

## Convergent evolution of reduced eggshell conductance in avian brood parasites

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## Abstract

Brood parasitism has evolved independently in several bird lineages, yet these species  
30 share many similar physiological traits that optimise this breeding strategy, such as  
shorter incubation periods and thicker eggshells. Eggshell structure is important for  
embryonic development as it controls the flux of metabolic gases, such as O<sub>2</sub>, CO<sub>2</sub> and  
H<sub>2</sub>O, into and out of the egg; in particular, water vapour conductance ( $G_{H_2O}$ ) is an  
essential process for optimal development of the embryo. Previous work has shown that  
common cuckoos (*Cuculus canorus*) have a lower than expected eggshell  $G_{H_2O}$   
compared to their hosts. Here we sought to test whether this is a trait found in other  
independently-evolved avian brood parasites, and therefore reflects a general  
adaptation to a parasitic lifestyle. We analysed  $G_{H_2O}$  for seven species of brood parasites  
from four unique lineages as well as for their hosts, and combined this with species  
40 from the literature. We found lower than expected  $G_{H_2O}$  among all our observed brood  
parasites both compared to hosts (except for brown-headed cowbirds (*Molothrus ater*))  
and compared to the expected rates given their phylogenetic positions. These findings  
suggest that a lowered  $G_{H_2O}$  may be a general adaptation for brood parasitism, perhaps  
helping the parasite nestling to develop greater aerobic fitness.

## 1. Introduction

Avian brood parasites forego the costs of raising their own offspring, and instead rely on hosts to incubate their eggs and provision their young [1, 2, 3]. Interspecific obligate brood parasitism is found in approximately 1% of bird species, and has evolved independently seven times: three times in Cuculidae (cuckoos), and once each in the Indicatoridae (honeyguides), Icteridae (*Molothrus* spp., cowbirds), Viduidae (*Vidua* spp. and cuckoo finches, *Anomalospiza imberbis*) and Anatidae (black-headed ducks, *Heteronetta atricapilla*) [1]. Despite the large phylogenetic diversity of parasitic species, there are commonalities in the approaches that they adopt to ensure the hosts will incubate their eggs, and successfully rear their offspring. Since brood parasitism has arisen independently in each of these lineages, this is suggestive of convergent selection pressures acting on these traits.

While the behavioural adaptations common to different lineages of brood parasites have been well studied, convergent adaptation in physiological traits have been less thoroughly studied. However, examples of apparent convergent physiological adaptations across brood parasite species can be found at multiple stages of their development. At the egg stage, these include parasitic species having thicker egg shells than those of their hosts [4]. This is thought to hinder the host in puncturing the parasite's egg, and hence make ejection from the nest more difficult if the host attempts to evict it [5,6]. Thicker eggshells may also function to protect the parasitic egg from fracture during the rapid laying process that is characteristic of many brood parasites [7]. In parasitic cowbirds (e.g. brown-headed cowbirds (*Molothrus ater*)) and greater honeyguides (*Indicator indicator*), thicker eggshells may function to help protect against egg puncturing by conspecifics attempting to

parasitise the same nest [8,9]. Many brood parasites also have a shorter incubation  
80 period compared to their hosts [10,11,12], and early hatching is beneficial as it  
provides a competitive advantage for the parasite chick over host young [13, 14]. This  
is achieved either through facilitating the killing or ejection of host eggs or chicks  
[12], or through providing a competitive edge in obtaining food from the host parents,  
for those species where host and parasite are reared together [15,16,17] . Various  
mechanisms have been proposed to explain the shorter developmental period seen in  
brood parasites, including internal incubation by the female (in some species where  
eggs are laid at 48-hour intervals) [18] and a higher concentration of growth-  
promoting steroids in the yolk [19], but the precise mechanism behind early hatching  
is not fully understood. Finally, convergent physiological adaptations in brood  
90 parasites are also found at the chick stage. Many parasite nestlings have stronger neck  
or back muscles [12, 20, 22] that not only aid in hatching from a thicker eggshell, but  
also likely assist parasitic chicks to kill hosts chicks, in those species that are highly  
virulent [23, 20].

These examples show that many physiological adaptations to brood parasitism  
occur at the egg stage. Yet, while much has been documented about the size [24],  
maculation [25, 26] and thickness [4, 6] of the eggs of avian brood parasites,  
comparatively less is known about their eggshell physiology. This includes traits such  
as the rate of exchange of respiratory gases (carbon dioxide, oxygen and water vapour)  
100 across the eggshell pores, which may play a role in the rapid development of the  
embryo of parasitic birds [27]. Gas exchange across the shell depends on the diffusive  
properties of the eggshell and, importantly, on the environmental conditions under  
which the egg is placed [28, 29, 30, 31]. If the nest environment is too humid or too  
xeric, then either too little or too much water loss occurs, which can cause

developmental abnormalities and embryonic death [32, 33, 29]. Gas exchange contributes to the rate of water loss, and can be measured across the eggshell as the water vapour conductance ( $G_{H_2O}$ ). Therefore,  $G_{H_2O}$  must be fine-tuned such that desiccation does not endanger the embryo, while at the same time allowing sufficient water to be lost for embryo growth and air cell formation [33, 34]. Because all nutrients  
110 for embryonic development are deposited by the mother into the egg prior to laying, suitable levels of gas exchange and incubation temperature comprise the female's only physical control of the requirements for her offspring's embryonic development once the egg has been laid [35, 36, 37, 38].

The physical characteristics of the nest environment are known to be important determinants of  $G_{H_2O}$ , and therefore  $G_{H_2O}$  across the eggshell of parasitic species should be expected to match that of their hosts, given that they experience identical nest environments. However, contrary to this expectation, the nanostructure of the eggs of one parasite species, common cuckoos *Cuculus canorus*, has been  
120 demonstrated to differ greatly from that of its hosts [6]. Therefore, while the outer appearance of the parasite's eggshell might sometimes superficially match that of the hosts, eggshell physiology and gaseous exchange might be considerably different.

Previous work by Portugal *et al.* 2014 [34] tested the hypothesis that brood parasites should have an elevated gas exchange across the eggshell to promote the rapid development of the embryo, as has been suggested in cowbirds based on the number of external pore openings [39]. Contrary to this expectation, they found that the  $G_{H_2O}$  of common cuckoos eggs was lower (*i*) than eggs of their hosts, (*ii*) than expected for their egg size, and (*iii*) than expected given the common cuckoo's  
130 phylogenetic position [34]. The lower  $G_{H_2O}$  in common cuckoo eggs was suggested to

permit slower depletion of the yolk, thus providing more reserves at the end of the incubation period to assist the embryo with the energetically demanding events of hatching from an egg of great structural strength, and of evicting host eggs and nest-mates [21].

Here we test the hypothesis that a reduced eggshell  $G_{H2O}$  is thus an adaptation to a parasitic lifestyle, and therefore a commonality among all avian brood parasites, regardless of host identity, parasitic egg size, or parasitic phylogenetic position. The unusual coevolutionary biology of brood parasites provides a unique opportunity to  
140 understand the extent to which developmental physiology is simultaneously fine-tuned to different environments while potentially dictated and/or constrained by phylogeny. Our multi-species systems comparison also proposes a framework for future studies to focus on the physiological adaptations of parasites, across multiple independently-evolved taxa, that may have contributed to the dynamics of host-parasite relationships. To investigate any commonalities between avian brood parasites in eggshell  $G_{H2O}$ , we use new data from six obligate brood parasitic species from three independently evolved lineages of brood parasites (Cuculine cuckoos, honeyguides and parasitic finches), and 10 species of hosts common to them and to related brood parasites. We combine these with data from 51 species from the literature, including a representative  
150 of a fourth lineage of brood parasites, the parasitic brown-headed cowbirds *Molothrus ater*.

