U1435) were not used for average interval velocity estimation 208 because their measured p-wave velocities were of poor quality and/or incomplete, or 209 influenced by very high carbonate content. The average interval velocity (1600 m/s) 210 was used to estimate sedimentary thickness and perform a time-depth conversion 211 below the modern seafloor in the Luzon Trough. Seismic data were processed using a 212 standard pre-stack time-migration procedure. Major processing steps included 213 denoising, deconvolution, amplitude correction, trace selection, velocity analysis and 214 model building, and time migration.

The seismic data were used to identify large subsurface contourite features within the Luzon Trough and also to show water mass structure at the profile sites.

9

217	The seismic stratigraphic analysis was performed following the conventional method
218	and basic criteria proposed by Mitchum et al. (1977) and Catuneanu et al. (2009).
219	This method uses reflection terminations to identify discontinuities and internal
220	reflection configurations, and unit shapes to characterize seismic facies. The lack of
221	wells in the Luzon Trough impedes good age control of the seismic units. Thus, the
222	age of the sediment base in the Luzon Trough was inferred from the tectonic
223	background, i.e., Taiwan orogeny (e.g., Suppe, 1981; Teng, 1990; Lee et al., 1993; Lin
224	et al., 2002; Yang et al., 2014), and was referenced to stratigraphic interpretations for
225	the northern Luzon Trough by Huang et al. (2018).

226

## 227 *3.3 Surface sediment samples*

Four box surface sediment samples, GX118BC, GX128BC, GX133BC, and GX138BC, were collected in the northern Luzon Trough (Fig. 1A). The grain size of these samples was measured using a Mastersizer 2000 laser diffraction particle size analyzer. These samples were used to determine dominant sedimentary facies of the seafloor within the modern trough and to assist in the comprehensive identification of contourites by bathymetric and seismic data.

234

## 235 *3.4 Oceanographic data*

Regional oceanographic data, including salinity and temperature, were provided
by the NOAA World Ocean Database 2013

(https://www.nodc.noaa.gov/OC5/WOD13/data13geo.html). These data show the characteristics of water masses in the South China Sea, northwest Pacific Ocean, and within the Luzon Trough (Fig. 1B). Data were also used to link the regional water masses to present-day, large contourite features within and adjacent to the Luzon Trough.

243

244 *3.5 Nomenclature* 

For simplicity, we use the term contourites to refer to sediments deposited or substantially reworked by the persistent action of bottom currents, which could also be related to large-scale bedforms, i.e., sediment waves. This term therefore includes a variety of sediments affected by different types of currents (Rebesco et al., 2014). Thick, extensive sedimentary accumulations are referred to as contourite drifts (Faugères et al., 1999).

251

252 **4. Results** 

253 4.1 General morphology of the Luzon Trough

The Luzon Trough extends southward from Taiwan Island along about 650 km to Luzon Island (Figs. 1A and 2). Averaging 50 km in width, the trough typically assumes a U-shape in cross-section with a relatively smooth bottom, steep sides and gradients of 3° to 25°. The Heng-chun Ridge to the west (Fig. 1A) is characterized by linear accretionary ridges that parallel the Luzon Trough. A number of sub-circular

259 depressions are also present to the west, mainly along the Heng-chun Ridge at water 260 depths between 3000 and 3500 m. These depressions range from 8 to 18 km in length, 261 2.5 to 10 km in width, and 200 to 450 m in depth (Fig. 2B). The Luzon volcanic arc, 262 east of the Luzon Trough (Fig. 1A), includes more than a dozen connected seamounts 263 at water depths between 2500 and 3000 m. These reach heights of 650 to 2600 m.

264 The Luzon Trough itself consists of three primary trough areas. The northern 265 trough trends N-S, whereas the middle and southern troughs trend NE-SW (Figs. 1 266 and 2). The northern trough reaches a width of 65 km and bottom depths of 2600 to 267 3700 m. Its north end connects to two narrower and shallower northeast-trending 268 corridors respectively referred to as C-1 and the Bashi Channel. The middle trough is 269 a narrow, short passage, 7–10 km wide and 20 km long, with bottom depths of 3200 270 to 3650 m. The southern trough is up to 40 km wide and has bottom depths of 2800 to 271 3400 m. The whole trough has two main gaps along its western side. Referred to as 272 Outlet-1 and Outlet-2 (Fig, 2), these gaps reside at ~2850 m water depth and connect 273 the southern trough to the South China Sea.

274 A sill, herein referred to as the Bashi sill, transects the head of the Bashi Channel 275 (Figs. 1 and 2). The sill runs 25 km in length, spans 5 km in width, and resides at 276 water depths of 2050 to 2450 m. Three large isolated bathymetric highs (E1, E2, and 277 E3) reach heights of 200 to 1000 m and are spaced roughly equidistant along the floor 278 of the Bashi Channel and the southern trough (Fig. 2). They form oval to linear 279 features in plan view, with long axes of 8 to 9 km and short axes of 4 to 5 km.

280

### 281 *4.2 General seismic stratigraphic framework*

282	Three main seismic units (SUs) were identified in the northern and middle areas
283	of the Luzon Trough (Figs. 4–7). These units (SU3 to SU1, from bottom to top) are
284	bound by an acoustic basement beneath SU3, two internal regional discontinuities (H2
285	and H1, from bottom to top), and the modern seafloor at the top of SU1.

The acoustic basement, which is characterized by reflection-free or discontinuous reflection areas, lies exposed or is locally overlain by thin sediment along the trough wall. Within the trough bottom, seismic unit SU3 overlies the basement. SU3 exhibits moderately continuous, variable-amplitude reflections that show a divergent basin-fill configuration. A reverse fault cuts through the SU3 in the northern trough (Figs. 4 and 5).

292 The H2 discontinuity defines the top of seismic unit SU3 and the base of seismic 293 unit SU2. This surface appears as continuous, high-amplitude reflections with 294 occasional partial erosional truncation over the underlying seismic unit SU3. SU2 295 generally shows continuous, high-amplitude reflections that form a sheeted to 296 mounded or wedge-like shape with an aggradational internal configuration (Figs. 4–7). 297 The lower part of SU2 contains a chaotic to semi-transparent reflection package that 298 appears on six of seven examined seismic lines within the main axis of the trough. 299 Similar deposits appear locally in SU1 and on the trough walls.

The H1 discontinuity defines the top of seismic unit SU2 and the base of seismic
 unit SU1. This surface is characterized by a continuous, high-amplitude reflection.

302	The upper boundary of SU1 is the modern seafloor. SU1 exhibits moderate- to
303	high-amplitude reflections that show an aggradational internal configuration. The unit
304	exhibits a mounded external form within the C-1 corridor of the northern trough and
305	also within the southern trough (Figs. 4, 5, and 7). Within the main axis of the
306	northern trough (Fig. 6), this unit is generally sheeted rather than mounded, yet it may
307	be mounded locally.
308	
309	4.3 Gateway contourite features: morphosedimentary and seismic characteristics
310	All along the length of the north and middle Luzon Trough, depositional, erosional,
311	and mixed (depositional + erosional) contourite features appear by the trough bottom
312	and walls, even in sections where downslope gravitational features predominate (Fig.
313	2). Table-I lists the general morphologic parameters of these contourite features.

314

## 315 4.3.1 Depositional features

Sediment drifts are among the depositional features identified in bathymetric and
seismic data. The north and middle parts of the Luzon Trough include mounded,
plastered, and sheeted drifts (Fig. 2).

319 Mounded drifts

320 Seven mounded drifts, numbered MD-1 through MD-7, occur along the bottom 321 of the northern and middle troughs (Fig. 2). As implied by their designation, each 322 exhibits a mounded shape. The distribution of erosional and depositional features

indicates that some of the drifts connect laterally, as do MD-1 and MD-2 (Fig. 4), and
MD-3 and MD-4 (Fig. 5). The MD-1 drift extends along the western side of corridor
C-1 within the northern trough (Figs. 2, 3A, and 4). This drift (Table-I) reaches
thicknesses of up to 520 m and gradually thins eastward. MD-1 is characterized by
continuous reflections with an aggradational pattern developed primarily within SU2
and SU1 (Fig. 4). SU2 shows a more clearly pronounced mounded morphology than
SU1 (Fig. 4).

The MD-2 mounded drift, located just east of MD-1, extends along the eastern side of corridor C-1 (Figs. 2 and 3) and gradually thins westward (Fig. 4). MD-2 (Table-I) reaches thicknesses of up to 320 m and is composed of continuous reflections with an aggradational pattern primarily developed in SU1 and SU2 (Fig. 4).

