

1 **Alluvial fan aggradation/incision history of the eastern Tibetan plateau**
2 **margin and implications for debris flow/debris-charged flood hazard**

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14 **Abstract**

15 This paper reconstructs the Quaternary aggradation and incision history of a
16 debris flow/debris-charged flood-affected valley in order to detect the impact of
17 climate on alluvial fan dynamics. We used optically stimulated luminescence
18 (OSL) dating of quartz to determine the ages of alluvial fan terraces. Comparison
19 between the aggradation and incision history and regional climatic records

20 suggests that aggradation occurred in cold and/or dry climates, whereas incision
21 is a feature of warm and wet climates. Cold climates lead to enhanced frost
22 shattering, and dry climates cause deteriorated vegetation. Both effects caused
23 surplus sediment, which was transported by infrequent flood discharges to form
24 alluvial fan/terrace deposits. Incision during wet and warm climates is due to
25 increased vegetation cover and an increase in the frequency of flood discharges.
26 This relationship between climate and valley evolution is applied to assess future
27 changes in the present active channel by considering recent climatic records.
28 The results show that the valley channel is expected to experience net incision
29 if the average temperature continues increasing while precipitation maintains at
30 a constant level.

31 **Key words:** Alluvial fan, aggradation and incision, Tibetan Plateau, OSL dating

32 **1. Introduction**

33 The eastern margin of the Tibetan Plateau is characterized by large rivers
34 incising into the uplifted topography resulting from the continued convergence
35 between the Indian and Eurasian plates (Clark and Royden, 2000; Kirby et al.,
36 2002; Ouimet et al., 2007). Alluvial sediments, which are sourced from tributary
37 valleys, are deposited on the valley floors of these large rivers, forming tributary-
38 junction alluvial fans (Harvey, 1997, 2011; Al-Farraj and Harvey, 2000, 2005;
39 Stokes and Mather, 2015). These types of alluvial fans have smaller extensional
40 areas than those developed in mountain-front settings (Sohn et al., 2007; Spelz

41 et al., 2008; Kar et al., 2014; Harvey et al., 2016), whilst they usually develop
42 multiple fan levels representing alternations between aggradation and incision
43 (Harvey and Renwick, 1987; Al-Farraj and Harvey, 2000; Harvey, 2002; Juyal et
44 al., 2010).

45

46 Knowledge of the factors causing changes of regime between aggradation and
47 incision has been a key question in alluvial fan research (Harvey, 2012; Owen
48 et al., 2014). Understanding the regime is crucial in regulating fan-building
49 processes such as debris flows or floods for hazard mitigation (Jakob, 2005;
50 Welsh and Davies, 2011; Santangelo et al., 2012). This need is particularly
51 urgent in the eastern margin of the Tibetan Plateau, where a proportion of the
52 population lives on the alluvial fans (Tang et al., 2011; Zhang et al., 2011; Xiong
53 et al., 2016). Among the factors that influence fan development (e.g., tectonism,
54 climate and base level), climate has been regarded as a dominant factor in
55 millennial timescales across the world (Ritter et al., 1995; McDonald et al., 2003;
56 Pope and Wilkinson, 2005; Suresh et al., 2007; Kar et al., 2014; Owen et al.,
57 2014). This paper aims to understand the relationship between fan dynamics
58 and climate in a tributary valley of the Bailong River, which is one of the main
59 rivers connecting the Tibetan Plateau and the Sichuan Basin.

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61 Detailed mapping and borehole drilling highlight four stages of

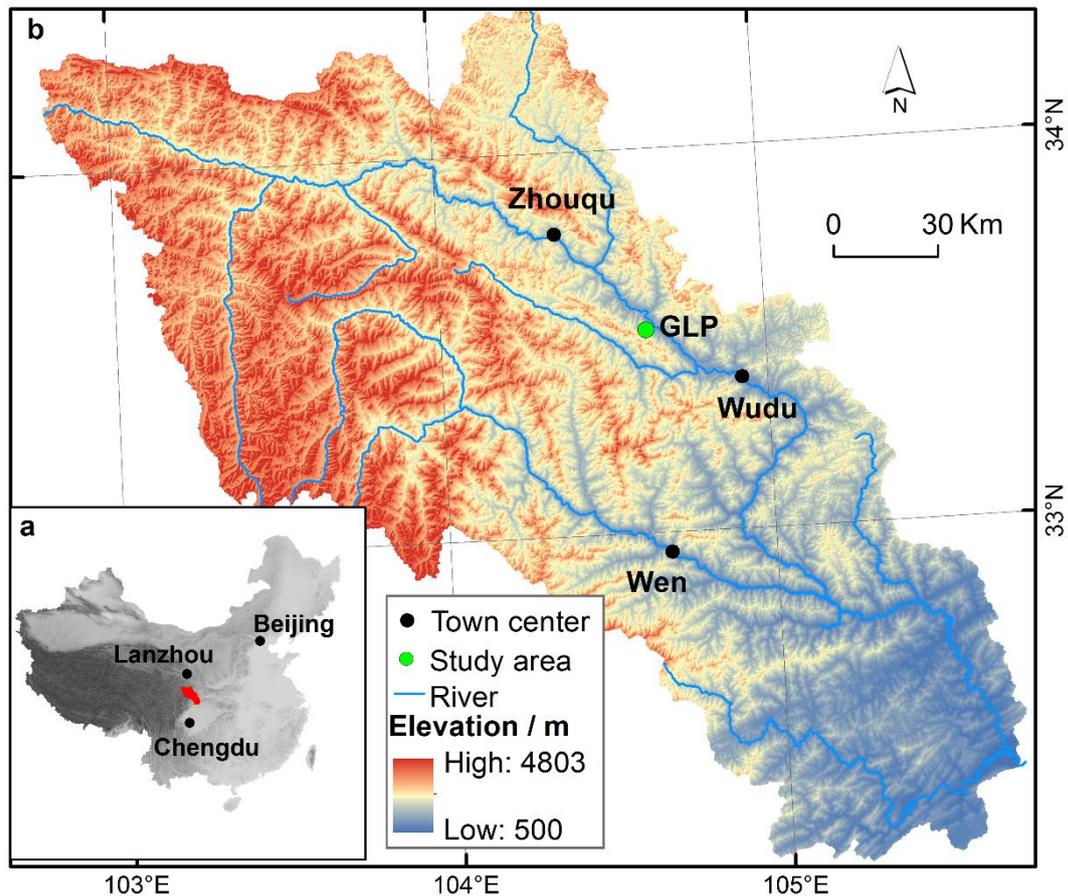
62 aggradation/incision during the evolution of alluvial fans in the valley. Here, we
63 produce a chronology for the aggradation and incision periods using optically
64 stimulated luminescence (OSL) dating of quartz derived from terrace and
65 borehole sediments. The aggradation and incision periods are then compared
66 with well-dated regional climatic records to examine how alluvial processes
67 respond to climatic changes. Finally, the past relationships between climate and
68 valley evolution are used to infer future debris flow/debris-charged flood hazards
69 in the valley, representing a new approach to understanding long-term debris
70 flow/debris-charged flood hazards.

71 **2. Research contexts**

72 *2.1. Study area*

73 The Bailong River is a primary tributary of the Yangtze River. It originates on the
74 Tibetan Plateau at an elevation of ~ 6000 m and flows southeast through three
75 counties, Zhouqu, Wudu and Wen, of Gansu Province (Fig. 1). The middle
76 section of the Bailong River (i.e., between Zhouqu and Wudu) (Fig. 1), is an area
77 with locally high population density. The county centers of Zhouqu and Wudu,
78 and various other town centers, are situated on the alluvial fans or fluvial terraces
79 of this section of the river. Geologically, this section of the river has developed in
80 an anticline system (Li, 1994) that folded the region between the Silurian and
81 Permian. The anticline hinge was eroded, forming relatively low-relief, low-

82 elevation hillslopes and river valleys (Zhang et al., 2011), while the anticline
83 limbs formed high-relief, high-elevation hillslopes that became the headwaters
84 of tributary valleys feeding the Bailong River.

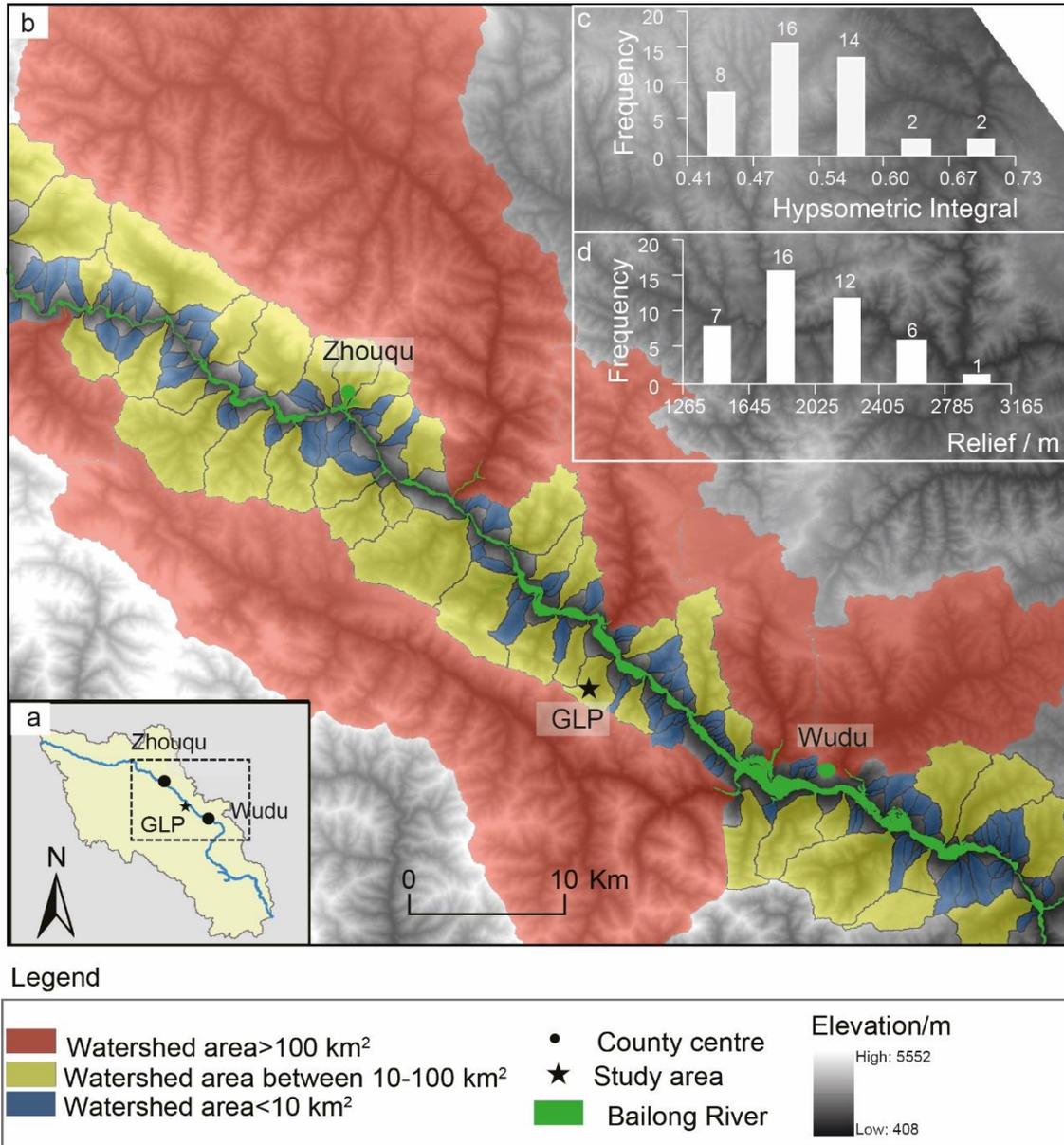


86 Fig. 1. Location of the Bailong River and the GLP valley. (a). Location of the
87 watershed (the area shaded in red) within China. (b). DEM of the Bailong River
88 watershed and the location of the GLP valley. The background image is a 30-m
89 resolution ASTER DEM. Zhouqu, Wudu and Wen are the three important county
90 centers along the Bailong River.