## 2. Materials and methods

### (a) *Species and eggshell samples*

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Eggs of the following brood-parasitic species were collected from the wild in the Choma District of Zambia under permit (see table 1 for sample sizes): lesser honeyguides (*Indicator minor*), greater honeyguides, cuckoo finches (*Anomalospiza imberbis*), pin-tailed whydahs (*Vidua macroura*), and purple indigobirds (*Vidua purpurascens*). Additionally, data on common cuckoos and brown-headed cowbirds were added from the literature (see below and supplementary tables 1 and 2). Eggs were collected and blown within a few days of laying and stored in the dark, inside airtight plastic containers, until analysis.

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The following host species were collected from the same location (corresponding parasites in brackets following species names) (see table 1): little bee-eaters (*Merops pusillus*) (parasitized by greater honeyguides), crested barbets (*Trachyphonus vaillantii*) (parasitized by lesser honeyguides), common waxbills (*Estrilda astrild*) (parasitized by pin-tailed whydahs), Jameson's firefinches (*Lagonosticta rhodopareia*) (parasitized by purple indigobirds), and tawny-flanked prinias (*Prinia subflava*) (parasitized by cuckoo finches). Additionally, we also analysed eggs from several co-occurring estrildid species that are commonly parasitised by closely related *Vidua* spp. at same study site or in other parts of their range: zebra waxbills (*Amandava subflava*) (elsewhere parasitized by Jambandu indigobirds *Vidua raricola*), African quailfinches (*Ortygospiza fuscocrissa*) (elsewhere parasitized by quailfinch indigobirds *Vidua nigeriae*), red-billed firefinches (*Lagonosticta senegala*) (locally parasitized by village indigobirds *Vidua chalybeata*)

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and orange-winged pytilias (*Pytilia afra*) (locally parasitized by broad-tailed paradise whydahs *Vidua obtusa*). For phylogenetic comparison, eggs of two related non-host estrildid finch species were also collected from the same field site in Zambia: locust finches (*Paludipasser locustella*) and blue waxbills (*Uraeginthus angolensis*).

**(b) Whole egg conductance measurements**

190 For eggs that were collected shortly after laying and blown in the field, the whole egg was used to measure  $G_{H_2O}$ . The  $G_{H_2O}$  of the eggs was measured following the same standard protocol [40, 41, 42, 34, 43] that was used by studies that were the source of comparative literature data (see supplementary table 1), and researchers were blind to which species eggs each egg came from during the initial process of measuring  $G_{H_2O}$ . Eggshells were filled with water to capacity via a syringe and fine-gauge needle (using water instead of fresh egg contents has been shown to have no effect on  $G_{H_2O}$ ; [44]). The eggs had been blown following collection, so to cover the blow hole, we glued on a small section of impermeable plastic cut to size to cover the hole, using Loctite™ superglue (Consumer Products Henkel Corporation, Ohio). The plastic covering the  
200 blow hole comprised, on average, less than 2.5% of the total egg surface area, and previously we demonstrated that this was an effective way of covering the blow hole [43]. The glue was left to dry for 4 hours, before the eggs were weighed to the nearest 0.0001g (Sartorius 1265 ms, Göttingen, Germany) and placed in a desiccator (Camlab, Cambridge, U.K.) filled with self-indicating silica gel. The desiccator was housed in a constant temperature cabinet (Porkka, Hertfordshire, U.K.) to  $25 \pm 1$  °C. After 24 h, the eggs were weighed to the nearest 0.1 mg before being returned to the desiccators. The eggs were weighed at the same time of day on three successive days to provide two values of 24-hour  $G_{H_2O}$ , and a mean was taken. Any mass loss was assumed to be

the result of water loss [45, 46, 43, 34]. Calculation of  $G_{H_2O}$  was as previously  
210 described [45, 46, 43, 47]. Briefly, the  $G_{H_2O}$  of a shell can be calculated as:

$$G_{H_2O} = \frac{M_{H_2O}}{P_{H_2O}} \quad (1)$$

Where  $G_{H_2O}$  = water vapour conductance ( $\text{mg day}^{-1} \text{ torr}^{-1}$ ),  $M_{H_2O}$  = the rate of mass loss ( $\text{mg day}^{-1}$ ), and  $\Delta P_{H_2O}$  = water vapour pressure difference across the shell (torr). Internal  $P_{H_2O}$  was assumed to be 39.9 torr (water vapour pressure of saturation at the egg temperature, 39.9 torr at  $^{\circ}\text{C}$ ), and an external  $P_{H_2O}$  of 0 torr, due to the desiccator atmosphere being close to zero humidity.

### ***(c) Fragment eggshell conductance measurements***

220 Previously we demonstrated that eggshell fragments can be used to measure  $G_{H_2O}$  across eggshells [46, 43, 45]. For eggs that had been broken in the field, or had cracks present, fragments were used to determine  $G_{H_2O}$ . Eggshell fragments were glued to the top of Eppendorf tubes (surface area of  $24.4 \text{ mm}^2$ ) that had been previously filled with 200  $\mu\text{l}$  of water. Loctite glue was applied via a syringe and needle to the circumference of the Eppendorf, before placing the eggshell fragment on top, inside surface down, ensuring that the top of the tube was entirely covered with eggshell. The eggshell fragment was then gently pushed down to ensure contact with the glue and left for 4 h to dry (following the manufacturer's recommendations). Most eggshell fragments were taken from the equatorial portion of the egg in order to get a relatively flat shell  
230 section with a large enough diameter to cover the opening of the Eppendorf tube. The Eppendorf tubes were housed in PCR preparation racks (Cole-Parmer, St Neots, U.K.) to aid transport and weighing, and to ensure the Eppendorf was upright at all times. Once the glue had dried, the eggshell fragments were checked to ensure the fragment

was adhered securely, before superglue (RS Components, Corby, U.K.) was applied to the underside of the fragment, around the join of the Eppendorf circumference and the eggshell. The superglue was allowed to dry for 2 h, then the tops of the eggshells were brushed gently with a dry artist's paintbrush to remove any particulate dust. The efficiency of the seal between the eggshell and the Eppendorf tubes can be established through examining the repeatability of the mass loss between weighing sessions.

240 Samples that showed an abnormally high rate of mass loss were checked for cracks in the shell fragment or an incomplete glue seal, and discarded if a defect was found [46]. All other aspects of  $G_{H_2O}$  measurement and analyses were identical to the whole-egg protocol.

All  $G_{H_2O}$  species values extracted from literature were measured using the same methods to our study and hence are comparable. See supplementary material for a full list of literature sources.

#### ***(d) Life-history and ecological data***

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A total of 43 species was used for whole egg analyses, and 36 species for fragment analyses (see supplementary tables 1 and 2 for full species list and literature sources). Species were restricted to passerines or near-passerine families for similarity in egg size. Life-history and ecological data were gathered primarily from Handbook of the Birds of the World Volumes 1–13 [48] (Del Hoyo *et al.*, 1992- 2010), and cross referenced with Birds of the Western Palearctic [49] (Cramp *et al.*, 1977-1994). In addition, supplementary data were obtained from family and species-specific monographs, and field guides to nests (sources available on request).