The nearby MD-3 (up to 190 m thick) and MD-4 drifts (up to 160 m thick) mainly trend NW-SE along the eastern side of a wider section of the C-1 corridor (Figs. 2 and 3A). Both of these drifts show aggradational internal configurations developed within SU1 and SU2 (Fig. 5). Features within SU2 exhibit more mounded morphology than those within SU1. SU3 shows no significant seismic evidence of contourite drifts.

MD-5 and MD-6 occur along the eastern edge of the northern trough bottom (Fig. 2). The mounded shapes here are very smooth (i.e., lower relief). The internal configuration of the drifts is aggradational with continuous, weak to moderate amplitude reflections (Fig. 6). Both of these mounded drifts, up to 130 m thick, occur

only in the uppermost seismic unit, SU1.

346	MD-7 occurs along the middle trough bottom just north of the E2 bathymetric
347	high (Figs. 2, 3D, and 7). Mainly within SU1 and SU2, high-amplitude reflections
348	outline a mounded, aggradational configuration (Fig. 7). The mounds exhibit higher
349	relief in SU1 than in SU2. The amplitude of the reflection associated with the modern
350	seafloor is higher in western parts of the study area than in eastern parts (Fig. 7).

351 Plastered drifts

Plastered drifts are generally smaller and more subtle than mounded drifts (Rebesco et al., 2014). They are often too small to appear in bathymetric data. Seismic profiles exhibit three plastered drifts, PD-1 through PD-3, along the northern and middle Luzon Trough walls (Figs. 6 and 7). PD-1 occurs along the eastern wall of the northern trough and shows a lightly mounded shape (Fig. 6). Up to 40 m thick, PD-1 shows an aggradational internal configuration of continuous reflections having moderate amplitudes (Fig. 6).

PD-2 extends along the western wall of the middle trough, near MD-7 (Fig. 7). Up to 30 m thick, this drift exhibits a smooth, mounded shape and continuous, moderate amplitude reflections indicating an aggradational configuration (Fig. 7). PD-3 occurs along the eastern wall of the middle trough, opposite PD-2 (Fig. 7). Up to 60 m thick, this drift exhibits a smooth, mounded shape and continuous, moderate amplitude reflections indicating upslope progradation (Fig. 7).

365 Sheeted drifts

366	Sheeted drifts are characterized by a broad, very slightly mounded geometry that
367	thins slightly toward the margins (Rebesco et al., 2014). In the Luzon Trough, a single
368	sheeted drift —SD-1, which appears primarily in seismic profiles— extends along the
369	trough bottom below 3500 m water depth (Figs. 2 and 6). Up to 800 m thick, this
370	massive sheeted drift covers the northern trough and a small portion of the southern
371	trough, but occurs only in SU1 and SU2 (Fig. 6). Continuous moderate- to
372	high-amplitude parallel reflections outline an aggradational internal configuration (Fig.
373	6). Some truncations occur below the modern seafloor along the western edge of the
374	trough bottom. Fine silts cover the surface of the sheeted drift (Fig. 8). Four surface
375	samples (Fig. 2) in the northern trough bottom show fine silt deposits on the seafloor
376	of the sheeted drift (Fig. 8). These samples, GX118BC, GX128BC, GX133BC and
377	GX138BC, respectively gave median grain sizes of 6.69, 6.72, 6.97 and 6.96 phi, all
378	of which mark fine silt.

379

## 380 *4.3.2 Erosional features*

381 Two types of erosional features —contourite channels and moats— appear in the
 382 bathymetric and seismic data from the northern and middle Luzon Trough.

383 *Contourite channels* 

Two contourite channels, CC-1 and CC-2, extend along the northeast-trending C-1 and Bashi Channel of the northern trough (Fig. 2). The CC-1 channel extends southward along the C-1 corridor into the main axis of the northern trough (Fig. 2).

387 This channel is interrupted by the four mounded drifts, MD-1 through MD-4 (Fig. 2). CC-1 exhibits a V- to U-shape in cross-section and reaches an incisional depth of 388 389 about 600 m (Fig. 5). Only a limited amount of sediment (sometimes no sediment at 390 all) is found within the CC-1 channel. When present, deposits primarily appear as 391 chaotic, high-amplitude reflections (Fig. 5).

392 The CC-2 extends southwestward from Bashi Sill (2100 to 2450 m water depth) 393 at the head of Bashi Channel, entering the main course of the northern trough (Fig. 2). 394 This channel exhibits a U-shaped cross-section and reaches an incisional depth of 750 395 m (Fig. 9). The channel floor is an erosional surface that locally truncates layers of underlying sediment. Some sporadic sedimentary deposits appear within the channel 396 397 as chaotic to contorted, moderate- to high-amplitude reflections (Fig. 9).

398 Moats

399 Moats are a type of valley associated with the mounded drifts identified in SU2 400 and SU1. Moats within the Luzon Trough are found within two ranges of water depth: 401 2700 to 3400 m, and 3400 to 3700 m. The moats within the shallower depth range run 402 along the western edges of mounded drifts, MD-1 and MD-3, along the eastern edges 403 of MD-2 and MD-4, and along both edges of MD-7 (Figs. 2, 3, 4, 5, and 7). These 404 moats reach incisional depths of up to 180 m. Moats are commonly wider and deeper 405 along the western sides of the drifts than along the eastern sides (Figs. 4, 5, and 7). 406 Moats within the deeper water depth range run along the eastern sides of the very 407 smooth mounded drifts, MD-5 and MD-6. These moats occur within the northern 408 trough at depths of up to 40 m (Fig. 6). Seismically, all the moat infill appears as

409 moderate- to high-amplitude layered reflections (Figs. 4–7).

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411 *4.3.3 Mixed features* 

412 Terraces

413 Contourite terraces appear as broad, low-gradient, along-slope features that dip slightly seaward. They develop from mixed (erosional + depositional) bottom-current 414 415 processes (Rebesco et al., 2014). Within the Luzon Trough, two terraces, T-1 and T-2, 416 extend along the western wall of the northern and middle troughs, respectively (Fig. 417 2). Terrace T-1 (Table-I), about 600 m thick, exhibits continuous parallel, low-amplitude reflections that outline an internal aggradational configuration (Fig. 6). 418 419 Terrace T-2 (Table-I) occurs south of terrace T-1 at a similar water depth. Because the 420 seismic survey did not extend to this feature, its internal configuration remains 421 unknown.

422

423 **5. Discussion** 

Contourite features in the Luzon Trough have not been reported in the literature to date, but turbidites have been previously described (Huang et al., 2018). We shall first discuss evidence of contourites in the trough and the possible reason why contourites were not easily found in the adjacent onshore outcrops. After that, the sedimentary processes related to these contourites are discussed, as well as the conceptual and regional implications of the sedimentary processes. 430

## 431 5.1 Identification of contourite features in the Luzon Trough

The identification and interpretation of contourite features in the Luzon Trough relied on the three-scale approach suggested by Nielsen et al., (2008) and Stow and Smillie (2020). The large-scale (oceanographic setting), middle-scale (seismic architecture), and small-scale (seismic facies, lithology, bedforms) framing allows for consistent interpretation of local- to regional-scale implications.

a) *The oceanographic setting*. The Luzon Trough is the only deep passage
connecting the Pacific Ocean and the South China Sea (Qu et al., 2006; Zhao et
al., 2014, 2016; Zhou et al., 2014, 2018; Ye et al., 2019). This passage
experiences vigorous bottom currents with velocities up to 30 cm/s (Figs. 7B
and 9B. Zhao et al., 2014). Such conditions can form depositional or erosional
contourite features (Faugères et al. 1999; Stow et al., 2009; Rebesco et al.,
2014).

b) *The seismic architecture*. Seismic architecture with regional bathymetric data can
detect contourite features (e.g., Faugères et al., 1999; Rebesco and Stow, 2001;
Rebesco, 2005; Rebesco and Camerlenghi, 2008; Rebesco et al., 2014). Together
with morphological criteria, the mounded drifts (MD-1, MD-2, MD-3, MD-4,
MD-5, and MD-6), sheeted drifts (SD-1), and plastered drifts (PD-1, PD-2, and
PD-3) (Figs. 3–7) were identified and interpreted based on their regional
distributions.

451 c) The small scale. Local seismic facies are also essential for detecting and

452 interpreting bottom current features (Faugères et al., 1999; Nielsen et al., 2008). 453 They include bedforms and seafloor sedimentary facies that may elucidate 454 erosional, depositional, and mixed bottom current features. Physical samples of 455 seafloor material from the sheeted drift SD-1 (Fig. 8) exhibit fine silt deposits 456 with well selected grain size distributions ranging from 6.69 and 6.97 phi. These 457 parameters, characteristic of fine grained contourites (Rebesco et al., 2014; Brackendridge et al., 2018), indicate a low-energy setting swept by a 458 459 semi-continuous current (Stow et al., 2009; Rebesco et al., 2014).