91

92 The Goulinping (GLP) River (N 33°32'24", E 104° 39'13"), with a watershed area

93 of $\sim 20 \text{ km}^2$ and a relief of 1897 m, is located in the middle section of the Bailong
94 River (Fig. 2). It is sourced from a high-relief limestone area and subsequently
95 passes through a low-relief phyllite area before finally entering the Bailong River
96 (Fig. 3). To demonstrate the representativeness of the GLP River in the middle
97 section of the Bailong River, three key geomorphic parameters, drainage area
98 (A), hypsometric integrals (HI) and relief of the tributary valleys were calculated
99 using the spatial analyst tools in ArcGIS 10.2 (Fig. 2). Tributary valleys in the
100 middle section of the Bailong can be grouped into three categories based on
101 their drainage areas: small-sized valleys ($A < 10 \text{ km}^2$), intermediate-sized valleys
102 ($10 \leq A \leq 100 \text{ km}^2$) and large-sized valleys ($A > 100 \text{ km}^2$) (Fig. 2b). Figure 2
103 demonstrates that the intermediate-sized tributary valleys account for a primary
104 proportion of the middle section of the Bailong and generally developed from
105 relatively high-elevation ($> 2500 \text{ m}$) areas. These intermediate-sized valleys
106 have HI values between 0.41 and 0.60 (Fig 2c), and relief between 1645 and
107 2405 m (Fig. 2d). The GLP valley (intermediate-sized) has a HI value of 0.47
108 and a relief of 1897 m, both of which fall in the range of the HI and relief values
109 for the intermediate-sized valleys, making it representative of many
110 intermediate-sized tributary valleys in the middle section of the Bailong River.



111

112 Fig. 2. Tributary valleys in the middle section of the Bailong River. (a). The
 113 watershed boundary of the middle section of the Bailong River (dashed box). (b).
 114 Tributary valleys classified by watershed area. The star symbol denotes the
 115 location of the GLP valley. (c). The frequency of the hypsometric integrals of the
 116 intermediate-sized valleys. (d). The frequency of the relief of the intermediate-
 117 sized valleys.

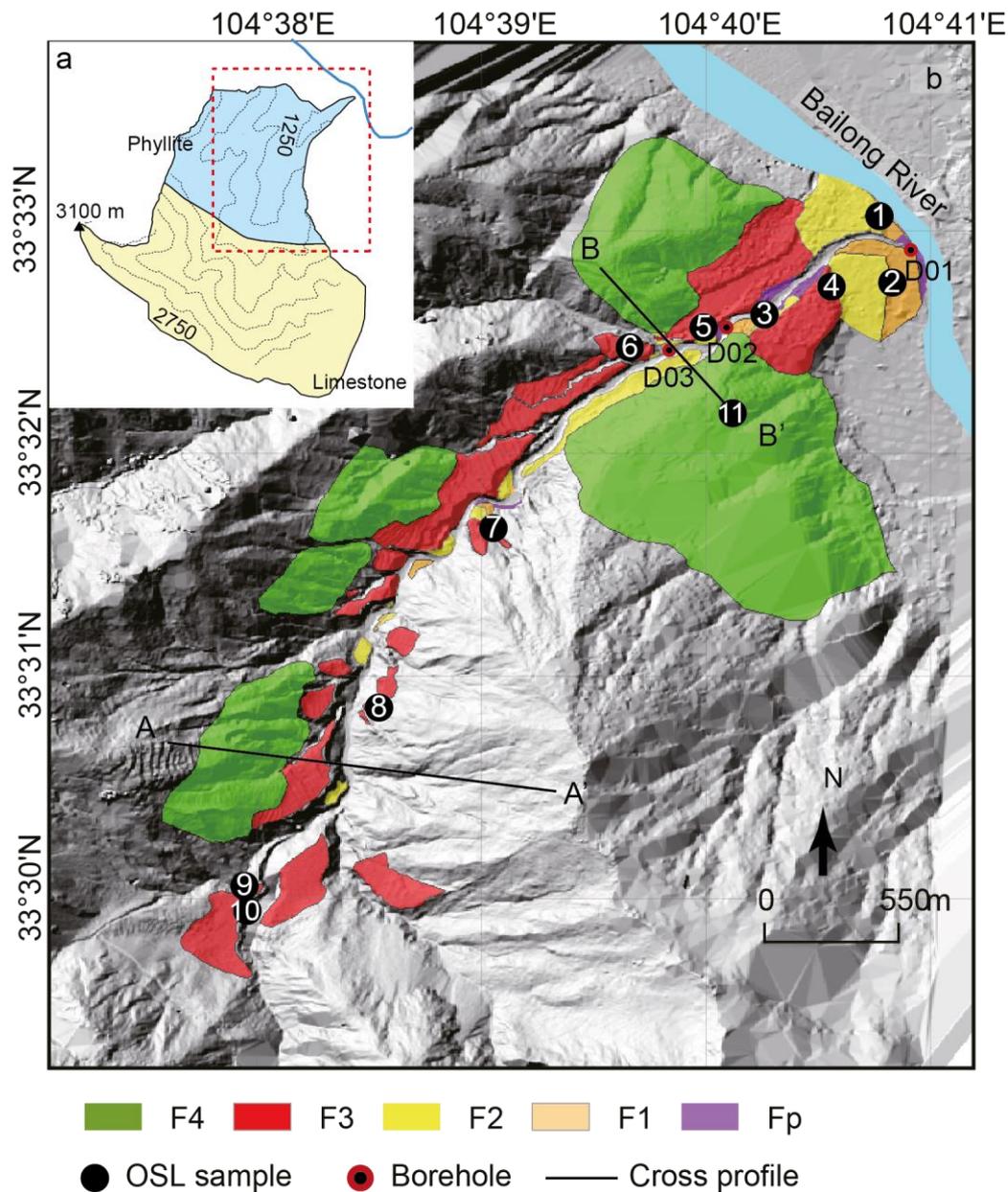
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119 Climate in the study area is controlled by the Asian monsoon system, which is
120 characterized by warm and humid summers and dry and cold winters (Railsback
121 et al., 2014). The area receives an annual precipitation of 487.2 mm, 75 to 85%
122 of which falls between May and September. The average minimum and
123 maximum temperatures are -14°C to 3°C in January, and 11°C to 27°C in July
124 (Johnson et al., 2006).

125 *2.2 Alluvial fan/terrace settings*

126 Four levels of alluvial fans/terraces (denoted as F1, F2, F3 and F4 from the
127 lowest to the highest elevation) are identified based on field investigation (Fig.
128 3). These sedimentary bodies are characterized by alluvial fans at the valley
129 mouth and alluvial terraces upstream. These alluvial terraces sourced from the
130 limestone headwaters have fed the alluvial fans at the valley mouth. The F3 and
131 F4 alluvial fans/terraces are distributed across the phyllite valley, while the F1
132 and F2 alluvial fans/terraces are only present close to the phyllite valley mouth
133 (Fig. 3).

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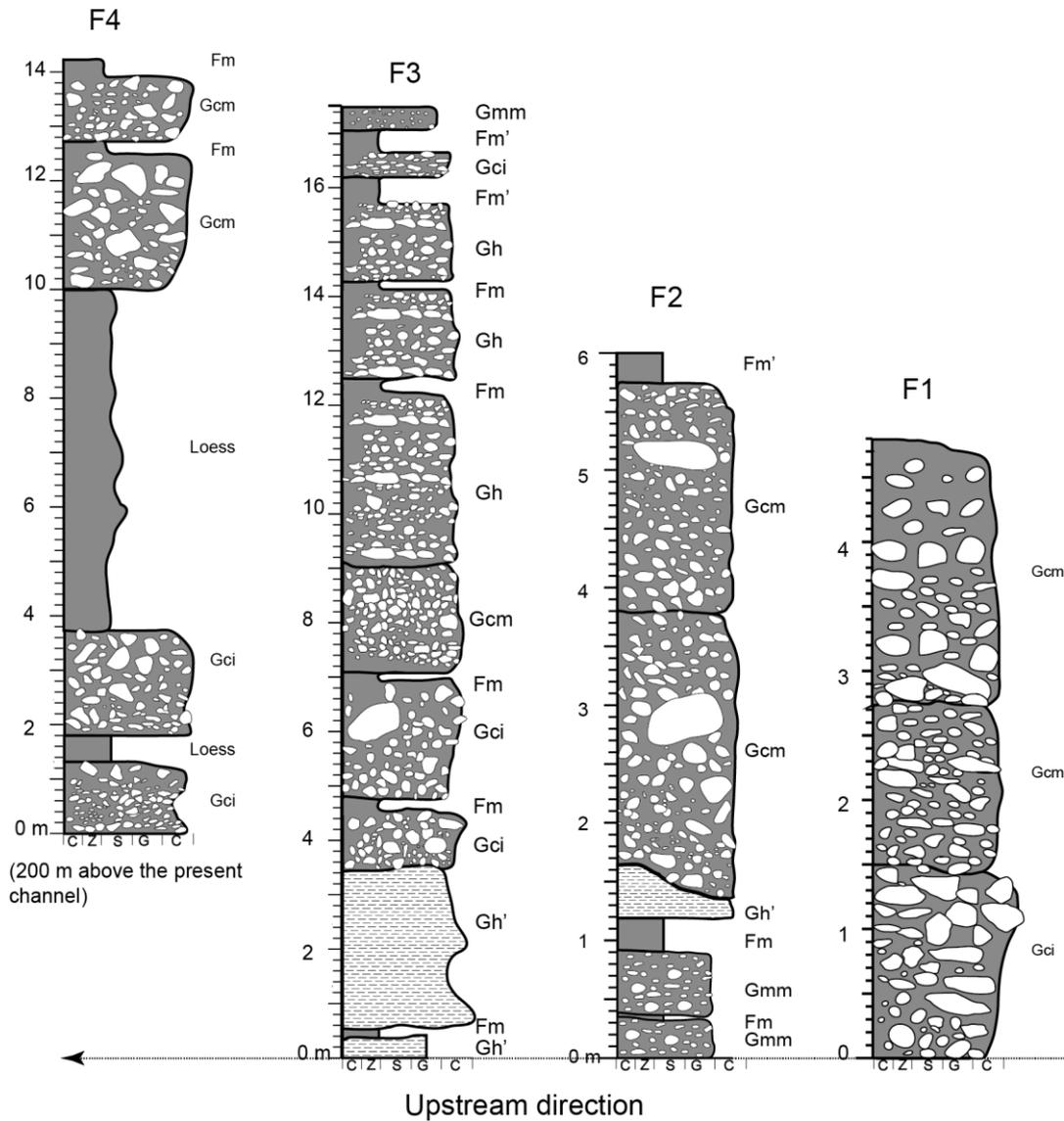
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136 Fig. 3. Geomorphic setting of the GLP valley. (a). The watershed of the GLP
 137 valley. The contour interval is 250 m with the highest point (3100 m) shown by a
 138 filled triangle. The dashed red box delineates the phyllite area, which is shown
 139 in Fig. 3b. (b). The alluvial fan/terrace distribution and locations of boreholes and
 140 OSL samples. The two cross-valley transects (A-A' and B-B') are displayed in
 141 Fig. 6. The background image is a hill-shade image of the GLP valley. The

142 numbered black circles indicate the locations of the OSL samples, which are
143 shown in Fig. 7.