260 We restricted the number of life history traits included to those which have been found to have a significant effect on  $G_{H_2O}$  [43]. These were as follows (all scored from the literature): adult body mass (g), mean fresh egg weight (g), median breeding range (degrees latitude), nest type (cup/non-cup), ground nesting (no/yes), diet (calcium rich/herbivore), and whether the parental foraging style meant that adults returned habitually to the nest with wet plumage (no/yes wet incubating parent; see Results) (see [50] for full description). Body mass of adult birds was taken as a mean for both sexes, primarily from [51]. Breeding latitude was compiled from data tabulated by Orme *et al.* [52] (2006).

#### 270 (e) *Phylogenetic methods and analyses*

R statistical software was used to conduct all statistical analysis and production of figures (R Core Team 2015) [53]. Values of  $G_{H_2O}$  produced from whole egg analysis and fragmented eggs were analysed separately. However, a number of species (n=12) were included in both analysis, where both whole and fragmented eggs values were available.

280 Since species are not statistically independent, we modelled  $G_{H_2O}$  while taking into account their shared phylogenetic history [54, 55, 56]. We downloaded 1000 phylogenetic trees for each of our species subsets (43 species for whole eggs and 36 for fragmented eggs) from [www.birdtree.org](http://www.birdtree.org), which used a backbone tree based on Ericson *et al.* [57]. For each set of trees, a maximum clade credibility tree was produced using the function `maxCladeCred` from the R package `phangorn` [58, 59], and these trees were used for subsequent phylogenetically informed analysis (figure 1).

We estimated Pagel's  $\lambda$  for  $G_{H_2O}$  on each tree, using the function `phylosig` (from the R package `Phytools` [60, 61]) on 999 phylogenetic simulations. Pagel's  $\lambda$  ranges between 0 and 1 and is an indication of the strength of the phylogenetic signal of a trait across a phylogeny. A Pagel's  $\lambda$  value of 1 or close to 1 indicates that the evolution of a trait is best described by a Brownian motion model of trait evolution (and thus corresponds closely to expectations given through shared patterns of relatedness), whereas a value close to 0 indicates little or no phylogenetic signal in the distribution of the trait among tip species [54].

We constructed phylogenetic generalised least squares models (pgls) using the `pgls` command in the package `caper` [62]. These models incorporate the expected similarity between sister taxa by producing a co-variance matrix of how they are expected to co-vary based on their position on the phylogeny and the strength of the phylogenetic signal (Pagel's  $\lambda$ ). For each of our `pgls` models, Pagel's  $\lambda$  was assigned to the value produced by the `phylosig` function for that dataset. Using these `pgls` models, we tested the effect of being a brood parasite on observed  $G_{H_2O}$ , while controlling for other life history traits expected to influence this value. Full models included eggshell thickness, nest type (see above for categories), whether or not parents are wet when returning to the nest, and mean breeding latitude. Interactions between shell thickness and parasitic status were also included in the full models, since brood-parasitic species tend to have thicker eggshells than expected for their size [5, 6]. Despite this pattern in brood parasites, adult body mass and fresh egg weight were highly correlated with eggshell thickness (explaining > 75% of variance in all cases), and, therefore, only eggshell thickness was included in the models.

Model selection was performed by creating models including all possible variables listed above, and assessing model performance based on AICc (Akaike Information Criterion corrected for small sample sizes). Model estimates with relative weights of all models with an AICc of less than 2 are presented in table 2 (a = models for whole eggs, b= models for shell fragment data). Subsequently, a model averaging technique was applied, constructing an average model including all models that could not be rejected with 95% certainty based on model weighting. Model selection and averaging was done using the R package MuMIn [63]. Plots and phylogenetic trees produced using R packages ggplot2 [64] and phytools respectively. A Welch two sample  $t$ -test was used to initially compare  $G_{H_2O}$  between parasites and common hosts (host spp. listed above in section 2.(a), with the addition of eight host species from the literature (supplementary table 2)); however, due to the small number of species, this analysis did not take phylogeny into account.

Included in supplementary information one are results of analysis excluding species where only a single egg sample was available ( $n = 1$ ). Exclusion of these data did not change the significant of the models, indicating these that patterns are robust to the influence of these single data points.

### 330 **3. Results**

#### *Comparison of $G_{H_2O}$ in parasites and their respective hosts*

Brood parasites had a significantly lower  $G_{H_2O}$  when compared in a pairwise manner to common host species. For whole eggs,  $G_{H_2O}$  (mean  $\pm$  S.E.M) was  $0.76 \pm 0.12$  mg day<sup>-1</sup> torr<sup>-1</sup> for brood parasite species ( $n=5$ ) and  $1.34 \pm 0.20$  mg day<sup>-1</sup> torr<sup>-1</sup> for host species ( $n=16$ ;  $t_{13.9} = 2.39$ ,  $p = 0.031$ ). For eggshell fragments,  $G_{H_2O}$  was  $0.39 \pm 0.05$

mg day<sup>-1</sup> torr<sup>-1</sup> for brood parasite species ( $n=5$ ) and  $0.74 \pm 0.06$  mg day<sup>-1</sup> torr<sup>-1</sup> for host species ( $n=10$ ;  $t_{7,8} = 3.98$ ,  $p = 0.004$ ) (figures 2;3).

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Due to potentially confounding effects of egg size on  $G_{H_2O}$ , comparisons were also undertaken correcting for species mean egg weight. The results were similar to non-mass corrected values, with hosts having significantly higher  $G_{H_2O}$  per gram than brood parasites (for whole eggs,  $n = 16$ ;  $t_{12,2} = 3.51$ ,  $p = 0.004$ , and for eggshell fragments  $n = 10$ ;  $t_{5,2} = 3.51$ ,  $p = 0.015$ ) (figure 3). No statistically significant difference was found in  $G_{H_2O}$  or mass-corrected  $G_{H_2O}$  between virulent and non-virulent brood parasites ( $p > 0.05$  for whole eggs and eggshell fragments), although there was a strong trend for greater  $G_{H_2O}$  in the eggs of non-virulent parasites (table 1, Figure 4.). However due to the small sample size (virulent brood parasites:  $n = 3$ , non-virulent brood parasites:  $n = 2$ ), a non-significant  $p$ -value may be the result of insufficient power for this analysis.

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### ***Phylogenetic signal***

Pagel's lambda for  $G_{H_2O}$  for both whole eggs and eggshell fragments was 0.71. In both cases this value was significantly different from 0 and 1 ( $p > 0.001$ ), implying that while there is an effect of phylogeny on  $G_{H_2O}$ , it is primarily driven by an evolutionary process that is weaker than would be seen with a Brownian motion model of trait evolution, meaning phylogeny alone is determining the patterns seen in this trait.

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### *Whole egg conductance*

For whole eggs, the average model (table 2a) of the pglS analysis for mean  $G_{H_2O}$  retained the predictors parasitic status (binary), eggshell thickness ( $\mu\text{m}$ ), nest type ('scrape', 'cup', 'shallow'), wet parent (binary) and mean breeding latitude (degrees). The interaction term of parasite status and eggshell thickness was not retained in any of the viable models, indicating that this interaction was neither significant in affecting  $G_{H_2O}$  nor improved the fit of the models to the data.