460 Huang et al. (2018) reported turbidites within Taiwan outcrops north of the Luzon 461 Trough. This report describes contourite features absent from adjacent onshore 462 outcrops that record deep-water sedimentary systems. Contourites do not appear 463 onshore probably because the overflow of Pacific Deep Water (OPDW) only flowed 464 within a narrow trough of an otherwise expansive subduction-collision system. 465 Deformation most likely subjects depocenters to compression and deformation, thus 466 obscuring their morphology and continuity with onshore outcrop expression. Secondly, 467 older ancient sediment exposed onshore could be in a setting with absent or weak 468 OPDW circulation that did not affect these units. Finally, younger, exposed sediments 469 may reflect environments shallower than 2000 m water depth due to a more protracted 470 period of uplift in the north relative to south (Huang et al., 2018), and therefore would 471 not record deeper OPDW activity.

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### 473 5.2 Overflow processes and their association with contourite features

## 474 5.2.1 Contourite depositional systems and gateway water masses

Depositional (drift) and erosional (channel and moat) contourite features described here can be grouped into two contourite depositional systems (CDSs) according to their water depth ranges (2100 to 3400 m, and 3500 to 3700 m water depth). These depth ranges capture contrasting distribution and morphological reliefs of contourite features, which in turn are related to the influences of different water masses.

481 *Contourite depositional system 1 (CDS-1)* 

CDS-1 developed at shallower water depths. It includes mounded drifts MD-1 482 483 (2780–2850 m water depth), MD-2 (2750–2800 m water depth), MD-3 (2600–2670 m 484 water depth), MD-4 (2590-2630 m water depth), and MD-7 (3200-3400 m water 485 depth) as well as their associated moats. All three plastered drifts, PD-1 (2500-2800 486 m water depth), PD-2 (2900-3200 m water depth), and PD-3 (3000-3200 m water depth) also occur in CDS-1. It furthermore includes both contourite channels, CC-1 487 488 (2600-3000 m water depth) and CC-2 (2650-2700 m). Except for MD-7, PD-2, and 489 PD-3, which occur in the middle trough, most of these features occur in the northern 490 trough. This depositional system overlaps with the OPDW depth range (2000 to 3450 m water depth), which is characterized by high current velocity (Figs. 10 and 11, Zhao 491 492 et al., 2014), low temperature, and high salinity (Fig. 12) within the trough.

493 The fact that larger-scale moats occur only along the western sides of the mounded

494	drifts indicates that these features were formed by a southward-flowing geostrophic
495	flow concentrated along the western side of the Luzon Trough (right side of the flow)
496	due to the influence of the Coriolis force. This interpretation is consistent with the
497	southward current direction and the geostrophic character of the OPDW reported by a
498	number of authors (Qu et al., 2006; Zhao et al., 2014, 2016; Zhou et al., 2014; Ye et
499	al., 2019). The interpretation is also consistent with the westward deviation of the
500	dense OPDW documented by salinity and temperature profiles across the trough (Fig.
501	12). The OPDW velocity of up to 16 cm/s in the main trough (Fig. 7) (Zhao et al.,
502	2014) resembles current velocities observed in other areas with similar types of drifts
503	and moats along the Atlantic and Antarctic margins (Faugères et al., 1999;
504	Hernandez-Molina et al., 2006, 2008; Stow et al., 2009; Rebesco et al., 2014).

505 Relative to the smaller eastern moats, the larger western moats may record a faster 506 flowing core, i.e., the core of the southward OPDW (Fig. 13). The smaller (narrower 507 and shallower) moats along the eastern sides of the mounded drifts (Figs. 4, 5 and 7) 508 indicate a weaker, northward geostrophic flow concentrated along the eastern side of 509 the trough due to the influence of the Coriolis force. The higher reflection amplitude 510 of the seafloor along the western side of MD-7 (Fig. 7) arises from larger acoustic 511 impedance (the product of sound speed and water density) contrast. This suggests 512 coarser (higher sound speed) sediment deposited by more vigorous currents along the 513 western side of the trough relative to weaker currents along the eastern side. 514 Numerical simulation revealed the occurrence of a faster, southward current and a 515slower, northward current in the OPDW depth range along the northern and middle

516 Luzon Trough (Jiang et al., 2020).

517 The weaker northward current may represent a counter-current of the OPDW (Fig. 518 13), similar to current and counter-current pairs observed from other passages (e.g., 519 the Orkney, Bruce, and Discovery passages) (Garabato et al., 2002; Garcia et al., 520 2016). Within the Bruce Passage, for example, dense Weddell Sea Deep Water 521 overflows from the Weddell Sea into the Scotia Sea (Garabato et al., 2002). Overflow 522 includes a faster northward-flowing current and a slower southward-flowing current; 523 they generate channels (Garcia et al., 2016) and furrows (Lobo et al., 2011) along 524 both sides of the passage.

525 Following interpretations from similar localities (Faugères et al., 1999; 526 Hernandez-Molina et al., 2008; Rebesco et al., 2014; Miramontes et al., 2019), the 527 CDS-1 plastered drifts probably indicate the existence of relatively slow currents. 528 Rebesco et al. (2014), for example, characterize plastered drifts as generally forming 529 along gentle slopes swept by relatively low-velocity currents. The Luzon mooring 530 observations reported in Zhao et al. (2016) confirm lower current velocities along the 531 trough sidewalls relative to the trough center. The depths of CDS-1 plastered drifts 532 (2500–3200 m water depth) fall within the depth range of the lower OPDW layer 533 (Figs. 11 and 12), indicating that these drifts formed from the deeper, denser layer of 534overflow with only minor (or absent) deposition from the upper (mixing) layer of 535 overflow (Fig. 13B; Legg et al., 2009).

In the two contourite channels (Figs. 5 and 9), truncated reflections and scarce to
absent channel fill indicate erosive flow channels formed by the OPDW entering from

538	the North Pacific Ocean and cascading down to the Luzon Trough (Fig. 1A; Tian et al.,
539	2006; Zhao et al., 2014). Faster OPDW flows (up to 30 cm/s) appear in these channels

540 (Fig. 7; Zhao et al., 2014).

541 *Contourite depositional system 2 (CDS-2)* 

The deeper contourite depositional system, CDS-2, includes the large sheeted drift SD-1 (3500–3700 m water depth) plus the two smaller, smoothly mounded drifts, MD-5 and MD-6 (3510–3600 m water depth), and their associated moats. All of these features occur within the deepest part of the Luzon Trough (Fig. 2).

546 We interpret this depositional system as tied to the bottom water mass 547 underlying the overflow of Pacific Deep Water (OPDW) in the Luzon Trough. The lower boundary of the OPDW (Fig. 11) is estimated to be 50-120 m below the 548 549 maximum velocity water depth according to the previous velocity observations along 550 the trough (Zhao et al, 2014; Zhou et al., 2014; Ye et al., 2019). Hence, the bottom water in the trough is at water depth over ~3450 m, with a slower velocity (Figs. 11-551552 13). The dense sluggish bottom water probably represents the remaining water of the 553 554 obstacles of Outlet-1 and 2 and remained in the trough (Fig. 11). Formed at depths >3450 m, CDS-2 lies beneath the direct influence of the OPDW. Fine silt 555 556 within the modern sheeted drift resembles that commonly found within abyssal plain 557 environments (Rebesco et al., 2014), where it indicates sweeping of the seafloor by 558 very slow bottom currents (Faugeres et al., 1999; Hernandez-Molina et al., 2008; 559 Stow et al., 2009; Rebesco et al., 2014). These characteristics are consistent with the

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560	sluggish bottom	water in the L	_uzon Trough	beneath the	vigorous OPL	<b>DW</b> (Zhao et al

561 2014) (Figs. 11–13), which flows in a southward direction (Zhao et al., 2014).

The deep, very smoothly mounded drifts (MD-5 and MD-6) and their associated moats along the eastern side of the northern trough floor indicate a weak and narrow northward current. This current may represent a counter-current of the southward flowing bottom water in the trough (Fig. 13A).

566

### 567 5.2.2 Terraces and water mass interfaces

568 The two terraces, T-1 and T-2, occur at 2900–3400 m water depth along the 569 western wall of the northern trough. This depth coincides with the interface between 570 the OPDW and the underlying water mass (Fig. 11). The terraces likely represent 571 sedimentary processes associated with vertical fluctuations of this interface (Fig. 13B). 572 Water mass interface mechanisms have been proposed to explain terraces in other 573 marine basins (Hernández-Molina et al., 2009, 2016, 2018; Preu et al., 2013; Rebesco et al., 2014). For a relatively deep interface (i.e., below terrace depth), the 574 575 fast-flowing OPDW causes erosion of terraces (Fig. 6). When the interface becomes 576 shallower, the sluggish BW enables deposition along the terraces (Fig. 13B). Mooring 577 observations in the trough documented the seasonal and intraseasonal variations of the 578 OPDW (Zhou et al., 2014; Zhao et al., 2016), including intensified, thicker, deeper, 579 denser OPDW in late fall; and weakened, thinner, shallower, lighter OPDW in spring. 580 These observations indicate significant vertical fluctuations of the OPDW base, which

581 correspond well with our interpretation of the terrace formation.