144

145 The sedimentology of these alluvial fans/terraces was described using four
146 sedimentary logs for each fan/terrace level (Fig. 4). These alluvial terraces/fans
147 are mainly formed by debris flows/debris-charged floods. The facies
148 representing debris flow deposition are clast-supported massive pebble to
149 cobble gravels (Gcm), clast-supported inversely graded pebble to cobble gravels
150 (Gci) and matrix-supported massive pebble to cobble gravels (Gmm). The facies
151 representing debris-charged floods are crudely-bedded pebble to cobble gravels
152 (Gh). There are some thin sedimentary bodies that developed well-bedded
153 gravels with upward fining trends (Gh'), indicating deposition by small
154 streamfloods. All these facies are characterized by sub-angular to sub-rounded
155 limestone-dominated gravels, indicating that they were sourced from the
156 limestone headwaters. Generally, the debris flow sediments are non-erosive,
157 forming net aggradation, though occasionally erosive bases were identified (Fig
158 4, F2). In contrast, the debris-charged flood sediments have erosive concave
159 scars at their base (Fig. 4, F3), indicating some early stage erosion during a
160 flooding event.



161

162 Fig. 4. Sedimentary logs for different alluvial fans/terraces. The horizontal axis
 163 represents the channel floor (directed upstream). The F4 profile is a portion of
 164 the upper part of an F4 terrace.

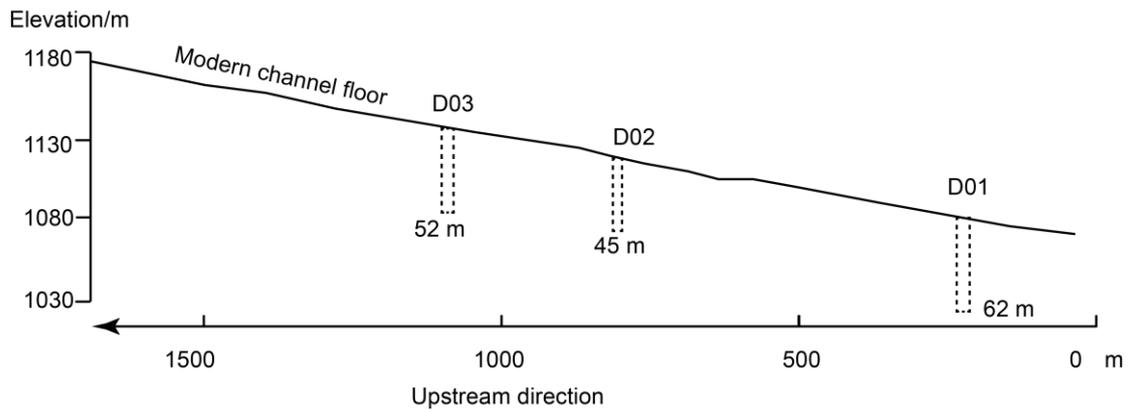
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166 Intercalated between these primary facies are some thin layers of pale yellowish
 167 orange (10YR 8/6) silt that shows weak stratification and contains small granules
 168 (Fm). The pale yellowish orange color suggests that these silty sediments are

169 loessic materials because localized bedrock (phyllite) eroded fine materials are
170 generally gray in color. The weak horizontal stratification and the incorporation
171 of granules suggest the operation of fluvial processes. Collectively, this type of
172 sediment was likely deposited by slow and continuous overbank flows that
173 transported hillslope-eroded loess. The abundance of loessic fines suggests a
174 relatively unstable hillslope surface covered by aeolian loess. Overlying the F2
175 and F3 alluvial fans/terraces are relatively thick (> 40 cm) layers of yellowish
176 brown silt that contains plant roots and soil nodules (Fm'). These layers are
177 interpreted as paleosols. On top of the F4 sediments are thick (>10 m) relatively
178 homogeneous yellowish brown silt indicating aeolian deposition undisturbed by
179 alluvial processes. Typical photographs showing the characteristics of different
180 facies are provided in Supplementary Materials (SM), Fig. S1.

181

182 Three boreholes (i.e., D01, D02 and D03) were drilled into the present valley
183 floor at the downstream section of the valley (Fig. 3) using a rotary drilling
184 machine (SM, Fig. S2). The boreholes reached a depth of 62 m (D01), 45 m
185 (D02) and 52 m (D03) beneath the present channel (Fig. 5). Simplified
186 sedimentary logs of the three boreholes are provided in SM, Fig. S4.

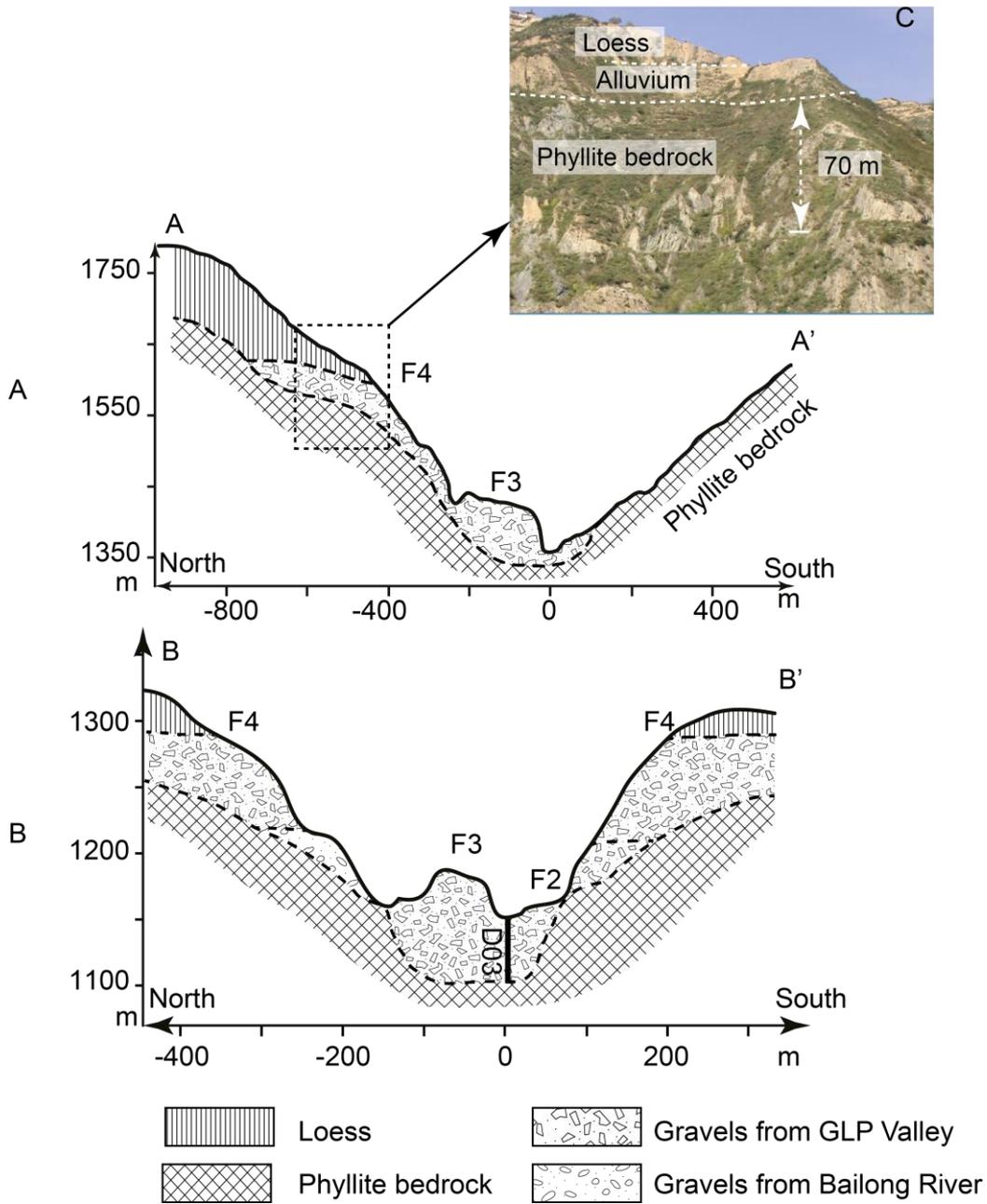


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188 Fig. 5. Depth of the three boreholes.

189 *2.3. Phases of alluvial fan/terrace aggradation and incision*

190 Observations of these four levels of alluvial fans/terraces, together with the three
 191 boreholes (Fig. 5), highlight four stages of aggradation/incision: (1) the first
 192 aggradation period (S1) that formed the F4 alluvial fans, (2) the subsequent
 193 incision period (S2) during which the F4 alluvial fans and the underlying phyllite
 194 bedrock were incised through, (3) the second aggradation period (S3) that
 195 formed the F3 alluvial fans, and (4) the incision period (S4) that featured
 196 dissection of the F3 alluvial terraces/fans and two small aggradation periods of
 197 the F1 and F2 alluvial fans. The depth of incision/aggradation is illustrated in the
 198 two transect valley profiles, AA' and BB' (Fig. 6). The aggradation/incision depths
 199 are ~ 60, ~ 260, ~ 60 and ~ 50 m for stages S1, S2, S3 and S4 respectively (Fig.
 200 6).