370            Parasitic status explained a significant amount of variance in  $G_{H_2O}$  ( $z = 2.24$ ,  $p = 0.025$ ), with parasitic species displaying lower  $G_{H_2O}$  than would be expected given their phylogenetic position ( $n = 5$  of 43). Nest type also significantly predicted mean  $G_{H_2O}$  ( $z = 1.96$ ,  $p = 0.050$ ), with shallow and scrape style nests having a lower  $G_{H_2O}$  than cup nests. However despite being retained in the average model based on AICc, neither eggshell thickness, wet parent, nor mean breeding latitude had a significant effect ( $p > 0.05$ ) in the average model. Parasitic status was found to have the largest relative variable importance, since it was retained in all seven contributing models. The next most important variable in model fit was mean breeding latitude, which occurred in five of the models, despite being non-significant.

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### *Eggshell fragment conductance*

The average model for eggshell fragment analysis contained most of the same predictors as for the whole egg analysis, with the exceptions that nest type was excluded, and that the interaction term for parasitic status and eggshell thickness was included.

As with the whole egg analysis, parasitic status was found to significantly predict  $G_{H_2O}$  ( $z$  value = 2.17,  $p$  = 0.03), with lower values for parasitic species than would otherwise be predicted for their phylogenetic position ( $n$  = 5 of 36). Additionally, there was a significant effect of eggshell thickness ( $z$  = 2.35,  $p$  = 0.01) and its interaction with parasitic status ( $z$  = 2.09,  $p$  = 0.03), with a negative correlation between  $G_{H_2O}$  and shell thickness for non-parasites but not for parasitic species. Shell thickness, followed by wet parent, contributed to the most models in the retained subset (five and four respectively).

#### 4. Discussion

Consistent with findings for common cuckoos [34], the eggs of brood parasite species in the present study generally had lower  $G_{H_2O}$  than expected for their size and phylogenetic position. All species of brood parasites had lower  $G_{H_2O}$  than their hosts, with the exception of brown-headed cowbirds, whose mean  $G_{H_2O}$  was higher than the host species to which it was compared. However, brown-headed cowbirds are extreme generalists [1, 2] that parasitise a large variety of host species, and as such the single host species for which we had  $G_{H_2O}$  values to compare (American yellow warblers, *Setophaga petechia*) may not have been representative of other frequent hosts. As such, we are not able to conclusively determine whether brown-headed cowbirds are an exception to the pattern seen for other brood parasites.

This commonality between brood parasites is striking given their geographical spread, distant relatedness, and the diversity of nesting environments of the hosts they exploit. It raises the question of whether reduced  $G_{H_2O}$  serves an adaptive purpose for embryo

development in parasitic species, regardless of their hosts and nest habitat. The magnitude of the difference between hosts and parasites in  $G_{H_2O}$  was notably greater for egg fragments than whole-egg analyses. This is likely due to the eggshell fragments comprising areas primarily from the equator region of the shell, which may exacerbate the difference between hosts and parasites [46].

*Lack of adaptation of brood parasites to their hosts' nest environment*

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Brood parasites all shared lower than expected eggshell  $G_{H_2O}$  despite their eggs developing in a variety of different nest types that their hosts inhabit. This lack of adaptation to the nest environment is contradictory to numerous empirical studies demonstrating that  $G_{H_2O}$  is finely tuned to the nest environment to ensure optimal water loss (see [43] and references therein). How then are parasitic eggs able to develop successfully under the nest conditions of their respective hosts? Traditionally, species which deviate from the expected allometric relationships between egg mass and  $G_{H_2O}$  (see [27]) are what have been considered as 'extreme nesters'; that is, species that nest in extremely damp or dry conditions, or in sites with extremely abnormal  $O_2$  and  $CO_2$  concentrations. Examples include black-necked grebes (*Podiceps nigricollis*), whose eggs are often partially submerged in water or covered in rotting vegetation during incubation [65, 66]. Similarly, eggs that are typically found in environments with very low humidity (e.g. deserts) or high barometric pressure (e.g. montane environments) have reduced  $G_{H_2O}$  to minimise water loss through the shell [67, 68]. The eggs of these 'extreme nesters' typically exhibit an increase in  $G_{H_2O}$  to ensure that the optimal amount of water is lost during the incubation period, despite these extreme conditions.

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Eggshell  $G_{H_2O}$  is largely considered to be species-specific [27], and proposed to be genetically controlled [69]. Among brood parasites, there is little variation within  
440 species  $G_{H_2O}$  [34, present study], suggesting  $G_{H_2O}$  is not fine-tuned to a specific host. The common cuckoo eggs used in this study are of unknown gentes (host-races), as they were acquired from the class II collection of the National History Museum, Tring (NHM, UK) and precise collection details were not always available. However, based on observation of mimetic egg patterns, they are suspected to come from at least two different gentes, that of meadow pipits (*Anthus pratensis*) and reed warblers (*Acrocephalus scirpaceus*). This is particularly interesting as cuckoos of different gentes differ according to their host with respect to other genetically controlled traits such as eggshell colour, pattern, and thickness [4,70], but apparently not with respect to eggshell  $G_{H_2O}$  [34]. Thus, eggshell-related physiological adaptations could be  
450 maternally inherited through the same mechanisms as eggshell colour and pattern, since all egg traits are of maternal origin. By contrast, adaptations involving the embryo itself could be bi-parentally inherited and so only adapted to different hosts via speciation, not via maternal gentes. Future studies might specifically compare  $G_{H_2O}$  of different cuckoo gentes, or of other brood parasites comprising multiple lineages of host specialists, such as honeyguides [71].

*What is the adaptive significance of reduced water vapour conductance across the eggshell in brood parasites?*

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The seven species of avian brood parasites studied here belong to four phylogenetically distinct groups that last shared a common ancestor approximately 79 million years ago [72], suggesting that their shared physiological trait of a low  $G_{H_2O}$  is not due to common

ancestry, but rather arises from the selection pressures of a parasitic lifestyle. However, it is not clear exactly what the adaptive advantage of a reduced  $G_{H_2O}$  is to brood parasites. Interestingly, we did not detect a statistically significant difference in  $G_{H_2O}$  between brood parasitic species which could be considered highly virulent (that is, evict and/or kill the young of the host) versus less virulent (that is, outcompete host young, not necessarily fatally; see table 1; Figure 4.), although the small sample size means  
470 this comparison should be interpreted with caution. A stronger test of whether  $G_{H_2O}$  is specifically adapted to the energetic demands of being a highly virulent brood parasite would be enlightening.