582

583 5.3 Conceptual implications of the overflow of Pacific Deep Water processes

584 5.3.1 Overflow behavior in confined settings

585 Our study observations and interpretations elucidate sedimentary processes 586 associated with the overflow of Pacific Deep Water (OPDW) in the deep confined 587 trough. Three characteristics of the OPDW emerge as critically linked to sedimentary 588 evidence, which could be considered and compared to other overflows in deep 589 confined gateways in future studies in order to deepen our understanding of overflow 590 processes.

591 First, OPDW does not always reach the gateway seabed. The appearance of the 592 CDS-2 indicates the action of the sluggish bottom water overlain by the 593 CDS-1-related vigorous overflow water. Other gateways typically show overflow 594 waters that clearly traverse gateway bottoms. Examples include Mediterranean 595 Outflow Water, which flows through the Strait of Gibraltar (Baringer and Price, 1997; 596 Sanchez-Leal et al., 2017), and Faroe Bank Channel Overflow, through the Faroe 597 Bank Channel (Mauritzen et al., 2005; Fer et al., 2010; Hansen et al., 2016). In this 598 study, OPDW flows over the Bashi Sill between 2100 and 2450 m water depth, then 599 descends to its equilibrium horizon (as determined by its density) of ~3450 m water 600 depth, and finally enters the South China Sea at Outlet-1 and 2. The OPDW's 601 maximum depth of descent (~1000 m) is therefore less than that of dense overflow

602	waters. Mediterranean Overflow Water descends 1200-1400 m after exiting the strait
603	of Gibraltar (Baringer and Price, 1997; Sanchez-Leal et al., 2017), and the Faroe Bank
604	Channel Overflow descends 2200 m (Hansen et al., 2016). OPDW may not reach the
605	bottom of the northern Luzon Trough because the overflow lacks sufficient density to
606	penetrate the deepest parts of the trough. The supposition of insufficient density, with
607	a 0.07–0.08 kg/m <sup>3</sup> difference around Bashi sill, is supported by oceanographic
608	observations of the potential density referenced to 2000 decibar (Fig. 1C. Zhou et al.,
609	2018). Moreover, the seismic reflection data described here do not show distinct
610	reflections arising from abrupt changes in acoustic impedance (the product of sound
611	speed and water density) within the OPDW portion of the water column. These
612	proxies for density interfaces fail to appear at either upper or lower boundaries of the
613	OPDW, or within regions affected by its overflow (Fig. 10). In other areas with more
614	energetic and denser water masses relative to ambient water, seismic reflection data
615	clearly delineate water masses (Yamashita et al., 2011; Gorman et al., 2018).

The reason for the small density difference between the OPDW and the 616 617 underlying water in the Luzon Trough may be related to the existence of the sills at 618 the exit, i.e., Outlet-1 and 2 (Figs. 1A and 11). Only the OPDW shallower than the 619 depth of Outlet-1 and 2 (both ~2850 m) in the trough could easily enter the South 620 China Sea (Fig. 11), while the deeper portion of the overflow would be retained in the trough. The presence of sills at the entrance and exit of the gateway could be a key 621 622 difference between the OPDW and those overflows that encounter only one sill before 623 reaching the open sea/ocean. The mechanisms generating such a minor overflow

624 density difference merit further study.

625 Second, the lower (denser) layer of OPDW dominates the development of 626 contourite features along the gateway flow path with little or no contribution from the 627 water mass's upper (mixing) layer. Overflow waters commonly consist of an upper 628 mixing layer and a lower, denser layer (Legg et al., 2009). In the Luzon Trough, the 629 CDS-1 components flow mainly between 2500 and 3400 m water depth, a range that 630 overlaps that of the lower overflow layer (Fig. 13B). Contourite features do not appear at shallower depths. The OPDW dense layer controls the formation of 631 632 overflow-associated, large-scale depositional and erosional contourite features within 633 the trough, while the mixing layer apparently makes no contribution. A similar 634 situation occurs with Mediterranean Overflow Water along the middle slope of the Gulf of Cadiz, where large erosional and depositional features form primarily due to 635 636 the denser overflow layer (Baringer and Price, 1997; Llave et al., 2001; 2007; 637 Hernandez-Molina et al., 2003; 2014; Roque et al., 2012; Sanchez-Leal et al., 2017). 638 The steep topography swept by the OPDW upper layer may act as a non-depositional 639 factor. However, the development of the plastered drifts on the steep wall of the 640 Luzon Trough in the depth range of the OPDW lower layer, which is sometimes 641 steeper than the wall where the OPDW upper layer sweeps (Fig. 13B), indicates that 642 the steep topography could not be the decisive factor behind non-deposition and 643 non-erosion. The key might lie in the behaviour of the overflow upper layer itself: its lower velocity and mixing/turbulence taking could hinder continuous large-scale 644 645 deposition and erosion. Again, the mechanisms impeding deposition and erosion by

646 the overflow upper layer call for further study.

647 Third, the southward-flowing OPDW and its northward counter-current are 648 responsible for the formation of drift-associated moats along both sides of the gateway. 649 Our data suggest that the deeper and wider moats linked to the larger mounded drifts 650 along the western side of the Luzon Trough may be a result of the more vigorous, 651 southward OPDW. The shallower and narrower moats associated with the smaller 652 mounded drifts along the eastern side (Figs. 2, 3, 4, 5, and 7) may result from the 653 weaker northward current. Such a counter-current could generate moats and drifts 654 even within a very narrow passage such as the 6 km wide C-1 corridor (Figs. 2–5). No 655 observation of cross-trough variations in the along-trough flow has been reported for 656 the main Luzon Trough, yet a numerical simulation revealed the presence of the 657 counter-current in the northern and middle Luzon Trough (Jiang et al., 2020). The 658 occurrence and behaviour of the OPDW counter-current urgently call for long-term, 659 cross-trough observations of the Luzon Trough. The overflow counter-current 660 contributing to the formation of contourite features is a phenomenon also observed in 661 the Bruce Passage and adjacent Scan Basin in Antarctica (Garabato et al., 2002; 662 Garcia et al., 2016). There, the overflow of Weddell Sea Deep Water and its 663 counter-current flow have formed contourite channels and furrows (narrower and less 664 incised than contourite channels) along both sides of the gateway (Lobo et al., 2011; Garcia et al., 2016). The mechanisms underlying the generation of such overflow 665 666 counter-currents merit further study.

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668 5.4 Regional implications of the overflow of Pacific Deep Water processes

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## 670 5.4.1 Overflow-generated contourites as a record of regional tectonics

The three seismic units within CDS-1 (SU1 through SU3) show significant differences in terms of their seismic configurations and external morphologies that reflect variations in OPDW flow from the middle to late Miocene, when the trough formed.

675 The divergent basin-fill configuration of SU3 (Figs. 4-7) indicates uneven 676 deposition in a generally high-energy deep-sea setting (Sangree and Widemier, 1977), i.e., turbidites as calibrated by IODP wells in the South China Sea oceanic basin (Yin 677 678 et al., 2020) and other marginal seas (Pickering et al., 2013). Therefore, SU3 points to 679 an absence of significant contourite activity and, by extension, weak or absent OPDW 680 circulation at the bottom of the trough during its deposition. The apparently weak or 681 absent OPDW circulation during the early depositional stage of the Luzon Trough 682 may owe to a wider and deeper connection between the Pacific Ocean and the South 683 China Sea (Hall, 2002). In addition, during the early development of the Luzon Strait, 684 fewer volcanoes in the Luzon Arc (Yang et al., 1996; Chen et al., 2015; Huang et al., 685 2018) meant less of a bathymetric barrier to water exchange between the Pacific 686 Ocean and the South China Sea.

687 The aggradational reflection configuration of the younger units, SU2 and SU1
688 (Figs. 4–7), indicates regional enhancement of OPDW circulation after the early

31

689 depositional stage of the trough. The enhanced mounded shape of SU2 through the 690 top of SU1 within the main axis of the trough (Fig. 7); and significant moat formation 691 further records a regional increase in OPDW velocity during the latest depositional 692 stage of the trough. Gradual strengthening of OPDW circulation coincides with 693 regional closure and shallowing of the Luzon Trough, as well as more volcanic 694 activity in the area given the Taiwan orogeny in middle to late Miocene times (Yang et 695 al., 1996; Huang et al., 2018).