201

202 Fig. 6. Two cross-valley transects showing the spatial relationship between
 203 different alluvial fan terrace levels. The location of the two profiles are shown in

204 Fig. 3.

205 **3. Methods**

206 *3.1. Sampling and distribution of OSL samples*

207 OSL dating is a chronometric technique that is commonly used to determine the
208 time elapsed since a sedimentary quartz grain was last exposed to sunlight
209 (Wintle, 2008). Because this exposure usually occurs while the grain is being
210 transported prior to deposition at the sample site, OSL ages are usually
211 interpreted as depositional ages. Because debris flows/debris-charged floods
212 are energetic processes, usually leading to rapid deposition of coarse gravelly
213 sediments, fine materials (<2 mm in diameter) are either difficult to sample from
214 poorly sorted gravelly sediments or provide poorly bleached OSL signals.
215 Therefore, we used the silt materials that were deposited by less-energetic
216 processes for OSL dating. These materials include the alluvial silt intercalated
217 between gravelly sediments, the paleosols capping the F2 and F3 alluvial
218 fans/terraces, and the aeolian loess capping the F4 alluvial fans/terraces. These
219 samples represent secondary low-energy fluvial/aeolian deposition during the
220 intervals between debris flows/floods, and the ages of these samples were used
221 to confine the periods during which alluvial fan/terrace aggradation occurred. In
222 the field, samples were collected by hammering steel tubes (4 cm in diameter,
223 and 25 cm in length) into vertical exposures. The two ends of the tubes were
224 immediately sealed using foil, several layers of opaque plastic bags, and tape.
225 Samples from the boreholes were collected by hammering a thin steel tube into

237 numbers (ka).

238 3.2. *Sample preparation and measurement*

239 In the laboratory, the sunlight-exposed outer layers of sediments were removed
240 and preserved for measurements of dose rate and water content. The
241 unexposed portion was prepared for equivalent dose (D_e) determination. These
242 unexposed samples were sequentially treated with HCl and H_2O_2 to remove
243 carbonate and organic matter respectively. Using Stokes settling, the 4–11 μm
244 fraction was obtained and subsequently treated with H_2SiF_6 to remove feldspars.
245 The fine-grained (4–11 μm) quartz grains were mounted on aluminum discs for
246 OSL measurement.

247

248 Equivalent doses (D_e) were measured using the Single Aliquot Regenerative
249 dose (SAR) procedure (SM Table S1) of Murray and Wintle (2000). All
250 measurements were performed using a Risø TL-DA-20 TL/OSL luminescence
251 reader (Bøtter-Jensen et al., 2003). The reader utilizes a $^{90}\text{Sr}/^{90}\text{Y}$ beta source,
252 calibrated by the method of Armitage and Bailey (2005), to perform laboratory
253 irradiations. Optical stimulation was achieved using an array of light emitting
254 diodes (LEDs) illuminating the sample at either 870 nm (infrared LEDs) or 470
255 nm (blue LEDs). Both stimulation sources were routinely operated at 90%
256 current, giving measured power densities of 62.5 (480 nm) and 185.4 (870 nm)

257 mW/cm². The OSL signal was measured using an Electron Tubes Ltd 9235QA
258 photomultiplier tube with 7.5 mm of Hoya U-340 filter. The OSL signal was
259 integrated from the first 0.48 s with the averaged photon counts from the last 4
260 s subtracted as a background. All growth curves were fitted using a saturating
261 exponential plus linear function because the loess samples capping the F4
262 alluvial fan provide large luminescence signals, which would lead to saturation if
263 only using saturating exponential function (SM, Fig. S5). The Central Age model
264 (Galbraith and Roberts, 2012) was used to produce sample D_e values. Twelve
265 to 24 aliquots were measured for each sample. A 160 °C, 10 s preheat 1 (the
266 preheat prior to measurement of the natural or regenerated luminescence signal)
267 paired with a 160 °C cut-heat (the preheat prior to measurement of the test dose
268 luminescence signal) was selected for equivalent dose measurement
269 sequences on the basis of dose recovery tests (SM, Fig. S6).

270

271 The environmental dose rates were determined using a thick source alpha
272 counter (TSAC) (i.e., Daybreak Model 583 Intelligent Alpha Counter), and a Risø
273 GM-25-5A low-level beta GM multicounter system (“GM beta-counter”). For each
274 sample, the ²³⁸U and ²³²Th concentrations were measured by the TSAC. The
275 beta dose rates of these two radionuclides were calculated using the conversion
276 factors of Adamiec and Aitken (1998). The beta dose rate of ⁴⁰K was obtained
277 by subtracting from the total beta dose rate (obtained by the GM beta-counter)

278 the proportions of ^{238}U and ^{232}Th . The ^{40}K concentration was subsequently
279 obtained using the conversion factors of Adamiec and Aitken (1998). Finally, the
280 alpha, beta and gamma dose rates were calculated using the radionuclide
281 concentrations obtained above. Dry dose rates were corrected for alpha
282 efficiency (Rees-Jones, 1995) and alpha and beta attenuation (Mejdahl, 1979;
283 Bell, 1980). For samples collected above the present-day water table (which
284 yielded water contents of 5–6%), the water content was taken as $10 \pm 5\%$, which
285 is approximately half of the saturated water content (~20%). This value was used
286 because terrace sediments tend to experience occasional saturation conditions
287 prior to terrace abandonment, with dewatering occurring after terrace
288 abandonment. For samples beneath the present-day water table, it is expected
289 that the sample has been in the saturated condition for most of the burial period,
290 therefore, the saturated water content was used. The cosmic dose rate was
291 obtained following Prescott and Hutton (1994). Dose rates, equivalent doses and
292 OSL ages, are presented in Table 1.

293 Table 1. OSL dating results. W is water content. σ is the over-dispersion value, and n is the number of accepted aliquots. For most samples in dry conditions upon
 294 sampling, the water content is taken as $10 \pm 5\%$ which is roughly a half of the saturated water content. For samples which are in wet conditions, their saturated water
 295 contents were measured in laboratory.

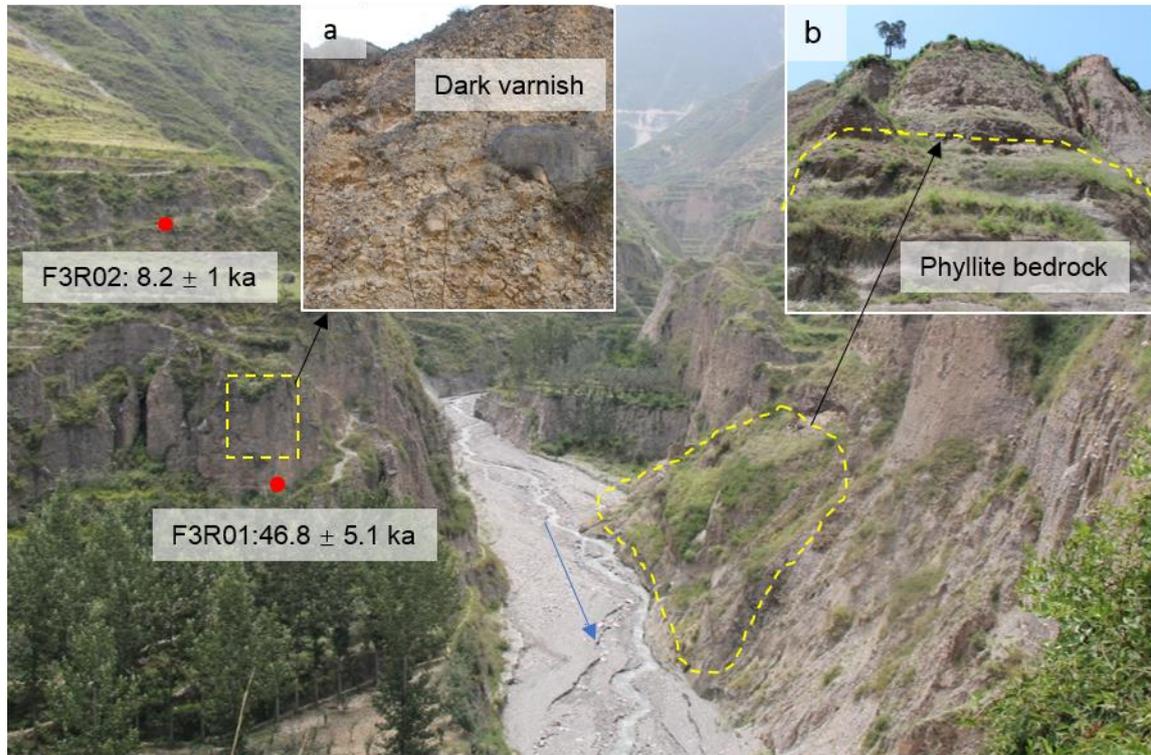
Sample	Origin	Radionuclide concentrations			Sample (m)	W (%)	Cosmic (Gy/ka)	Dose rate (Gy/ka)	Equivalent (Gy/ka)	(n)	σ (%)	Age (ka)
		K (%)	U (ppm)	Th (ppm)								
D01-30	Alluvial	1.64 ± 0.36	3.94 ± 0.34	4.03 ± 1.2	30 ± 0.2	20.2 ± 2	0.02 ± 0.00	2.90 ± 0.31	120.7 ± 6.3	23	25	41.6 ± 5.0
D02-4.7	Alluvial	2.08 ± 0.42	3.47 ± 0.45	9.31 ± 1.59	4.7 ± 0.2	20.3 ± 2	0.14 ± 0.01	3.68 ± 0.36	12.2 ± 0.4	10	9.4	3.3 ± 0.3
D03-39	Alluvial	1.72 ± 0.17	3.05 ± 0.31	9.89 ± 0.99	39 ± 0.2	22.4 ± 2	0.02 ± 0.00	3.13 ± 0.22	135.6 ± 2.6	21	8.1	43.3 ± 3.2
F2700	Paleosol	1.86 ± 0.41	3.81 ± 0.44	6.62 ± 1.52	0.5 ± 0.2	10 ± 5	0.22 ± 0.01	3.79 ± 0.39	4.1 ± 0.1	22	12	1.1 ± 0.1
SG-01	Alluvial	1.86 ± 0.41	3.81 ± 0.44	6.62 ± 1.52	5.0 ± 0.2	10 ± 5	0.12 ± 0.01	3.26 ± 0.19	2.7 ± 0.2	15	24	0.8 ± 0.1
F2RBL02	Alluvial	2.22 ± 0.45	3.19 ± 0.54	11.67 ± 1.86	1.0 ± 0.2	10 ± 5	0.2 ± 0.01	4.37 ± 0.43	6.1 ± 0.1	23	4.4	1.4 ± 0.1
HDG	Alluvial	2.37 ± 0.45	3.27 ± 0.51	10.3 ± 1.79	0.5 ± 0.2	10 ± 5	0.21 ± 0.01	4.42 ± 0.43	2.8 ± 0.1	18	7.5	0.6 ± 0.1
F3RCK01	Paleosol	1.66 ± 0.17	2.3 ± 0.23	10.84 ± 1.08	1.0 ± 0.2	10 ± 5	0.2 ± 0.01	3.49 ± 0.24	48.0 ± 0.8	20	6.8	13.8 ± 1.0
F3RCK02	Alluvial	1.39 ± 0.3	2.59 ± 0.33	7.51 ± 1.16	17.0 ± 0.2	10 ± 5	0.04 ± 0.00	2.86 ± 0.3	53 ± 2.9	18	23	18.5 ± 2.2
F3L06	Paleosol	1.74 ± 0.23	2.1 ± 0.33	9.78 ± 1.45	0.5 ± 0.2	10 ± 5	0.22 ± 0.01	3.41 ± 0.27	29.0 ± 0.4	18	4.9	8.5 ± 0.7
F3L16	Alluvial	1.52 ± 0.37	5.31 ± 0.51	5.63 ± 1.78	15.0 ± 0.2	10 ± 5	0.04 ± 0.00	3.71 ± 0.42	48.7 ± 3.8	20	35	13.1 ± 1.8
F3L17	Alluvial	1.68 ± 0.36	4.3 ± 0.4	5.64 ± 1.39	14.0 ± 0.2	10 ± 5	0.05 ± 0.00	3.53 ± 0.37	53.3 ± 1.5	21	12	15.1 ± 1.7
F3L18	Alluvial	1.18 ± 0.31	3.61 ± 0.37	6.31 ± 1.32	16.0 ± 0.2	10 ± 5	0.04 ± 0.00	2.9 ± 0.32	62.9 ± 1	23	6.4	21.7 ± 2.5
F3L19	Alluvial	1.76 ± 0.36	2.97 ± 0.44	10.4 ± 1.52	13.0 ± 0.2	10 ± 5	0.05 ± 0.00	3.61 ± 0.36	47.9 ± 1.4	24	13	13.3 ± 1.4
F3R01	Alluvial	1.92 ± 0.41	4.53 ± 0.45	5.45 ± 1.57	8.0 ± 0.2	10 ± 5	0.09 ± 0.00	3.85 ± 0.41	180.3 ± 2.1	24	1.8	46.8 ± 5.1
F3R02	Alluvial	0.76 ± 0.29	4.43 ± 0.48	6.14 ± 1.63	5.0 ± 0.2	10 ± 5	0.12 ± 0.01	2.85 ± 0.35	23.4 ± 0.2	24	2.3	8.2 ± 1.0
PB-F3L	Alluvial	1.93 ± 0.42	4.31 ± 0.54	9.73 ± 1.89	10.0 ± 0.2	22.4 ± 2.2	0.07 ± 0.00	3.7 ± 0.38	60.2 ± 0.5	23	0.8	16.3 ± 1.7
F3L-P	Alluvial	1.46 ± 0.37	3.73 ± 0.47	9.66 ± 1.65	8.0 ± 0.2	10 ± 5	0.09 ± 0.00	3.55 ± 0.38	51.9 ± 2.8	11	16	14.6 ± 1.8
SG-06	Alluvial	2.87 ± 0.52	3.54 ± 0.5	11.7 ± 1.76	2.0 ± 0.2	10 ± 5	0.18 ± 0.01	5.06 ± 0.49	36.1 ± 0.5	10	1.3	7.1 ± 0.7
NS-L27	Loess	1.62 ± 0.4	4.6 ± 0.48	5.52 ± 1.65	2.7 ± 0.2	5 ± 2	0.17 ± 0.01	3.86 ± 0.42	177.6 ± 2.8	24	5.6	46.0 ± 5.1
NS-L70	Loess	1.93 ± 0.26	3.04 ± 0.41	10.27 ± 1.45	7.0 ± 0.2	5 ± 2	0.1 ± 0.00	4.02 ± 0.32	247.3 ± 3.2	26	3.4	61.6 ± 5.0
NS-86	Loess	1.71 ± 0.58	3.17 ± 0.39	9.31 ± 1.37	8.6 ± 0.2	5 ± 2	0.08 ± 0.00	3.74 ± 0.51	299 ± 8.5	12	8.8	79.9 ± 11.1
NS-U100	Paleosol	1.75 ± 0.61	3.60 ± 0.49	8.36 ± 1.73	10.0 ± 0.2	5 ± 2	0.08 ± 0.00	3.84 ± 0.54	200 ± 18	8	25	52.1 ± 8.7
NS-DZ	Loess	1.42 ± 0.35	3.3 ± 0.41	8.26 ± 1.41	2.0 ± 0.2	5 ± 2	0.18 ± 0.01	3.50 ± 0.36	315 ± 13	14	14	90 ± 10