One possible adaptive explanation for low  $G_{H_2O}$  in parasites is that it confers benefits to the development of the cardiovascular system of nestlings, helping them to break out from their thicker eggshells and to eject or outcompete host nest-mates. Intense investigations into the relationships between eggshell conductance ( $G_{H_2O}$ ,  $O_2$  and  $CO_2$ ), growth parameters and other physiological correlates such as heart rate have only been conducted under artificial conditions with domesticated species. Studying  
480 domestic turkeys (*Meleagris gallopavo*), Christensen et al. [73] established that eggs with lower conductance of  $H_2O$ ,  $O_2$  and  $CO_2$  experienced reduced heart rates and improved embryo survival, compared to eggs with higher conductance (both relative to a mean species level  $G_{H_2O}$ ). The authors argued that high eggshell conductance of all three gases resulted in disease of the heart muscle (myocardium), resulting in a lack of energy for myocardial function. Given the increased effort required for most species of brood parasite to hatch from an egg of greater structural strength [12], a reduction in cardiac function towards the end of incubation in brood parasites would be detrimental, and likely lead to an increase in embryo mortality. Christensen *et al.* [74] also found that turkey embryos in eggs with a low conductance (in this instance, of  $H_2O$ ,  $O_2$  and

490 CO<sub>2</sub>) had larger hearts, slower heart rates, and a higher ratio of glycogen to lactate in the muscular tissue of the heart. This suggests, somewhat counterintuitively, that embryos in eggs with low conductance were less oxygen-stressed. Furthermore, embryos from eggs with low conductance were able to pump more oxygenated blood to growing tissues in one heartbeat than were embryos from eggs with high conductance, whose hearts were beating at a high frequency but with a smaller stroke volume. This scenario is akin to athletes who have lower resting heart rates yet pump more blood per heartbeat (e.g. [75]). Taken together, this may suggest that the embryos of avian brood parasites could be of higher aerobic fitness than those of their hosts.

500 However, evidence for the interactions between conductance, development, and heart rate are contradictory in domesticated species, with an earlier study showing no such relationship [76]. Measuring heart rate continuously through the incubation process of both parasites and hosts would provide further insight into how the two competing species differ in their physiological development, and whether a low heart rate is synonymous with a low  $G_{H_2O}$ . Such experiments could be coupled with non-destructive body composition scanning techniques to track the development of the heart and other vital organs. This would establish whether brood parasites develop a larger than predicted heart mass for their body size, which may provide more oxygenated blood per heartbeat in embryos of parasites in comparison to that of their hosts.

510

*Trade-off between shell hardness and  $G_{H_2O}$*

The hypothesis above proposes an adaptive explanation for the surprisingly low  $G_{H_2O}$  of brood-parasitic eggshells. However, depending on the mechanisms underlying variation in  $G_{H_2O}$ , a brood-parasitic lifestyle may also impose constraints on  $G_{H_2O}$ , even

if a low  $G_{H_2O}$  is itself not adaptive. The need for brood parasites to maintain hard eggshells might impose a strong constraint on  $G_{H_2O}$ , that might partially explain why it is surprisingly low: if high  $G_{H_2O}$  requires either a thinner shell or more numerous pore openings on the outer surface of the eggshell, and if this affects the structural integrity  
520 of the shell, then brood parasites may not be able to afford high  $G_{H_2O}$  even were it adaptive for other reasons. This hypothesis could be readily testable using a combination of biomechanical and physiological tests on eggshells.

*Is  $G_{H_2O}$  measured on fresh eggs representative of conductance throughout incubation?*

Most eggs are collected shortly after laying, as blowing eggs becomes more difficult when substantial embryo development begins. As such,  $G_{H_2O}$  measurements are generally representative of  $G_{H_2O}$  at the onset of incubation. However,  $G_{H_2O}$  may not be consistent throughout development. Two possible mechanisms could generate changes  
530 in  $G_{H_2O}$  as incubation proceeds; we will consider each in turn.

First,  $G_{H_2O}$  may increase over incubation as eggshell thickness decreases. The eggshells of avian brood parasites are thicker than those of their hosts, and those of their closest non-parasitic relatives [4, 77]. While thinning of the eggshell over incubation occurs in all bird species, it has been proposed that brood-parasitic eggshells (focused mainly on cuckoos) should undergo more dramatic thinning, and hence experience more substantial increases in  $G_{H_2O}$  during later development [78]. If so, then  $G_{H_2O}$  measured in freshly-laid eggs is not necessarily representative of the incubation period as a whole [43], as differences between parasites and hosts may change further along  
540 the course of development. If more dramatic thinning of the eggshell over incubation is a general property brood parasites, then brood-parasitic embryos may have access to

more calcium from the shell during the incubation period. This could allow the development of stronger bones and muscles that should assist in hatching from a thicker shell, and in ejecting/killing host chicks and eggs. If this hypothesis is correct,  $G_{H_2O}$  should increase more rapidly as shells thin during development, potentially supporting the more rapid development of the parasite. However, the precise relationship between eggshell thickness and  $G_{H_2O}$  is unclear, and recent studies suggest it is likely to be more complex than  $G_{H_2O}$  simply increasing when an eggshell thins. Moreover, it is unclear whether parasitic eggshells do thin more rapidly: Igic *et al.* [78] established that the  
550 degree of eggshell thinning experienced by common cuckoo eggs was similar to that of their hosts.

Second,  $G_{H_2O}$  may also change over incubation if eggshell pore structure changes. For example, the erosion of calcitic crystals during incubation shortens the pathway for gas diffusion across the eggshell in malleefowl (*Leipoa ocellata*), by increasing pore diameter and reducing pore length [45]. Any such changes in pore geometry may trade off against the continued requirements for structural hardness, as discussed above [78]. This trade-off may be exacerbated in common cuckoos, which have furcated eggshell pores that might open up into more pathways for diffusion as  
560 the inner mammillary layer erodes, potentially at the cost of weakening the shell's structural integrity [40].

### *Conclusion*

We found that brood parasites have lower  $G_{H_2O}$  than their phylogenetic position and egg size would predict. Moreover, other than brown-headed cowbirds, all had lower

$G_{H2O}$  than their host species, despite experiencing identical nesting conditions. The adaptive significance of this remains unclear. We suggest that it may allow parasite nestlings to develop stronger cardiovascular systems and make them better competitors; however, it may also be partially explained by a non-adaptive physical constraint for brood parasites to produce structurally hard eggs. These findings highlight some of the gaps in our knowledge regarding the important period of *in ovo* development for brood parasites. While the behavioural adaptations of brood parasites during the nestling and adult stages of their life has received much attention, there has been relatively little investigation into how their embryonic development may be fine-tuned to a parasitic lifestyle. Parasitic eggs may be under potentially competing selective demands to develop quickly and successfully in a wide range of nesting habitats, temperatures and humidity, while also retaining structural strength, and producing highly competitive chicks able to kill or outcompete their nest mates. This highlights the potential for conflicting selection on embryo physiology driven by environmental conditions, such as nesting habitat, and the requirements of a brood-parasitic lifestyle. This is potentially an example of how the selective demands of the co-evolutionary arms-race between hosts and parasites may drive a trait in a direction counter to what would otherwise be optimal under certain environmental conditions.

**Ethics.** All eggs were collected under permission from the Zambia Wildlife Authority.

**Data accessibility.** The authors declare that the data supporting the findings of this study are available from the corresponding author on request. Supplementary Information and full data set accompanies this paper at <https://doi.org/tbc>

**Author contributions.** Conceptualisation, S.J.P.; methodology, S.C.M., C.N.S., S.J.P.; resources, C.N.S. and S.J.P.; sample collection, G.A.J. and C.N.S.; data collection, S.C.M., K.W., L.C. and S.J.P.; formal analysis, S.C.M.; writing – original draft, S.C.M. and S.J.P.; writing, reviewing and editing, S.C.M., G.A.J., K.W., L.C., C.N.S., S.J.P.