696 Three of the four mounded drifts within the C-1 corridor (MD-1, MD-3, and 697 MD-4) north of the trough show a higher degree of local mounding in SU2 than in SU1. This difference could indicate a slight decrease in overflow velocity through the 698 699 C-1 corridor during trough evolution and SU1 development. The modern C-1 corridor 700 (2590-2850 m water depth) occurs in the shallower part of the denser OPDW (2500-701 3450 m water depth), where the current velocity is lower than that observed for the 702 deeper part (Figs. 7, 9, 10, 11; Zhao et al., 2014). The shallower water depth of C-1 703 may result from a more protracted period of uplift in the north relative to south, due to 704 oblique collision (Huang et al., 2018). The irregular evolution of MD-1, MD-3, and 705 MD-4 relative to the narrowing trough may therefore arise from greater shoaling to 706 the north during the Taiwan orogeny.

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708 5.4.2 Influence of the overflow of Pacific Deep Water on the South China Sea margin

709 The overflow of Pacific Deep Water (OPDW) enters the South China Sea basin

from the Luzon Trough mainly through Outlet-1 (2850 m water depth) (Fig. 2; Zhao
et al., 2014; Ye et al., 2019). Numerical modelling of overflow transport (Zhao et al.,
2014) indicates that the OPDW then flows counter-clockwise along the continental
margin of the South China Sea.
Three lines of evidence suggest that the OPDW drives the circulation of the

715 SDW and SBW in the South China Sea and thus helps form the two large-scale contourite depositional systems observed along the lower continental slope and 716 717 abyssal plain just south of the South China shelf and Dongsha Islands (Fig. 13A; Yin 718 et al., 2019). First, the SDW (1500-2000 m water depth) and SBW (>2000 m water depth) within the South China Sea roughly overlap with the OPDW depth range 719 720 (2000-3450 m water depth) in the Luzon Trough. Second, the generally 721 counter-clockwise circulation of the SDW and SBW (Qu et al., 2006; Tian et al., 2006) 722 matches the direction of OPDW flow (Zhao et al., 2014). Third, the development of 723 the Dongsha Islands contourite depositional systems ( $\sim 1.1$  Ma to present; Yin et al., 724 2019) coincides with the setting of enhancing OPDW circulation from the middle-late 725 Miocene to present. Together, these lines of evidence indicate that the OPDW has 726 been driving SDW and SBW circulation in the South China Sea since at least 1.1 Ma, 727 when the OPDW became vigorous enough to significantly influence the marginal sea. 728 Further assessment of this hypothesis will require additional research and regional 729 data collection.

730

## 731 **6.** Conclusions

732 The overflow of Pacific Deep Water (OPDW) movement within the Luzon 733 Trough gateway generated a contourite depositional system (CDS-1) along its 734 southward flow path through the trough. This system includes erosional (channel and 735 moat), depositional (drift), and mixed (terrace) contourite features along the bottom of 736 the trough and its adjacent flanks. The lower, denser layer of the overflow, in conjunction with its weaker, northward-flowing counter-current, was primarily 737 738 responsible for the formation of these features, including moats found along both 739 sides of the mounded drifts. The upper (mixing) layer of the overflow does not appear 740 to have generated any significant depositional or erosional contourite features. In parts 741 of the Luzon Trough deeper than ~3450 m water depth, the OPDW does not reach the 742 bottom because of thermohaline density constraints (i.e., the relatively low OPDW 743 density). In those areas, the denser bottom water in the trough circulates weakly 744 beneath the OPDW, thus generating a deeper contourite depositional system (CDS-2), 745 where a sheet-like drift dominates deposition.

OPDW flow has gradually strengthened with the narrowing of the Luzon Trough due to the Taiwan orogeny. Shoaling in the northernmost trough may also weaken overflow locally. During the latest depositional stage, this more vigorous overflow has developed more prominent contourite features within the Luzon Trough while also promoting the formation of large contourite features along the lower slope and deeper areas of the adjacent South China Sea. Future drilling endeavors in the Luzon Trough are needed to obtain more precise age constraints regarding the OPDW's evolution.

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This work demonstrates the importance of overflows and gateways in controlling 754 the morphology and sedimentary evolution of deep marine sedimentary systems. 755 Similar multidisciplinary research efforts could shed further light on the role of 756 gateways in moderating geological, oceanographic, and paleoceanographic processes.

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1101	Table-I. General morphologic parameters of contourite features within the northern
1102	and middle Luzon Trough

Contourite feat	tures			Water depth (m)	Length (km)	Width (km)	Contourite depositional system
			MD-1	2780-2850	17	6	CDS-1
			MD-2	2750-2800	17	2	CDS-1
			MD-3	2600-2670	10	4	CDS-1
		Mounded	MD-4	2590-2630	4	2	CDS-1
			MD-5	3510-3580	17	3	CDS-2
Depositional	Drifts		MD-6	3560-3600	8	5	CDS-2
			MD-7	3200-3400	19	5	CDS-1
			PD-1	2500-2800	9	2	CDS-1
		Plastered	PD-2	2900-3200	8	3	CDS-1
			PD-3	3000-3200	12	1	CDS-1
		Sheeted	SD-1	3500-3700	220	25	CDS-2
	C	Channels		2600-3000	110	2	CDS-1
<b>F</b> · 1	Channe			2650-2700	65	12	CDS-1
Erosional				2700-3400	<=19	2	CDS-1
	Moats			3500-3700	<=17	2	CDS-2
			T-1	2900–3400	60	7	_
Mixed	Terraces		T-2	3000–3400	17	5	_

# **Figure captions**

1105	Figure 1. A) Regional bathymetric map of the northeastern South China Sea and
1106	northwestern Pacific Ocean, including regional ocean circulation patterns,
1107	sketch map of tectonics, and data collection locations for this study. The sizes
1108	of the blue arrows indicate the volume transport of the OPDW, marked by the
1109	number with unit Sv (1 Sv = $1 \times 10^6 \text{ m}^3/\text{s}$ ) close to the arrow. G1 through G4
1110	respectively represent samples GX118BC, GX128BC, GX133BC, and
1111	GX138BC. In situ hydrographic stations (BC1, LT1, LT2, WG2) in the trough
1112	are cited from Zhao et al. (2014). B) Mean hydrographic (salinity and
1113	temperature) section L1 with a width of 187 km across the northern Luzon

1114	Strait. Thermohaline data were downloaded from the NOAA World Ocean
1115	Database 2013. This figure is elaborated using Ocean Data View (Schlitzer, R.,
1116	2016). The black profile at the bottom of each section shows seafloor
1117	topography. The arrows indicate flow direction of water masses. A '•' symbol
1118	in the center of a circle indicates flow coming out of the page (i.e., southward,
1119	towards the reader). The white triangles at the section top mark the locations
1120	of the hydrographic casts in (B) and (D). C) Mean density profiles in the deep
1121	South China Sea (18°-20°N, 119°-121°E), Luzon Trough, and the Pacific
1122	(21°-23°N, 122°-124°E), modified from Zhao et al., 2014 and Zhou et al.,
1123	2014. D) Sectional (L2) view of the potential density ( $\sigma_2$ ) based on CTD casts
1124	along the Bashi Channel, modified from Zhou et al., 2018. The profile
1125	locations of (B) and (D) are shown in panel (A). Abbreviations: OPDW =
1126	Overflow of Pacific Deep Water. SSW = South China Sea Surface Water. SIW
1127	= South China Sea Intermediate Water. SDW = South China Sea Deep Water.
1128	SBW = South China Sea Bottom Water. NPTW = North Pacific Tropical Water.
1129	NPIW = North Pacific Intermediate Water. PDW = Pacific Deep Water. SCS =
1130	South China Sea. HR = Heng-chun Ridge. LA = Luzon Arc. LT = Luzon
1131	Trough. BS = Bashi Sill. MT = Manila Trench. TC = Taitung Canyon.
1132	Figure 2. Swath multibeam bathymetric map (A) and regional morphosedimentary
1133	map (B) of the Luzon Trough interpreted from multibeam and seismic data,
1134	including the main contourite depositional and erosional features. The gray