297 **4. Results**

298 A total of 24 samples were dated. For the F4 alluvial fans/terraces, five loess
299 samples (NS-L27, NS-L70, NS-86, NS-U100 and NS-DZ) were taken and dated
300 from one loess profile overlying the F4 alluvial fan at the downstream end of the
301 GLP River (Fig. 7). The ages for samples NS-L27, NS-L70 and NS-L86 are in
302 stratigraphic order, and the D_e values are characterized by low over-dispersion
303 values (Table 1, 3.4–8.8%) consistent with aeolian deposition (Lai, 2006; Lai et
304 al., 2007; Stevens et al., 2008). Conversely, the over-dispersion value for sample
305 NS-U100 is relatively high for aeolian material (25%), and its OSL age does not
306 fit in the stratigraphic order (Fig. 7). The cause of this discrepancy is unclear
307 from these results alone, but it is possibly caused by post-depositional mixing.
308 Sample NS-U100 was taken from a layer interpreted as a paleosol owing to its
309 color and structure (dark reddish brown (5YR 3/3) silty clay with blocky structure),
310 which in other luminescence studies of loess paleosols has resulted in
311 inaccurate ages in some soil units (Stevens et al., 2008). Consequently, the age
312 for sample NS-U100 is regarded as likely unreliable. Sample NS-DZ was taken
313 from the base of the loess profile (Fig. 7). It yields an OSL age, 90 ± 10 ka, which
314 fits in the stratigraphic order. Because the D_e value is so high (315 Gy), and we
315 know that quartz OSL ages from loess tends to yield age underestimates at such
316 high doses (Buylaert et al., 2007; Lai, 2010; Chapot et al., 2012), the OSL age
317 of 90.0 ± 10.0 ka is regarded as a minimum estimate of its true burial age.

318 For the F3 terraces/fans, a total of 12 samples were dated. Sample F3L16,
319 F3L17, F3L18 and F3L19 were taken from a single F3 terrace profile, and they
320 produce stratigraphically consistent OSL ages between 21.7 ± 2.5 and $13.3 \pm$
321 1.4 ka (Fig. 7 and Table 1). Samples F3RCK01 and F3RCK02 were taken from
322 a same F3 terrace at the mouth of the GLP valley (Fig. 7). Sample F3RCK02
323 produces an OSL age of 13.8 ± 1.0 ka with an over-dispersion value of 6.8%.
324 Sample F3RCK01 produces an OSL age of 18.5 ± 2.2 ka with an over-dispersion
325 value of 23%. The OSL ages for these two samples are in stratigraphic order.
326 Samples F3R01 and F3R02 were taken from a single F3 terrace. The ages of
327 F3R01 and F3R02 are 46.8 ± 5.1 ka and 8.2 ± 1 ka respectively, and they both
328 have low over-dispersion values of about 2% (Table 1). Noticeably, the age of
329 F3R01 (46.8 ± 5.1 ka) is much higher than the ages of samples taken from the
330 other F3 terraces. The gravels overlying sample F3R01 are generally coated by
331 a layer of dark varnish (Fig. 8), while the gravels at other F3 alluvial terraces do
332 not have varnish. We interpret this dark varnish layer as indicating a relatively
333 old F3 terrace that has been mostly eroded, leaving relics at this location. In
334 addition, the age of F3R01 is close to that of sample D03-39, which was taken
335 from 39 m beneath the present channel close to the bedrock valley floor (Fig. 7).
336 Interestingly, the phyllite bedrock is exposed in the opposite side of the valley at
337 the F3R01 location (Fig. 8). It is likely, therefore, that the position of F3R01 is
338 also close to the phyllite bedrock. Overall, we consider the age of F3R01 as
339 accurate. The remaining samples (i.e., SG-06, PB-F3L01, F3L-P and F3L06)

340 were each taken from separate F3 terraces (Fig. 7). These four samples provide
341 OSL ages that are within the age ranges provided by the F3 terrace samples
342 previously described, and are, therefore, accepted as broadly accurate.



343

344 Fig. 8. Geological context of samples F3R01 and F3R02. The boulder and the
345 surrounding gravels are coated with a dark varnish layer (inset photograph a).
346 On the opposite side of the valley at the F3R01 location, the phyllite bedrock is
347 present above the modern channel floor (inset photograph b).

348

349 Four samples were taken from the F2 terraces for OSL dating. Samples
350 F2RBL02 and HDG were taken from separate F2 terraces (Fig. 7) and provide
351 D_e values of 6.05 Gy and 2.79 Gy, respectively, with low over-dispersion values

352 (Table 1). These D_e values likely indicate satisfactory OSL signal resetting upon
353 deposition. Samples F2700 and SG-01 were taken from the same F2 terrace
354 location (Fig. 7). They both produce low D_e values of 4.05 Gy for F2700 and 2.66
355 Gy for SG-01 (Table 1). The OSL ages for F2700 and SG-01 are 1.07 ± 0.11 ka
356 and 0.77 ± 0.07 ka, respectively (Fig. 7), which are identical within two sigma
357 errors. Similarly, these two ages indicate that no significant residual OSL signals
358 remained at deposition. Overall, the D_e and OSL ages for the sediments of the
359 F2 terraces indicate that they were well-bleached prior to deposition and are
360 suitable for OSL dating.

361

362 Three samples were taken from the boreholes (Fig. 7). Sample D01-30 was
363 taken from 30 m beneath the present channel at borehole D01, sample D02-4.7
364 from 4.7 m at D02, and sample D03-39 from 39 m at D03. The OSL age for D01-
365 30 is 41.6 ± 5.0 ka with a relatively high over-dispersion value of 25%. Sample
366 D03-39 yields an OSL age of 43.3 ± 5.0 ka with an over-dispersion value of 8.1%.
367 These two samples (i.e., D01-30 and D03-39) are located at similar depths
368 beneath the present channel, and they both produce similar ages. Therefore,
369 these ages are accepted as broadly accurate. Sample D02-4.7 produces an OSL
370 age of 3.3 ± 0.3 ka with an over-dispersion value of 9.4%. This OSL age is also
371 in stratigraphic order with those of the two other borehole samples and is also
372 considered a broadly accurate estimate of the burial ages.

373 Above all, consideration of the sample stratigraphy indicates that alluvial silt
374 deposited by slow overbank flows, paleosols, and loess provide accurate
375 estimates of the sample burial ages and are, therefore, satisfactory materials for
376 OSL dating.

377 **5. Discussion**

378 *5.1. Timing of aggradation and incision phases*

379 The timing of phases of aggradation and incision is critical to understanding the
380 causes of sedimentation and erosion in GLP, which is hypothesized to be
381 representative of much of the middle portion of the Bailong Valley. Here, the time
382 of the beginning and end of each identified aggradation/incision period is
383 confined using the OSL ages described above.