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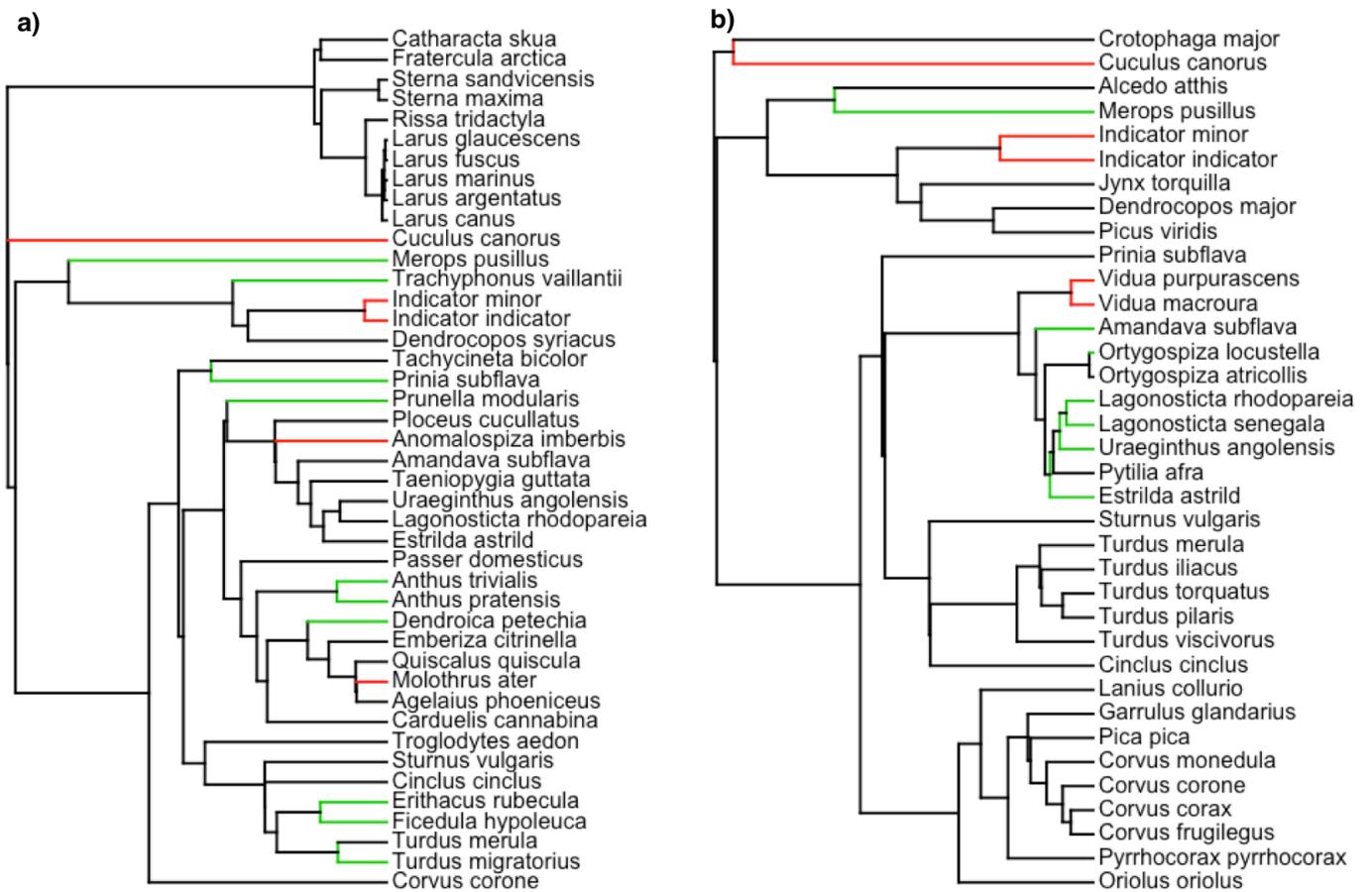
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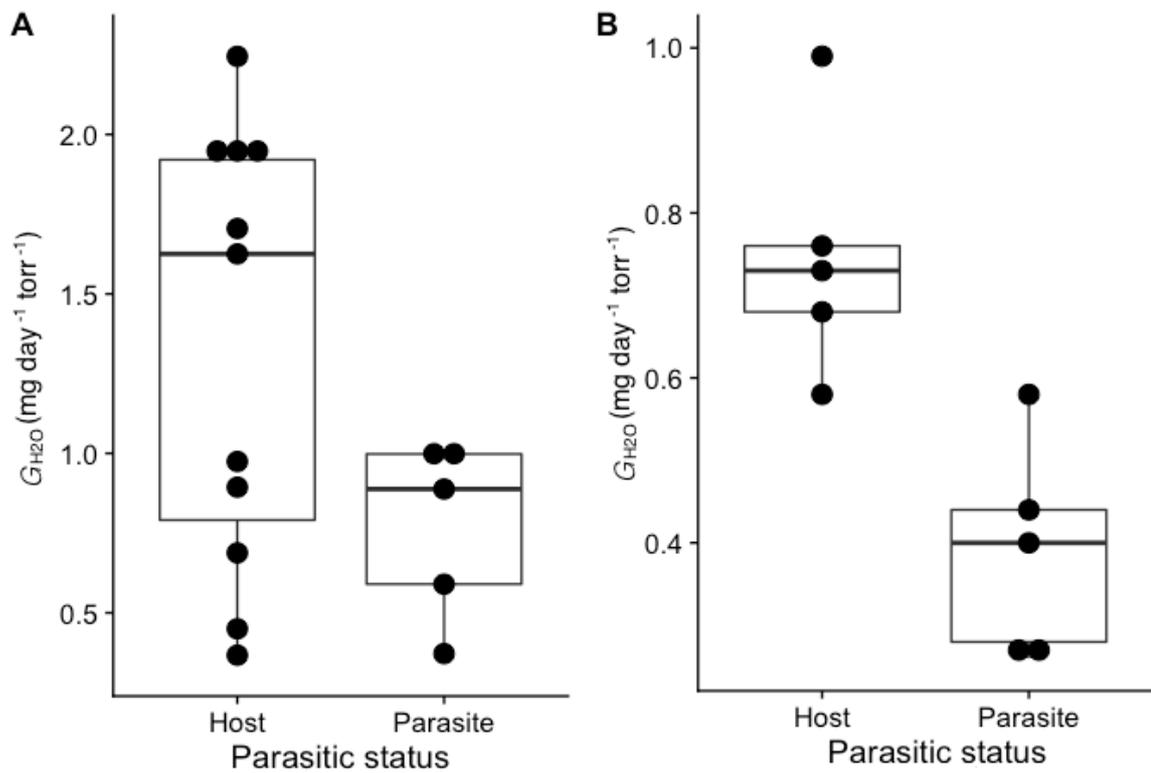
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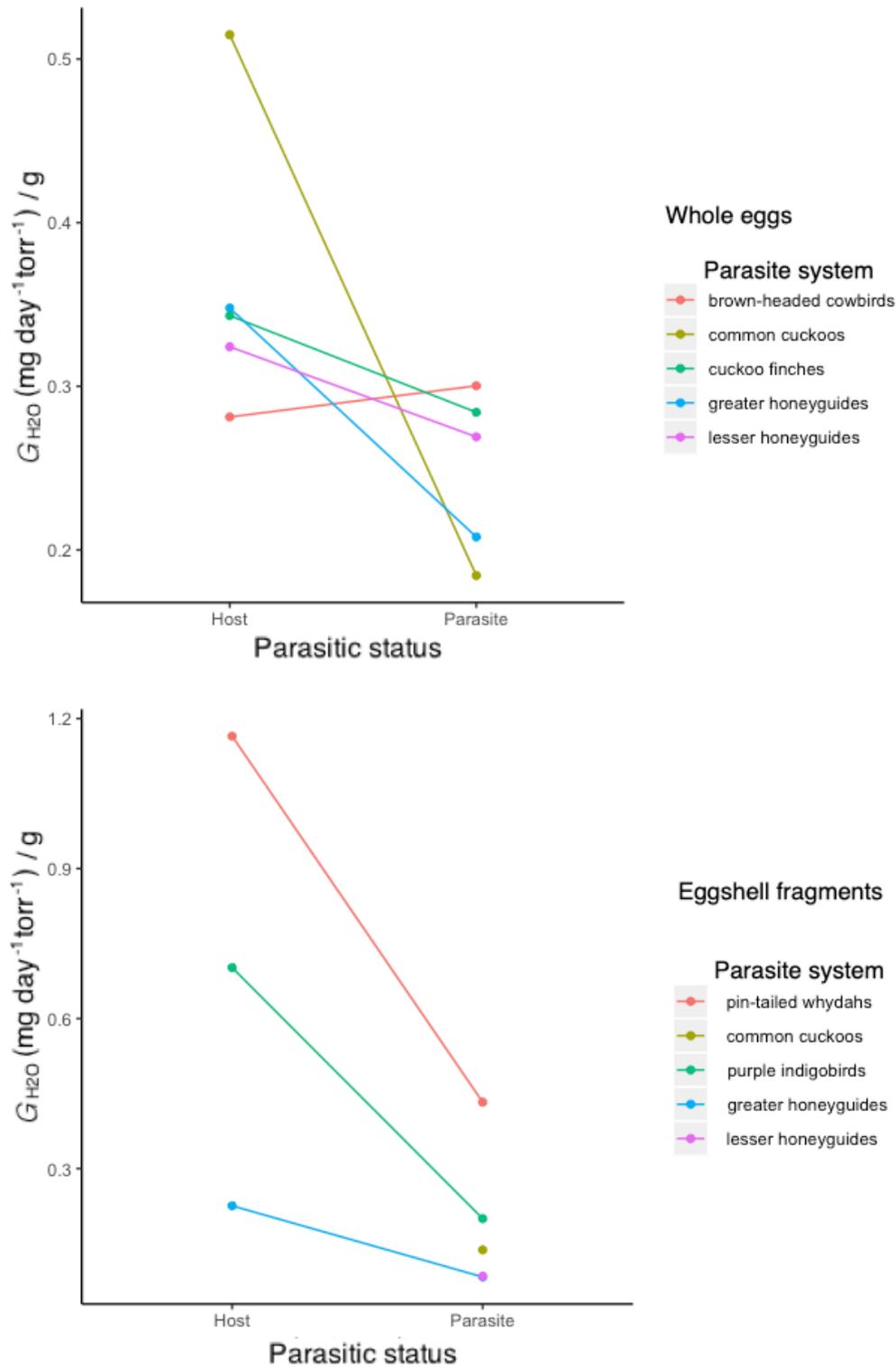