1135 outlines mark the zero (present sea surface) contours. The white lines in A

1136	show the locations of select interpreted seismic sections. The gray rectangles
1137	mark close-up of bathymetry in Fig. 3. The black dots mark the locations of
1138	surface samples. The yellow line represents the bathymetric profile location in
1139	Fig. 11. Abbreviations: BC = Bashi Channel. C-1 = Corridor 1. CC =
1140	contourite channel. MD = mounded drift. PD = plastered drift. SD = sheeted
1141	drift. T-1 and $-2 =$ terraces 1 and 2. TC = Taitung Canyon. E1 through 3 =
1142	elevations 1 through 3. MTD = mass transport deposit.
1143	Figure 3. Close-up of multibeam bathymetry in the north (A), east (Bashi Channel)
1144	(B), north to middle (C), and middle (D) sectors across the Luzon Trough. The
1145	white lines show the locations of select interpreted seismic profiles. The black
1146	dot marks the location of surface sample G2. The color bar in "A" is suitable
1147	for the rest of the figures (B, C and D).
1148	Figure 4. Seismic reflection profile across the northern end of corridor C-1 of the
1149	northern Luzon Trough. The interpreted seismic units SU1-SU3,
1150	discontinuities H1 and H2, and the mounded drifts MD-1 and MD-2 with their
1151	associated moats are indicated. Current direction is indicated by the circles: a
1152	••' symbol in the center of a circle indicates flow coming out of the page (i.e.,
1153	southward). An '×' indicates a current flowing into the page (i.e., northward).
1154	TWT = two-way travel time. See Fig. 2 for profile location.
1155	Figure 5. Seismic reflection profile across the southern end of corridor C-1 of the
1156	northern Luzon Trough showing the interpreted seismic units SU1-SU3,

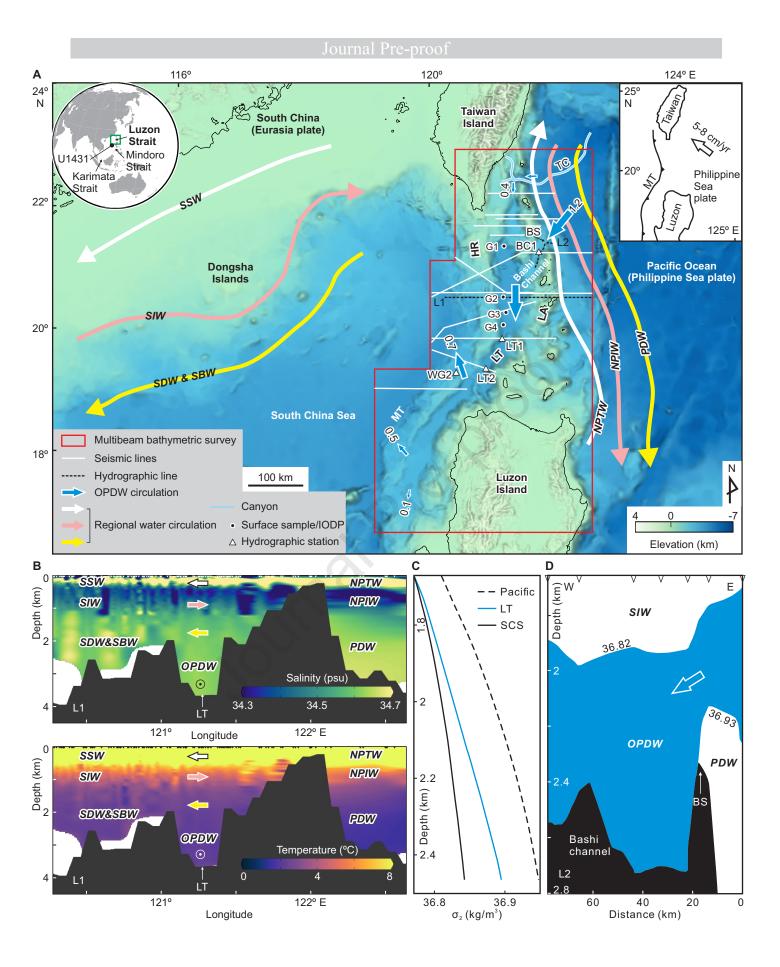
discontinuities H1 and H2, mounded drifts MD-3 and MD-4 (along with their

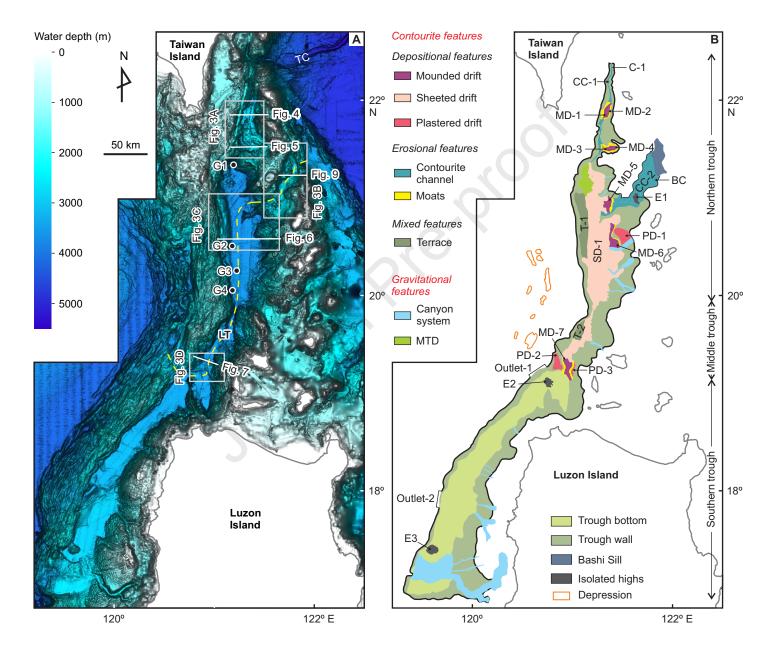
- 1158 associated moats), and contourite channel CC-1. See Fig. 2 for profile1159 location.
- Figure 6. Seismic reflection profile across the main axis of the northern Luzon
  Trough, showing: the interpreted seismic units SU1–SU3; discontinuities H1
  and H2; the sheeted drift (SD); mounded drift MD-6 (and its associated moat);
  plastered drift PD-1; and terrace T-1. See Fig. 2 for profile location.
- Figure 7. Seismic reflection profile across the middle Luzon Trough (A) showing the 1164 interpreted seismic units SU1-SU3, discontinuites H1 and H2, mounded drift 1165 1166 MD-7 (with associated moats), and plastered drifts PD-2 and PD-3. B) The 1167 along-trough current velocity at site LT2 (Zhao et al., 2014) near MD-7 is shown by the green line in the water column and indicates a southward 1168 1169 direction. Note that depth in meters (red) is shown together with TWT (see 1170 text for further information). See Fig. 2 for profile location and Fig. 1A for the 1171site location.
- Figure 8. A work site photo of surface sample GX118BC (G1) from the northern
  Luzon Trough showing fine-grained material on the modern seafloor.
- Figure 9. Seismic reflection profile across the Bashi Channel (A) showing contourite
  channel CC-2. B) The along-trough (downstream) current velocity at site BC1
  in Zhao et al. (2014) for CC-2 is shown by the green line in the water column.
  See Fig. 2 for profile location and Fig. 1A for site location.
- 1178 **Figure 10.** Seismic images across the Bashi Channel of the northern Luzon Trough (A)

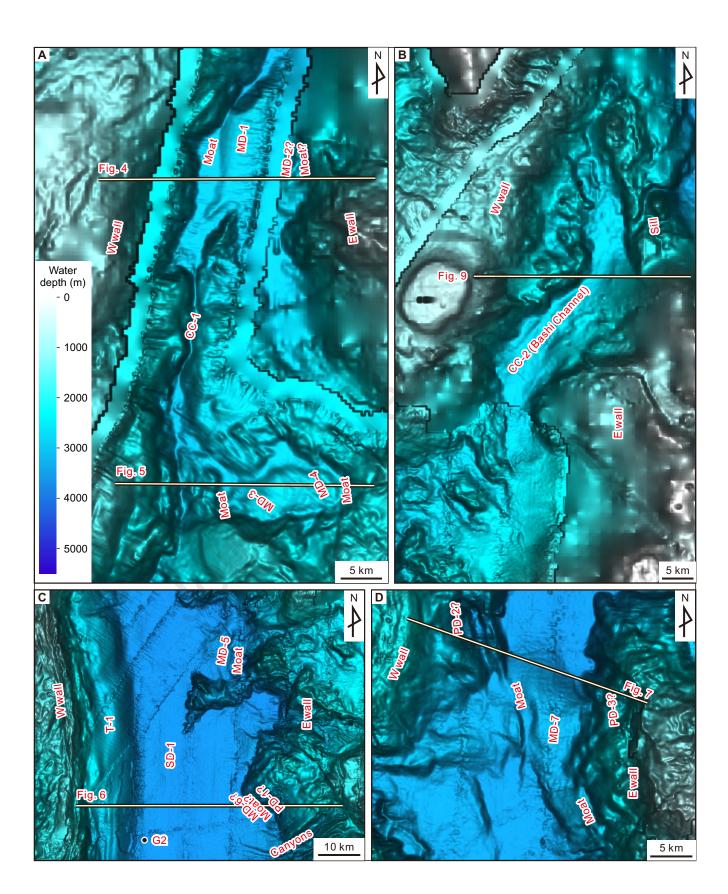
1179	and across the southern trough (B), showing water masses and associated
1180	contourite features. Figure A shows contourite channel CC-2, and B shows
1181	mounded drift MD-3 along with plastered drifts PD-2 and PD-3. South China
1182	Sea Deep Water (SDW) is equivalent to Pacific Deep Water (PDW). The
1183	dashed lines mark the boundary of water masses. The vertical green lines show
1184	water-column profiles of nearby along-trough current velocities at sites BC1
1185	and LT2 in Zhao et al. (2014). Positive values indicate southward,
1186	along-trough flow and negative values indicate northward, along-trough flow.
1187	See Fig. 2 for profile locations and Fig. 1A for site locations.
1188	Figure 11. Mooring observations of current velocity along the Luzon Trough showing
1189	the general depth of the overflow of Pacific Deep Water (OPDW). Velocity
1190	profiles are adapted from Zhao et al. (2014). The black profile at the bottom
1191	shows seafloor topography. Abbreviations: BW = bottom water in the Luzon
1192	Trough. $V =$ velocity. See Fig. 2 for the bathymetric profile location.
1193	Figure 12. Seismic and vertical hydrographic (salinity and temperature) sections
1194	across the C-1 corridor (A, B) and the main course of the northern Luzon
1195	Trough (C, D). South China Sea Deep Water (SDW) is equivalent to Pacific
1196	Deep Water (PDW). The white dashed lines represent upper and lower
1197	boundaries of the overflow of Pacific Deep Water (OPDW). The white
1198	triangles at the sea surface mark the locations of the hydrographic casts. See
1199	Fig. 2 for section locations.