384

385 The F4 alluvial fans/terraces were deposited during the earliest aggradational
386 phase identified in GLP, termed the S1 aggradational phase. The end of the S1
387 phase is defined by the OSL age for sample NS-DZ, which was taken from the
388 top of the F4 terrace and yields an OSL age of 90.0 ± 10.0 ka (Fig. 7). This
389 represents a maximum age for the termination of the S1 aggradation phase.
390 However, the start of the S1 aggradation period has yet to be determined
391 because it was not possible to obtain OSL samples from the base of the F4
392 terraces.

393

394 Sediments from both the base and top of the F3 terraces have been dated, which
395 allows an estimate of the duration of the S3 aggradation period. The samples
396 from the top of the F3 terraces include F3L06, F3R02 and SG-06 (Fig. 7). These
397 samples provide OSL ages between 8.5 ± 0.7 ka and 7.1 ± 0.7 ka (Table 1),
398 which are essentially indistinguishable, indicating a consistent date for the
399 cessation of aggradation. However, sample F3RCK02, which was taken from
400 the top of the F3 alluvial fan at the valley mouth (Fig. 7), provides an OSL age
401 of 13.8 ± 1.0 ka, older than the three samples located on the top of the F3
402 terraces. This age is similar to those of samples F3L16, F3L17 and F3L19 (Fig.
403 7), which were taken from the middle portion of the F3 terrace profile. Obviously,
404 when the F3 terraces in the upstream portion of the valley continued
405 experiencing aggradation after 13.8 ± 1.0 ka, the F3 alluvial fan at the valley
406 mouth was abandoned. It is likely that the abandonment of the F3 alluvial fan
407 was caused by channel avulsion. Therefore, the age of sample F3RCK02 does
408 not represent the end of the S3 phase aggradation. The youngest age, 7.1 ± 0.7
409 ka, is taken to define the latest end of S3 phase aggradation. The timing of the
410 beginning of aggradation is estimated from the age of sample F3R01 (46.8 ± 5.1
411 ka). This age is indistinguishable from that of sample D03-39 m (43.3 ± 3.2 ka),
412 which is 39 m beneath the current downstream GLP alluvial channel floor from
413 core D03 (Fig. 7). The ages of these two samples indicate that the GLP bedrock

414 channel beneath the current alluvial channel formed at least before 46.8 ± 5.1
415 ka, and that the valley has experienced long-term aggradation since then
416 forming the widespread F3 terraces. It is deduced that this aggradation had been
417 interrupted by some short-term incision events leaving terrace relics older than
418 the exposed F3 terraces, as is indicated from the OSL age of sample F3R01.

419

420 Overall, the S3 aggradational phase appears to occur between 46.8 ± 5.1 ka
421 and 7.1 ± 0.7 ka and is responsible for the formation of the exposed F3 alluvial
422 fans/terraces and the alluvium underlying the current channel floor. The lower
423 age (46.8 ka \pm 5.1 ka) is a minimum estimate of the onset of aggradation
424 because the sample (F3R01) was taken at an unknown distance above the
425 phyllite bedrock, and the start of aggradation must predate this OSL age. As
426 noted above, the upper age (7.1 ± 5.1 ka) is a maximum age estimate for the
427 termination of aggradation.

428

429 Periods of incision, termed S2 and S4, occurred between the S1 and S3 periods
430 of aggradation, and after S3. Therefore, the S2 period must have occurred
431 between 90.0 ± 10.0 ka and 46.8 ± 5.1 ka, and the S4 period between 7.1 ± 0.7
432 ka and the present. Both time ranges represent the maximum duration of incision.
433 During the S4 incision period, telescopic alluvial fans (F1 and F2) formed in the
434 distal ends of the F3 alluvial fans. These alluvial fans/terraces likely represent

435 periods of partial-buffering when sediments sourced from the limestone
436 headwaters were transported through the incised F3 alluvial fans/terraces and
437 deposited when coming out of the F3 alluvial fans. The ages of the four samples
438 from the F2 terraces (i.e., HDG, F2RBL02, F2700 and SG-01) confine the
439 aggradation time for F2 alluvial fan in a range of 1.4 ± 0.1 to 0.6 ± 0.1 ka (Fig.
440 7). As these samples were taken from sediments close to the surface of the F2
441 terraces (Fig. 7), we argue that the OSL ages represent the termination of F2
442 fan formation, though again it is possible that erosion of surficial material may
443 have truncated the record of sediment deposition. The base of the F2 terraces
444 is not determined because no clear stratigraphic boundary is found in the
445 exposed F2 terraces. Nevertheless, sample D02-4.7, which was taken at a depth
446 of 4.7 m in core D02 beneath the current GLP channel (Fig. 7), produces an OSL
447 age of 3.3 ± 0.3 ka. This age suggests that debris flow/debris-charged deposition
448 have occurred after this time. Therefore, the deposition of the F2 alluvial fan is
449 confined between 3.3 ± 0.3 ka and 0.6 ± 0.1 ka. The time of the F1 alluvial fan
450 formation is not confined because no fine silt was sampled from these F1 alluvial
451 fans.

452 *5.2. Relationships between climate and alluvial fan/terrace aggradation/incision* 453 *phases*

454 We used a composite speleothem record from Hulu Cave (Wang et al., 2001)
455 and Sanbao Cave (Wang et al., 2008) to represent the climate during the

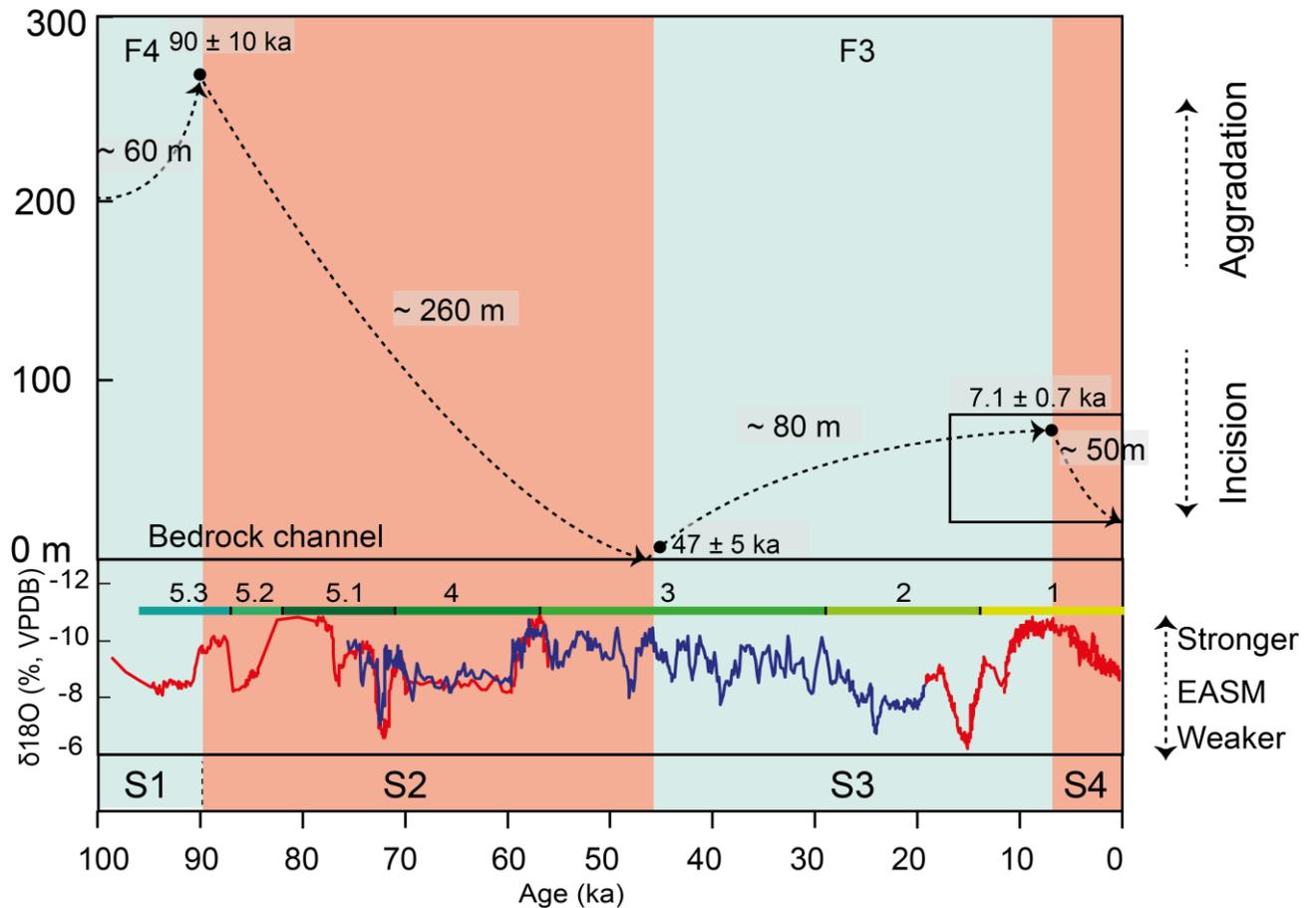
456 timeframe represented by sediments in GLP. The speleothem $\delta^{18}\text{O}$ record is
457 regarded as recording the strength of the East Asian Summer Monsoon (EASM)
458 on orbital timescales (Wang et al., 2008; Wang et al., 2017). The general climatic
459 conditions since the last interglacial period were summarized on the basis of
460 pollen records (Li et al., 1988) into three characteristic phases of monsoon
461 operation: the warm wet interglacial and Holocene pattern (MIS 5 and 1), the
462 cold wet transitional interstadial pattern (MIS 3) and the cold dry full glacial
463 pattern (MIS 2 and 4).

464

465 The climatic records are compared with the aggradation and incision stages
466 observed in the GLP valley in Fig. 9. Because the ages for the S1 and S2 periods
467 are not tightly constrained, the relationship between phases S1 and S2 and the
468 climatic condition is not discussed here. The S3 aggradation phase falls in two
469 different climate periods (i.e., MIS 3 and MIS 2), possibly indicating a weak
470 correlation between climate and alluvial fan aggradation. These two climatic
471 periods both represent a cold condition as is suggested from the pollen records
472 from nearby area (Li et al., 1988). It is likely that cold conditions were responsible
473 for the aggradation in these periods. Cold conditions, together with the well-
474 developed inter-crossing joints in the limestone bedrock, might enhance frost
475 shattering (Walder and Hallet, 1985; Anderson, 1998; Hales and Roering, 2007;
476 Herman and Champagnac, 2016) in the high-elevation limestone areas (Fig. 3a),

477 leading to increased rockfall activity and abundant supply of angular clasts.
478 These angular clasts may be transported from the limestone hillslopes to the
479 relatively low-elevation phyllite area during infrequent rainfall storms, resulting
480 in deposition of alluvial terraces/fans. The Bailong River region is characterized
481 by intense rainfall events during summer seasons at present, and a total of 107
482 rainstorm events (daily precipitation > 50 mm) have been recorded between 1961
483 and 2000 (Fan, 2006). It is expected that the frequency of the rainstorm events
484 during weak EASM periods is lower than that of the present strong EASM period
485 (Liang et al., 2010). Each individual rainstorm event may contribute to the
486 depletion of sediments in the form of debris flows or debris-charged floods.