**Figure 1.** Phylogenetic trees with representatives for (a) whole egg analysis and (b)

810 shell fragment analysis. Red lines indicate brood parasitic species, and green lines indicate frequent hosts of parasites in each subset. Trees generated using a backbone tree from Ericson *et al.* [57] (see Methods).



**Figure 2.** Non-mass corrected mean  $G_{H_2O}$  (mg day<sup>-1</sup> torr<sup>-1</sup>) of avian brood parasites and common hosts. For whole eggs (A), five parasitic and 11 host species were compared, and for shell fragments (B), five parasitic and five hosts species were compared. Host species had significantly higher in  $G_{H_2O}$  both cases (*t*-test; A:  $t_{13,9} = 2.39$ ,  $p = 0.03$ , B:  $t_{7,8} = 3.98$ ,  $p = 0.004$ ).



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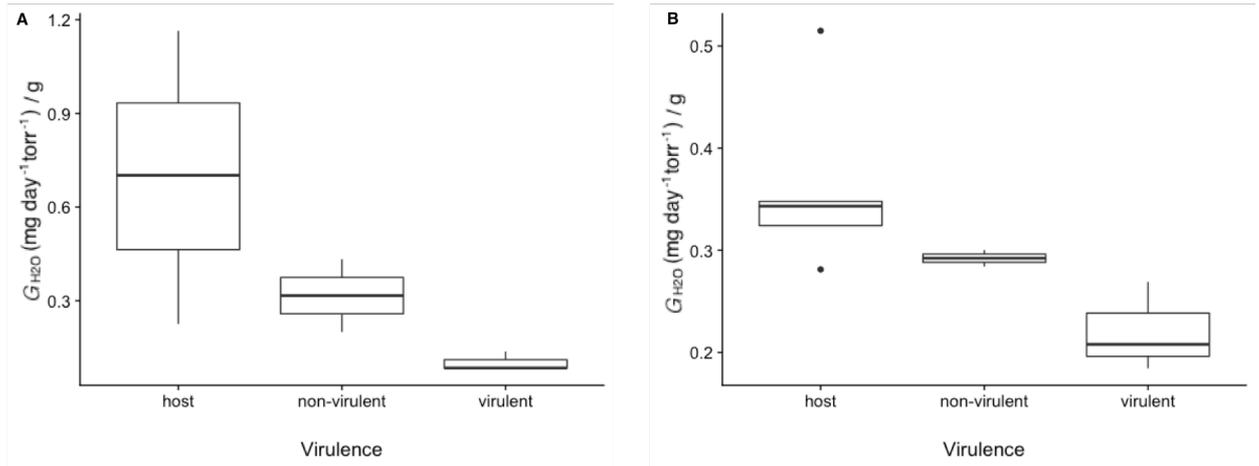
**Figure 3.** Top:  $G_{H20}$  (mg day<sup>-1</sup> torr<sup>-1</sup>) corrected by egg weight (g) for whole eggs. Brood parasites and respective host species connected by coloured lines. Note: Average  $G_{H20}$  of several hosts (n=5) of the common cuckoo is presented in the host category. Bottom:  $G_{H20}$  (mg day<sup>-1</sup> torr<sup>-1</sup>) corrected by egg weight (g) for shell fragments. Brood parasites and respective hosts are linked by coloured lines. Note: No  $G_{H20}$  values are available for shell fragments for hosts of common cuckoos or lesser

honeyguides. An average value was calculated for five species of hosts of the common cuckoos in the top panel.

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870 **Figure 4.** (A) Mean  $G_{H20}$  (mg day<sup>-1</sup> torr<sup>-1</sup>) corrected by egg weight(g) for whole eggs. Five host species compared with two non-virulent brood parasites and three virulent brood parasites. (B) Mean  $G_{H20}$  (mg day<sup>-1</sup> torr<sup>-1</sup>) corrected by egg weight (g) for eggshell fragments. Three hosts species compared to with two non-virulent brood parasites and three virulent brood parasites. There was no significant difference was between virulent and non-virulent species in either case (Whole eggs:  $t_{2.38} = 2.70$ ;  $p = 0.09$ , Eggshell fragment:  $t_{1.56} = 1.82$ ;  $p = 0.31$ )

**Table 1a.** Sample sizes, collection locations and parasite strategy for the seven species of brood parasites for which eggshell conductance ( $G_{H20}$ ) was measured. N refers to the number of (i) whole eggs where  $G_{H20}$  was measured for each species, and (ii) the number of eggs that shell fragments were taken from. For example, for lesser honeyguides there were four eggs used for eggshell fragment analyses, and 14 shell fragments were used from these four eggs. Strategy refers to the approach of the parasite to dealing with the offspring of their respective hosts (see Methods). “Virulent” is where the parasite kills the hosts offspring, while “outcompete” refers to a strategy whereby the parasite does not directly kill the hosts offspring, but outcompetes them for resources (usually fatally, in cuckoo finches).

| Species   | N   | Location | strategy   |
|---|---|----------|------------|
| <b>Lesser honeyguide</b><br>( <i>Indicator minor</i> )      | 3 whole eggs,<br>14 shell<br>fragments<br>from 4 eggs | Zambia   | Virulent   |
| <b>Greater honeyguide</b><br>( <i>Indicator indicator</i> ) | 3 whole eggs,<br>24 shell<br>fragments<br>from 4 eggs | Zambia   | Virulent   |
| <b>Cuckoo finch</b><br>( <i>Anomalospiza imberbis</i> )     | 6 whole eggs  | Zambia   | Outcompete |
| <b>Pin-tailed whydah</b><br>( <i>Vidua macroura</i> )       | 6 shell<br>fragments<br>from 3 eggs                   | Zambia   | Outcompete |

|   |  |               |            |
|---|--|---------------|------------|
| <b>Purple indigobird</b><br><i>(Vidua purpurascens)</i> | 5 shell<br>fragments<br>from 1 egg                 | Zambia        | Outcompete |
| <b>Common cuckoo</b><br><i>(Cuculus canorus)</i>        | 9 whole eggs<br>4 shell<br>fragments<br>from 1 egg | U.K.<br>(NHM) | Virulent   |

890

900

**Table 1b.** Sample sizes, and primary parasite for the 11 species of hosts for which eggshell conductance ( $G_{H20}$ ) was measured. N refers to (i) the number of whole eggs (WE) where  $G_{H20}$  was measured for each species, and (ii) the number of shell fragments and numbers of eggs from which these were taken. For example, for little bee-eaters there was one egg used for eggshell fragment analyses, and 6 shell fragments were used from this egg. Host eggs were collected from the same location as their respective parasites (see table 1a). Species marked with an asterisk were collected in Zambia, but were not hosts of parasites included in this study.

| Species  | N  | parasite                                     |
|--|--|--|
| Little bee-eater<br>( <i>Merops pusillus</i> )       | 2 whole eggs<br><br>6 fragments from 1<br>egg    | Greater honeyguide                           |
| Crested barbet<br>( <i>Trachyphonus vaillantii</i> ) | 1 whole egg                                      | Lesser honeyguide                            |
| Zebra waxbill *<br>( <i>Amandava subflava</i> )      | 6 whole eggs<br><br>9 fragments from 3<br>eggs   | Jambandu<br>indigobird (West<br>Africa only) |
| Blue waxbill *<br>( <i>Uraeginthus angolensis</i> )  | 2 whole eggs<br><br>8 fragments from 2<br>eggs   | None   |
| Common waxbill<br>( <i>Estrilda astrild</i> )        | 4 whole eggs<br><br>34 fragments from 14<br>eggs | Pin-tailed whydah                            |

|  |  |  |
|--|--|--|
| African quailfinch *<br><i>(Ortygospiza fuscocrissa)</i> | 1 fragment from 1<br>egg                   | Quailfinch<br>indigobird (West<br>Africa only) |
| Locust finch *<br><i>(Paludipasser locustella)</i>       | 2 fragments from 1<br>egg                  | None   |
| Jameson's firefinch<br><i>(Lagonosticta rhodopareia)</i> | 1 whole egg<br>18 fragments from 9<br>eggs | Purple indigobird                              |
| Red-billed firefinch *<br><i>(Lagonosticta senegala)</i> | 2 fragments from 2<br>eggs                 | Village indigobird                             |
| Orange-winged pytilia *<br><i>(Pytilia afra)</i>         | 14 fragments from 5<br>eggs                | Broad-tailed<br>paradise whydah                |
| Tawny-flanked prinia<br><i>(Prinia subflava)</i>         | 35 whole eggs<br>2 fragments from 1<br>egg | Cuckoo finch                                   |

**Table 2.** Model support table (AICc) for the top-ranked PGLS models (model weight >0.05) of  $G_{H2O}$  which contribute to the average models. Estimates for parameters are provided to indicate including in respective models. Model weight are estimate across entire set of 32 models and sum to 1. (a) displays top models for whole egg analysis, and (b) top models for shell fragment analysis.

930 (a)

| logLik            | -35.928 | -38.812 | -40.323 | -38.375 | -35.856 | -38.502 | -35.886 |
|-------------------|---------|---------|---------|---------|---------|---------|---------|
| model             | 0.20    | 0.14    | 0.10    | 0.06    | 0.06    | 0.05    | 0.05    |
| $\delta$ AICc     | 0.00    | 0.76    | 1.47    | 2.32    | 2.57    | 2.58    | 2.63    |
| AICc              | 83.50   | 84.20   | 84.90   | 85.80   | 86.00   | 86.10   | 86.10   |
| Parasite status/S |         |         |         |         |         |         |         |
| Mean breeding     | 0.01    | 0.01    |         |         | 0.01    | 0.01    | 0.01    |
| Wet parent        |         |         |         |         | -0.11   |         |         |
| Nest              | 1.34    |         |         | 0.96    | 1.42    |         | 1.29    |
| Shell thickness   |         |         |         |         |         | 0.00    | 0.00    |
| Parasite status   | -0.71   | -0.65   | -0.73   | -0.81   | -0.73   | -0.67   | -0.72   |
| Intercep          | 0.06    | 0.40    | 0.65    | 0.38    | 0.05    | 0.31    | 0.03    |

(b)

|                                |         |         |         |         |         |
|--------------------------------|---------|---------|---------|---------|---------|
| <b>LogLik</b>                  | -14.857 | -12.253 | -16.595 | -14.264 | -11.721 |
| <b>model</b>                   | 0.14    | 0.14    | 0.08    | 0.07    | 0.06    |
| <b><math>\delta</math>AICc</b> | 0.00    | 0.04    | 1.09    | 1.35    | 1.87    |
| <b>AICc</b>                    | 36.5    | 36.5    | 37.6    | 37.8    | 38.3    |
| <b>Parasite status/S hell</b>  |         | 16.54   |         |         | 15.42   |
| <b>Mean breeding</b>           |         |         |         | 0.00    | 0.00    |
| <b>Wet parent</b>              | -0.57   | -0.56   |         | -0.53   | -0.52   |
| <b>Nest type</b>               |         |         |         |         |         |
| <b>Shell thickness</b>         | -4.30   | -4.33   | -3.91   | -4.13   | -4.20   |
| <b>Parasite status</b>         |         | -1.57   |         |         | -1.53   |
| <b>Intercep</b>                | -0.58   | -0.61   | -0.69   | -0.51   | -0.53   |