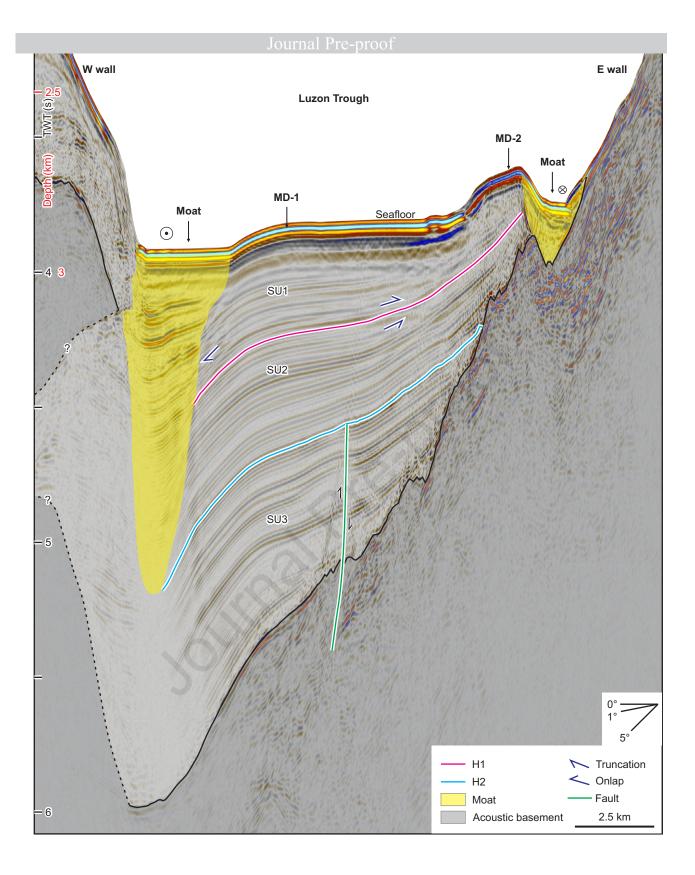
**Figure 13.** A) Sketch of the Luzon Trough (from entryways to Outlet-1), with the two

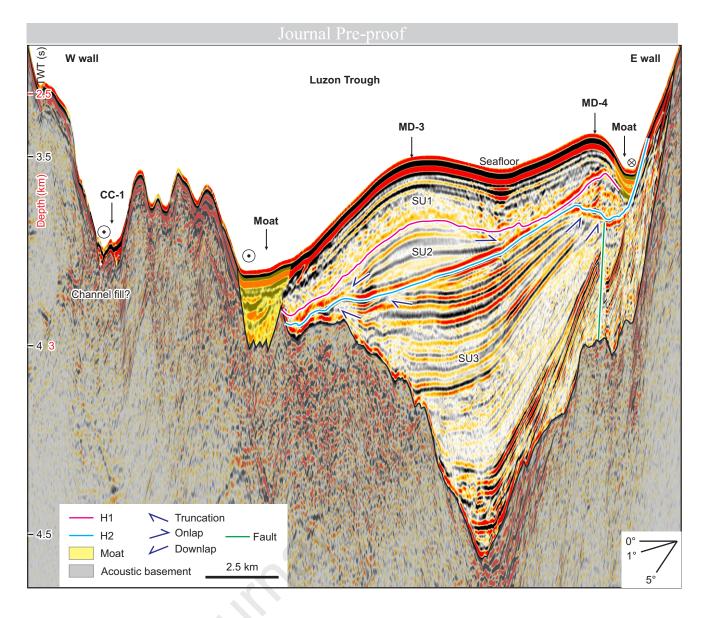
1201	contourite depositional systems, CDS-1 and CDS-2. The thick black lines
1202	indicate the spatial boundary of the Luzon Trough, and the gray lines indicate
1203	outlines of major regional morphologic features. The solid blue arrows show
1204	the overflow of Pacific Deep Water (OPDW), and the open blue arrows show
1205	counter-current flow. Arrow size indicates relative transport volume. See Fig.
1206	2B for the contourite features within and around the Luzon Trough. B) Vertical
1207	sections across the northern trough (section S1) and the middle trough (section
1208	S2) showing water masses and associated contourite features. The dashed line
1209	marks the possible shallower interface between the OPDW and bottom water
1210	in the Luzon Trough (BW) when the OPDW weakens. Section locations are
1211	shown in panel (A) by the dashed black lines. In the Luzon Trough, the OPDW
1212	controlled development of CDS-1, and the South China Sea Deep Water
1213	(SDW) controlled development of CDS-2.