487



488

489 Fig. 9. GLP aggradation/incision stages and speleothem $\delta^{18}\text{O}$ records. Filled
 490 dots represent OSL ages that define the corresponding aggradation or incision
 491 period. Red curves are the Sanbao Cave $\delta^{18}\text{O}$ speleothem records (Wang et al.,
 492 2008), and blue curves are the Hulu Cave records (Wang et al., 2001). The
 493 numbers and colored bars above the speleothem curves are Marine Oxygen
 494 Isotopic Stages (Lisiecki and Raymo, 2005). Black dashed lines define the
 495 evolution stages in profile A-A'. Black dashed lines with an upward pointing arrow
 496 represent the aggradation of the corresponding alluvial fans, and black dashed
 497 lines with a downward pointing arrow represent the incision of the previous
 498 alluvial fans. The blue shading represents aggradation periods, and the pink

499 shading represents incision periods. The box on the right of the figure is enlarged
500 in Fig. 10.

501

502 The S4 incision occurred in the mid-Holocene period, during which the
503 speleothem record shows a slight weakening of the EASM strength (Fig. 9).

504 However, a number of studies (An et al., 2003; Lu et al., 2013; Wang et al., 2014;

505 Chen et al., 2015) have shown that the mid-Holocene may have been

506 characterized by warm and wet conditions, contrasting with the cave records. It

507 is likely that the general pattern of glacial and interglacial change may be broadly

508 reflected in Chinese $\delta^{18}\text{O}$ speleothem records (Wang et al., 2017), while the

509 speleothem records do not represent the true variation of the EASM at millennial

510 scales, especially since Last Deglaciation (Maher and Thompson, 2012; Tan,

511 2014; Liu et al., 2015; Yang et al., 2016) . Here, we used a pollen record covering

512 the past 14 ka from Gonghai Lake (Chen et al., 2015) to represent the variation

513 of EASM strength since Last Deglaciation in northern China (Fig. 10). According

514 to this figure, the S4 incision corresponds to a mid-Holocene warm and wet

515 climate. Pollen records from the nearby southwest Loess Plateau revealed that

516 a desert-steppe during the period between 11,190 and 8850 yr BP was

517 succeeded by a forest-steppe from 8850 to 7540 yr BP, a Pinus-dominated forest

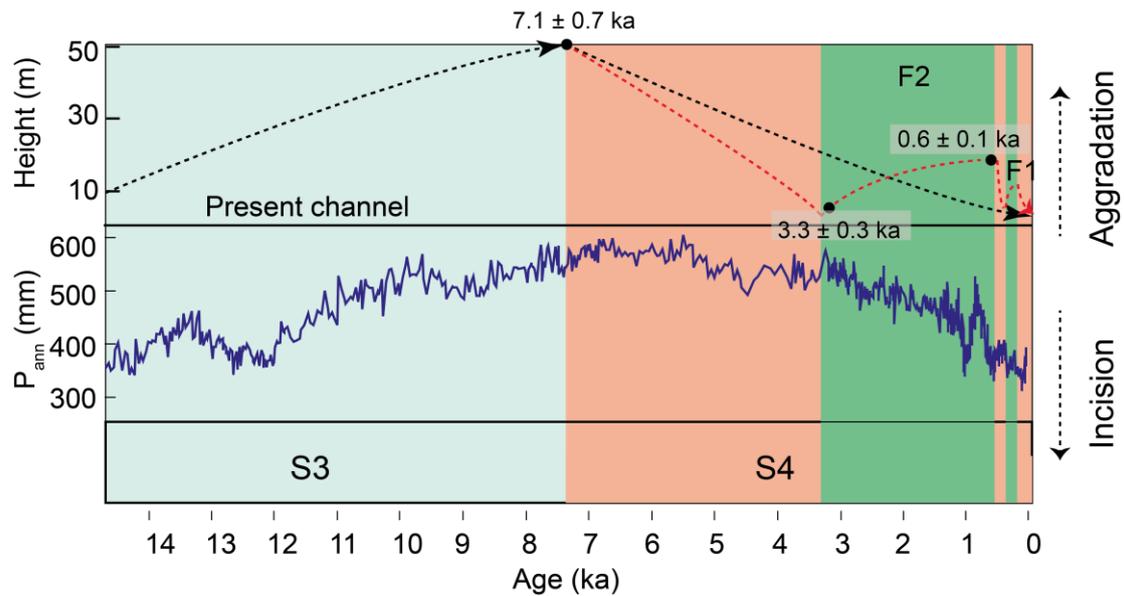
518 from 7540 to 6560 yr BP, and a deciduous forest from 6560 to 5790 yr BP (Feng

519 et al., 2006). This transition of vegetation indicates that the climate changed to

520 warmer and wetter conditions in the mid-Holocene. It is likely that the limestone
521 hillslopes in GLP were covered by a forest vegetation similar to the present
522 during the mid-Holocene. This vegetation cover may stabilize the sediments on
523 the hillslopes and reduce bedrock weathering rates, leading to decreased supply
524 of sediment. Meanwhile, annual water discharges and the frequency of flood
525 discharges may increase during the warm and wet period (Knox, 1993; Fowler
526 and Hennessy, 1995), leading to flows that exceed the critical stream power (Bull,
527 1991) and produce incision.

528

529 According to this record, it is also clear that since 3.3 ka, the climate has started
530 to become drier (Fig. 10). The vegetation in the nearby southwest Loess Plateau
531 changed to a steppe from 4000 to 3120 yr BP and then to a desert-steppe from
532 3120 to 2900 yr BP (Feng et al., 2006). It is likely that the F2 aggradation was
533 caused by increased sediment availability due to reduced vegetation cover
534 resulting from the aridity. The formation of the F2 terraces/fans likely continued
535 until the climate became wet again as is reflected from the sharp increase in the
536 reconstructed precipitation in around 1 ka (Fig. 10). Likewise, the F1 alluvial fan
537 formed in a second dry period, though here we did not obtain OSL ages for the
538 F1 terraces.



539

540 Fig. 10. GLP aggradation/incision stages and pollen records. Filled dots are OSL
 541 ages that define the corresponding aggradation or incision period. The blue
 542 curves are pollen-based precipitation records from Gonghai Lake (Chen et al.,
 543 2015). Black dashed lines define the evolution stages in the proximal area of
 544 alluvial fans. Black dashed lines with an upward pointing arrow represent the
 545 aggradation of the corresponding alluvial fans. Black dashed lines with a
 546 downward pointing arrow represent the incision of the previous alluvial fans.
 547 Dashed red lines define the evolution stages in the distal fan area, representing
 548 two small aggradation periods forming the F2 and F1 alluvial fans. The blue
 549 shading represents aggradation periods, the pink shading represents incision
 550 periods, and the green shading represents the small aggradation period.

551

552 From the discussion above, it is concluded that cold environments tend to

553 enhance frost shattering, while dry environments generally cause reduced
554 vegetation cover. Both effects contribute to a high sediment supply rate, leading
555 to alluvial fan aggradation during infrequent flood discharge events. Conversely,
556 a warm and wet climate causes a reduction in sediment supply due to recovered
557 vegetation and an increase of the frequency of flood discharges, leading to
558 alluvial fan/terrace incision. Similar relationships between climate and
559 aggradation/incision patterns have been found in fluvial terraces in the marginal
560 area of the Tibetan Plateau (Wang et al., 2009; Lu et al., 2010; Huang et al.,
561 2014; He et al., 2015). This similarity suggests that the GLP valley might respond
562 to the climate in a similar manner to the primary rivers in the study area. Many
563 studies have argued that alluvial fan deposition occurred during periods of
564 climatic transitions because great changes in flood magnitude/frequency and
565 vegetation may occur during these periods (Bull, 1991; MacDonald et al., 2003).
566 In this study, samples of glacial ages (21-16 ka) and glacial-interglacial transition
567 ages (13-7 ka) were obtained, indicating aggradation in both glacial and glacial-
568 interglacial transition periods. This situation is consistent with the traditional
569 expectations for fan aggradation during climatic transition periods. In addition,
570 as the GLP valley is among the typical valleys in the middle section of the Bailong
571 River, representing intermediate-sized tributary valleys (10 – 100 km²) with
572 relatively large alluvial fan areas and limestone drainage headwaters, the
573 relationships between fan evolution and climate may apply to similar types of
574 valleys in the other sections of the Bailong River.

575 It is noteworthy that different relationships between fan evolution and climatic
576 conditions have been discovered in other parts of the eastern Tibetan Plateau.
577 For example, Chen et al. (2008) found alluvial fan formation during 10.6–5.4 ka,
578 corresponding to a transition towards a more warm and humid climate in the
579 southeast of the Tibetan Plateau. Actually, contrasting relationships between
580 climate and alluvial fan evolution are present in many areas across the globe
581 (Bull, 1991, 2000; Owen et al., 1997, 2014; Harvey et al., 1999; Kumar et al.,
582 2007; Spelz et al., 2008; Juyal et al., 2010; Kar et al., 2014). One explanation is
583 the variable geomorphic thresholds for different types of valleys (Schumm, 1979;
584 Blair and McPherson, 1994; Coulthard et al., 2005; Phillips, 2006). The
585 difference in geomorphic thresholds may lead to distinct responses to the same
586 climatic events (Wells and Harvey, 1987; Wilford et al., 2004; Jakob, 2005),
587 leading to distinct evolutionary stages of alluvial fans. Moreover, climate-
588 associated parameters (i.e. precipitation, temperature and vegetation) may be
589 different across the northern and southern parts of the eastern Tibetan Plateau.
590 For example, the southern part may receive more precipitation than the north
591 does (Liang et al., 2010). This difference may lead to distinct valley evolution
592 stages corresponding to glacial/interglacial periods. Similar conditions have
593 been reported in the America Southwest (e.g., Harvey et al., 1999; Miller et al.,
594 2010), where even though climate is generally characterized by cold-wet and
595 warm-dry conditions in glacial and interglacial periods, respectively, the northern
596 and southern part of the America Southwest experiences distinct vegetation

597 cover and annual precipitations, leading to different climate-alluvial fan dynamic
598 models. In other cases, the propagation of the effect of base-level change, which
599 may be caused by river capture or regional uplift, also leads to differential
600 evolutionary stages of alluvial fans across a region. One example is the alluvial
601 fans in the Tabernas Basin in southeastern Spain where an uplift-induced base-
602 level fall leads to the propagation of incision from the lower part of the basin to
603 the upper part (Mather et al., 2017). Perhaps more importantly, variations in
604 bedrock structures that control sediment delivery may also lead to differences in
605 fan evolution (Mather and Stokes, 2017). Having considered these factors
606 (specifically the localized bedrock structures), it is possible that some variations
607 of alluvial fan/terrace evolution among the intermediate-sized valleys may also
608 occur. It is suggested that future research on the correlation of alluvial
609 fans/terraces across different valleys in the middle section of the Bailong River
610 is needed.

611 *5.3 The effects of the Bailong River and human activity on fan/terrace evolution*

612 Although this study focused on the impact of climate on alluvial fan evolution,
613 some local factors, such as the Bailong River and human activities, may also
614 influence fan evolution. The effects of these factors are discussed briefly here.

615 *5.3.1. Effect of the Bailong River*

616 As the alluvial fans in GLP are of a tributary-junction type that are subject to the

617 lateral erosion and/or vertical incision of the axial river (Mather et al., 2017), the
618 Bailong River is potentially a factor in affecting alluvial fan dynamics. According
619 to our observations in the valley, valley incision is mainly triggered by flooding
620 events, i.e., a proximal-induced coupling regime (Mather et al., 2017). It is
621 suggested that the distally-induced incision is a secondary factor causing fan
622 incision. Moreover, as is suggested before, the GLP valley responded to climatic
623 changes in a similar manner to the primary rivers in the eastern Tibetan Plateau.
624 It is likely that during satisfactory climatic conditions both the Bailong River and
625 the GLP valley experience incision, and hence both distally-induced and
626 proximally-induced coupling (Mather et al., 2017) may occur, leading to rapid
627 incision. In contrast, during periods when the Bailong River is not being incised,
628 lateral migration of the river channel may create or destroy the accommodation
629 space of alluvial fans, leading to fan progradation or fan cutting, respectively.
630 The formation of the F1 and F2 alluvial fans were likely formed when the Bailong
631 River moved away from the GLP valley, creating space for gravelly sediments to
632 be deposited, though the fan formation was associated with a drying trend of the
633 climate as is discussed earlier. Moreover, the distal ends of the F1 and F2 alluvial
634 fans display a linear shape (Fig. 3), indicating periods when the Bailong River
635 approached the GLP valley and caused lateral erosion of the GLP alluvial fans.

636 *5.3.2. Effect of human activities*

637 As residents have utilized these alluvial fans/terraces as agricultural and

638 residential lands, human activities may exert an impact on past fan evolution,
639 especially for the young F1 and F2 fans/terraces. Activities associated with
640 agriculture primarily include surface flattening and vegetation removal on alluvial
641 fans/terraces to make space for crops. These agricultural activities may lead to
642 unstable terrace surfaces, causing surface erosion. Other activities such as
643 forest harvest and rock mining in the limestone headwaters may form unstable
644 hillslope surfaces and increase sediment supply in the limestone headwaters.
645 These activities may contribute to alluvial fan/terrace formation. The F1 and F2
646 alluvial fans/terraces, which are estimated to have been formed since about 3
647 ka, may be influenced by these activities.

648 *5.4. Implications for debris flow/debris-charged flood hazard*

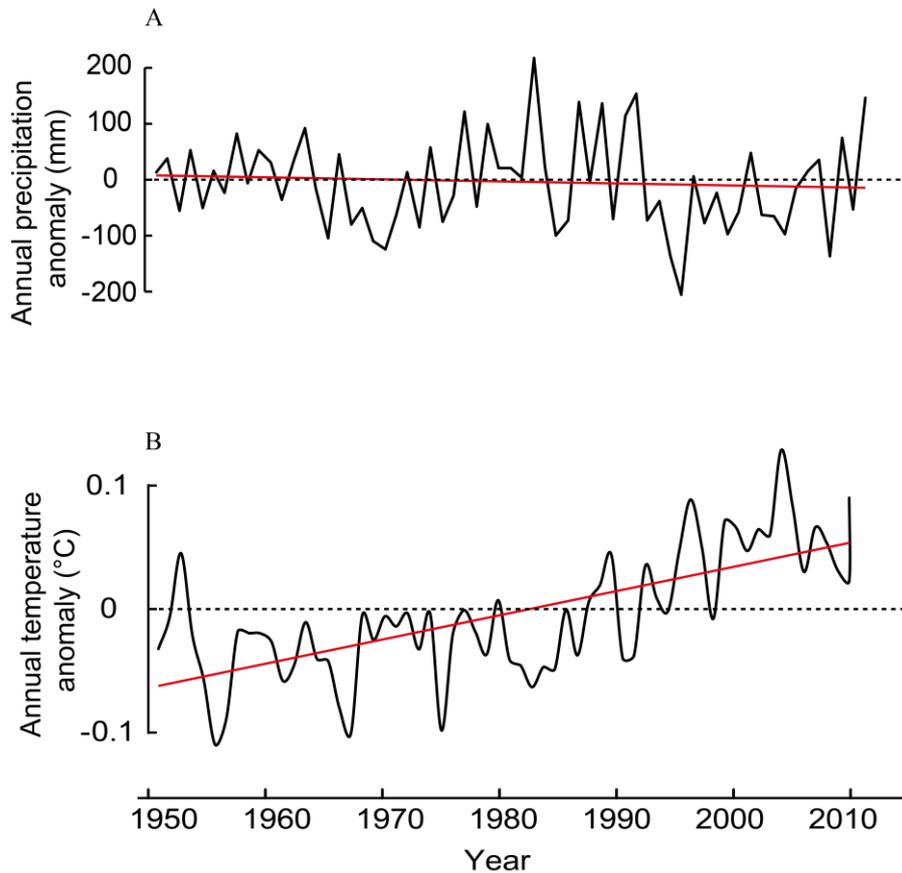
649 The GLP valley, as well as the wider Bailong River region, is affected by debris
650 flows and debris-charged floods at present. When gravelly sediments are
651 deposited on alluvial fan surfaces that support populations, significant hazards
652 can be produced. One example is the Zhouqu debris flow, which killed 1760
653 people (Tang et al., 2011). These devastating events are usually triggered by
654 heavy rainfalls during summer seasons between May and September. In
655 assessing the probability of hazards for a given alluvial fan, the trend of
656 aggradation and incision of the fan is crucial. Incision tends to deepen the
657 channel, leading to abandonment of alluvial fans and reducing the impact of
658 debris flows/debris-charged floods on local populations (living on alluvial fan

659 surfaces), while aggradation tends to elevate the channel floors and expose the
660 population to the influence of debris flows/floods, hence increasing the hazard
661 probability.

662

663 The evolutionary history of the GLP valley and its relationship to climate
664 indicates that cold and/or dry environments tend to cause aggradation, while
665 humid and warm environments tend to cause incision. Here, we attempt to apply
666 this relationship to the prediction of future valley evolution with an aim of
667 assessing debris flow/debris-charged flood hazards. To do this, we analyzed the
668 trend of precipitation and temperature anomalies over the past 62 yr (1950-2012)
669 from a nearby meteorological station (Fig. 11). During this period annual
670 temperatures have experienced a measurable increase, particularly from c.
671 1980, while the annual precipitation is largely unchanged. The warming, which
672 has occurred primarily in the winter for most of China (Hu et al., 2003), would
673 tend to decrease frost shattering, leading to a decreased rate of physical
674 weathering. The relatively unchanged annual precipitation may maintain a
675 largely stable vegetation cover. Consequently, it might be expected that the
676 valley has tended to be in an incision phase over the last half-century, and if the
677 climatic trends shown in Fig. 11 were to continue, GLP would experience a
678 decreased sediment supply. Under such a scenario, the likelihood of debris
679 flows/debris-charged floods influencing the populations who live on alluvial fans

680 would decrease in the future. However, this does not exclude shorter term
681 phases of aggradation brought about by debris flows, and is contingent upon the
682 same patterns of climate change continuing into the future. Moreover, because
683 the F4, F3 and F2 alluvial fans are occupied by people, human activities may
684 influence the present incision trend of the valley. Associated activities include
685 logging and rock mining in the limestone headwaters, which can lead to an
686 increase of sediment supply. Nevertheless, the general trend of valley incision
687 may be applicable to many other intermediate-sized valleys in the region
688 because many of these valleys have also developed wide and deeply incised
689 channels, indicating an active incision period at present. Continued incision
690 would suggest that residents living on alluvial fans are less likely to be impacted
691 directly by any debris flow/debris-charged flood events.



692

693 Fig. 11. Annual precipitation (A) and temperature (B) anomalies between 1950
 694 and 2012 from the Wudu meteorological station, ~ 20 km from GLP. Red lines
 695 are the overall trend based on linear regression.

696

697 **6. Conclusion**

698 This paper reconstructs the aggradation/incision history of GLP valley by dating
 699 fine silt-sized quartz from alluvial terraces/fans and loess deposits using OSL
 700 dating. By examining the temporal correlations between the observed phases of
 701 aggradation and incision and regional climate records, we find that the warm and

702 wet mid-Holocene was dominated by rapid valley incision while the cold period
703 from MIS 4 to the early Holocene and the short dry periods between 3.3 and 0.6
704 ka were dominated by aggradation in GLP. Cold conditions are considered to
705 have enhanced frost shattering, while dry conditions led to deteriorated
706 vegetation cover. Both effects led to an increase of sediment supply in the
707 limestone headwaters. These sediments were transported by infrequent flood
708 discharges, forming alluvial fan/terrace deposits. Phases of rapid incision during
709 warm and humid periods are attributed to decreased sediment supply due to
710 recovered vegetation cover and increased frequency of flooding.

711

712 We also examined the temperature and precipitation records in the study area
713 between 1950 and 2012. These modern climatic records indicate a measurable
714 warming trend with generally stable precipitation over the last 62 yr. This climatic
715 trend is interpreted as indicating a decreased sediment supply resulting from
716 impeded frost shattering and a stable vegetation cover over recent decades.
717 Consequently, we conclude that the valley is likely to experience further incision
718 if this trend continues.

719

720 **Acknowledgments**

721 This research was supported by the National Key Research and Development

722 Program of China (Project No. 2017YFC1501005), the National Natural Science
723 Foundation of China (Grant No. 41661144046), the Key Technology Research
724 and Development Program of the Ministry of Gansu Province, China (Grant No.
725 1604FKCA098) and the National Key Technology R&D Program, China (No. 309
726 2011BAK12B06). The authors thank Dr. Peng Guo, Dr. Guan Chen, Dr. Muqi
727 Xiong, Mr. Feng Qing, Mr. Zhijie Cui, and Mr. Yan Zhao for their help in the field.
728 Yajun Li thanks the Chinese Scholarship Council for supporting his living cost in
729 the UK. Simon Armitage's contribution to this work was partly supported by the
730 Research Council of Norway, through its Centres of Excellence funding scheme,
731 SFF Centre for Early Sapiens Behaviour (SapienCE), project number 262618.
732 The manuscript was greatly improved by reviews from two anonymous
733 reviewers and Professor Scott Lecce.

734

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