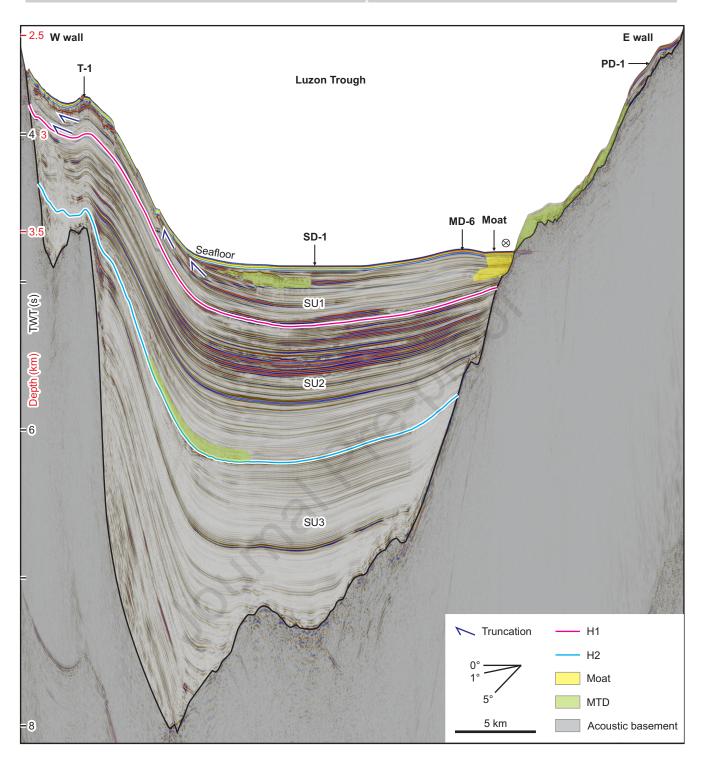


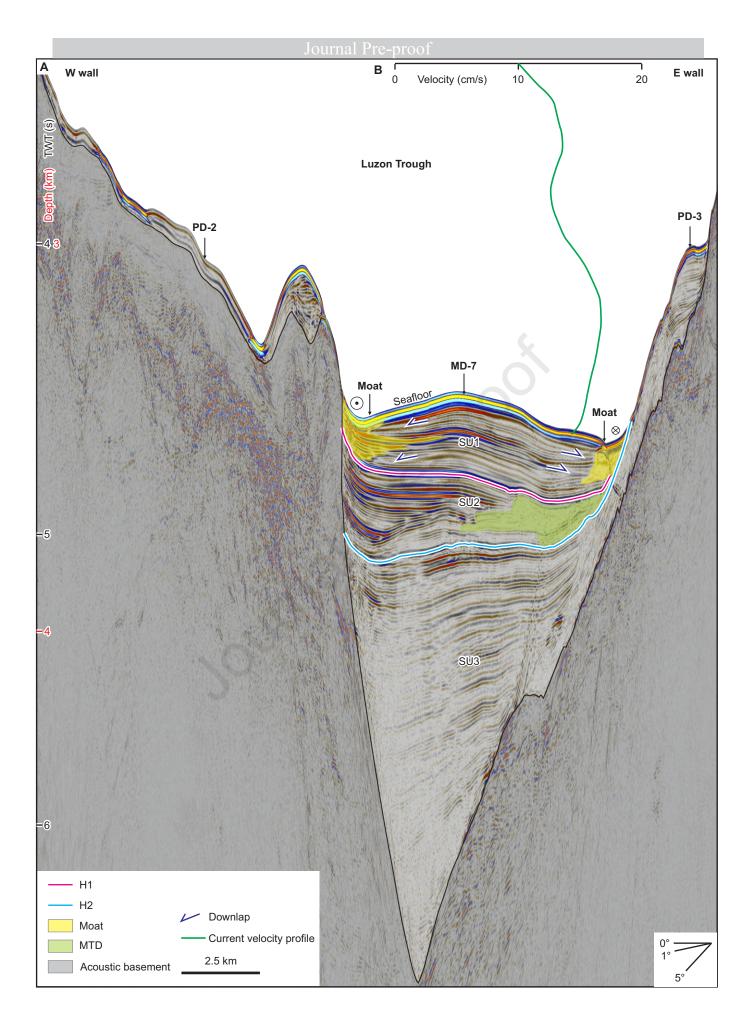






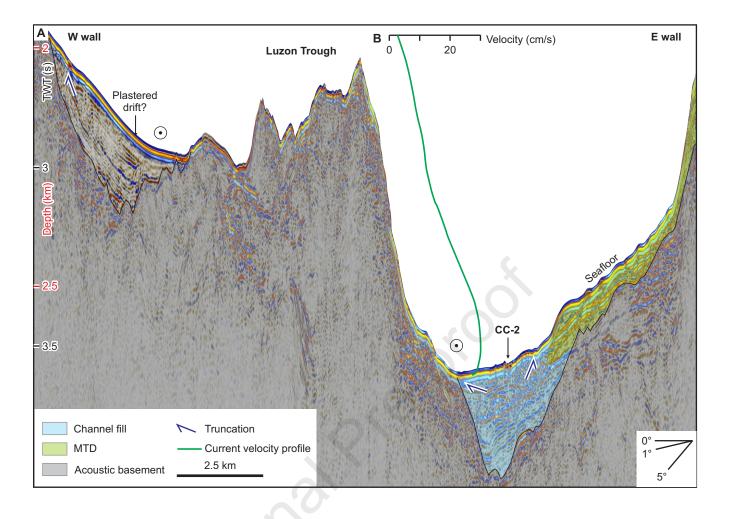


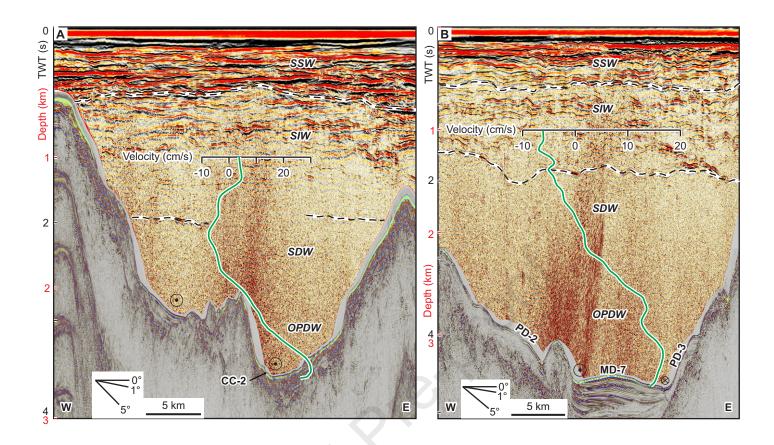




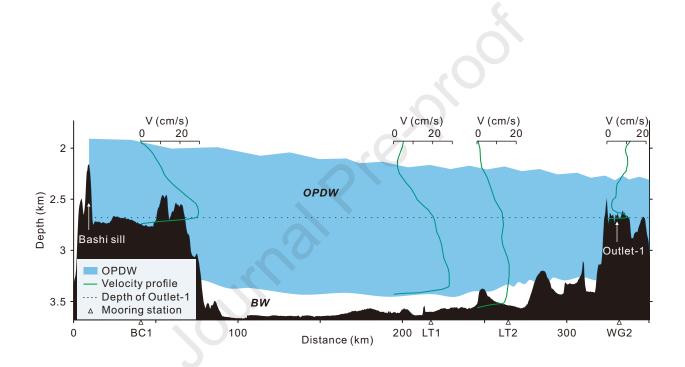


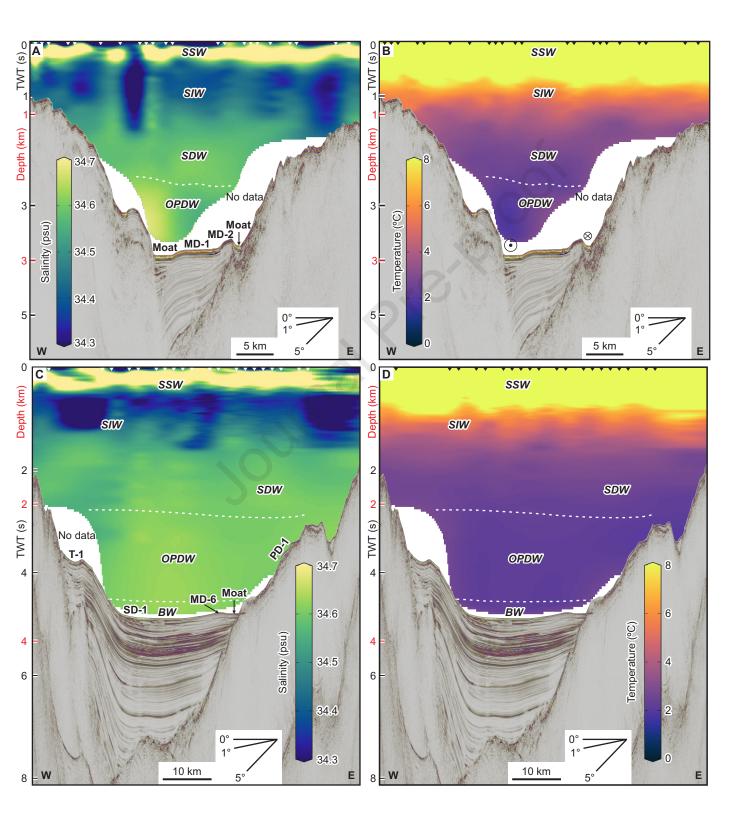
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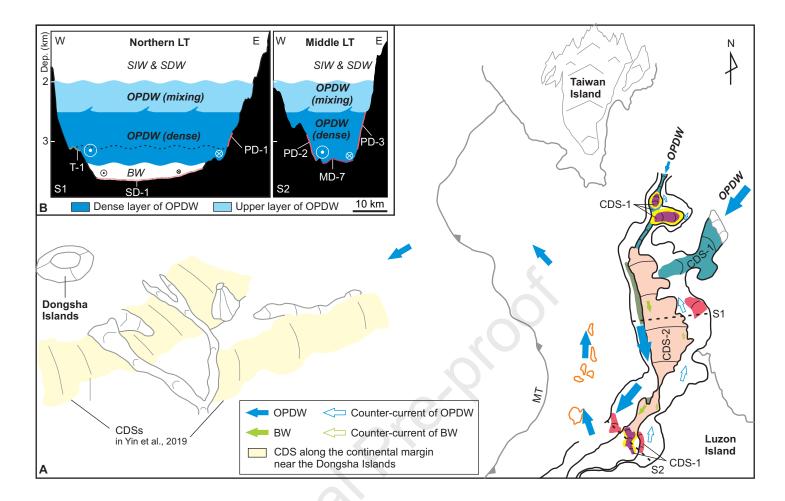




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### Highlights

- Multidisciplinary study of the overflow of Pacific Deep Water along the Luzon Trough •
- The overflow overlies a more sluggish layer at depth greater than 3450 m •
- The overflow lower layer has formed a contourite depositional system •
- The upper (mixing) layer of the overflow has not generated significant contourite features
- Gateway and overflow have influenced South China Sea morphology and sedimentary evolution

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### **Declaration of interests**

 $\boxtimes$  The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: