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Effective Connectivity from Early Visual Cortex to Posterior Occipitotemporal Face Areas Predicts Developmental Prosopagnosia

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Commercial Interest:

1	Effective Connectivity from Early Visual Cortex to Posterior Occipito-					
2	temporal Face Areas Supports Face Selectivity and Predicts					
3	Developmental Prosopagnosia					
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39

40 **Abstract**

41 Face processing is mediated by interactions between functional areas in the occipital and temporal 42 lobe, and the fusiform face area (FFA) and anterior temporal lobe play key roles in the recognition 43 of facial identity. Individuals with developmental prosopagnosia (DP), a lifelong face recognition 44 impairment, have been shown to have structural and functional neuronal alterations in these areas. 45 The present study investigated how face selectivity is generated in participants with normal face processing, and how functional abnormalities associated with DP, arise as a function of network 46 47 connectivity. Using functional magnetic resonance imaging and dynamic causal modeling, we 48 examined effective connectivity in normal participants by assessing network models that include 49 early visual cortex (EVC) and face-selective areas and then investigated the integrity of this 50 connectivity in participants with DP. Results showed that a feedforward architecture from EVC to 51 the occipital face area, EVC to FFA, and EVC to posterior superior temporal sulcus (pSTS) best 52 explained how face selectivity arises in both controls and participants with DP. In this architecture, 53 the DP group showed reduced connection strengths on feedforward connections carrying face 54 information from EVC to FFA and EVC to pSTS. These altered network dynamics in DP contribute to the diminished face selectivity in the posterior occipito-temporal areas affected in DP. These 55 56 findings suggest a novel view on the relevance of feedforward projection from EVC to posterior 57 occipito-temporal face areas in generating cortical face selectivity and differences in face 58 recognition ability.

59 Significance statement

Areas of the human brain showing enhanced activation to faces compared to other objects or places have been extensively studied. However, the factors leading to this face selectively have remained mostly unknown. We show that effective connectivity from early visual cortex to posterior occipitotemporal face areas gives rise to face selectivity. Furthermore, people with developmental prosopagnosia, a lifelong face recognition impairment, have reduced face selectivity in the posterior 65 occipito-temporal face areas and left anterior temporal lobe. We show that this reduced face 66 selectivity can be predicted by effective connectivity from early visual cortex to posterior occipito-67 temporal face areas. This study presents the first network-based account of how face selectivity 68 arises in the human brain.

69

70 **1. Introduction**

During the last two decades, functionally-localized areas relevant for face processing in humans 71 72 have been investigated. These face-selective areas show stronger blood oxygen level dependent (BOLD) responses to faces compared to other objects (Kanwisher et al., 1997). However, these 73 74 areas do not function in isolation but rather as an integrated system (Moeller et al., 2008). A model 75 of the functional integration of face-selective areas is required to understand the neural 76 underpinnings of face processing. Most previous studies investigating connectivity in face-selective networks have focused on anatomical connections (Gomez et al., 2014; Thomas et al., 2008) or 77 78 correlated BOLD responses between face-selective areas (functional connectivity) (George et al., 2001; Iidaka et al., 2001). A handful of studies have focused on the direction of information flow 79 80 between face-selective areas (Fairhall and Ishai, 2007; Furl et al., 2013). A common limitation of 81 these directional connectivity studies is that they do not isolate the flow of face-selective 82 information from other information. Those few studies of the directional flow of face-selective information, used network models limited to relatively few face-selective regions (Furl et al., 2014; 83 84 Nagy et al., 2012). Here, we investigate the directional flow of face-selective information using the 85 most comprehensive face processing network investigated to date. We further compare the flow of face-selective information between controls and people with developmental prosopagnosia (DP), a 86 lifelong face recognition impairment. 87

88 Previous theory can guide hypotheses about the factors generating face-selectivity. An 89 influential proposal for the neural architecture for face processing separates face-selective areas into 90 a core system that carries out visual analysis and which consists of the occipital face area (OFA), 91 fusiform face area (FFA), and posterior superior temporal sulcus (pSTS), and an extended system 92 carrying out further, higher level processing (Haxby et al., 2000). Extended areas process 93 information such as knowledge about the owner of a face in the anterior temporal lobe (ATL) and 94 emotional information in the amygdala. Formal models testing how interactions between these areas 95 give rise to face-selectivity have not been developed. Our first aim was to quantitatively compare 96 plausible connectivity architectures, using dynamic causal modeling (DCM) (Friston et al., 2003).

97 Occipito-temporal contributions to face processing can be investigated by studying 98 individuals with DP. People with DP have difficulty recognizing facial identity (Behrmann & 99 Avidan, 2005; Duchaine & Nakayama, 2006) and sometimes have problems with other aspects of 100 face processing as well (Duchaine et al., 2006; Nunn et al., 2001). Neural abnormalities in DPs have 101 been reported. Berhmann et al. (2007) and Garrido and colleagues (2009) reported decreased grey 102 matter in the fusiform gyrus, STS and ATL of participants with DP. Gomez et al. (2014) and Song et 103 al. (2015) found altered white matter around FFA in DP. Furl and colleagues (2011) examined DP 104 individuals and typical controls and showed that BOLD responses in bilateral FFA and ATL 105 correlated with face recognition ability. Furthermore, Furl et al. (2011), Dinckelacker et al. (2011), 106 and von Kriegstein et al. (2008) found participants with DP had reduced face selectivity in FFA. 107 Avidan et al. (2014) found reduced activation in ATL, and reduced functional connectivity between 108 the core system and ATL in DP. To better understand decreased face selectivity associated with the 109 DP population, our second aim was to contrast neural connectivity in participants with DP versus 110 participants with normal face recognition.

To establish the network architecture of face processing, we estimated the directionality of informational flow, using DCM, when participants viewed faces. We further investigated the relevance of the state of this system for behavior by identifying how it is altered in DP. We found a network that best explains how face relevant information flows through a face-selective network where the presence or absence of faces modulates connectivity from early visual cortex (EVC) to

- 116 posterior occipito-temporal areas (OFA, FFA, and pSTS). Also, We further show that the strength of
- 117 face-specific modulation in connections from EVC to FFA and pSTS is diminished in DP.

118 **2.Method**

119 **2.1.** *Participants*

We examined the same 15 DP and 15 control individuals from Garrido et al. (2009) who returned for the fMRI experiment reported in Furl et al. (2011). The participants with DP reported great difficulties with face recognition in daily life and were diagnosed using the Cambridge Face Memory Test (CFMT in its original form; Duchaine & Nakayama, 2006a) and the Famous Faces Test (FFT; Duchaine & Nakayama, 2005). Informed consent was obtained in accordance with procedures approved by The Joint Ethics Committee of The National Hospital for Neurology and Neurosurgery and The Institute of Neurology, London.

127 2.2. Data Aquisition

T2*-weighted echo-planar functional brain volumes were acquired using the Siemens Trio 3T system (Siemens, Erlangen, Germany). For each participant, three sessions were run with 430 volumes each for a total of 1290 volumes per participant. Images were acquired at a volume repetition time (TR) of 2176 milliseconds with an in-plane resolution of 3×3 mm, 2 mm slice thickness, and 1 mm slice gap, with echo time = 30 msec and a flip angle of 90°. We discarded the two volumes commencing each session to avoid magnetic equilibrium contamination. (See Furl et al., 2011).

135 2.3. Experimental Design

The experimental design included a repetition suppression paradigm. Stimuli comprised of images of emotional faces taken from the KDEF database (Lundqvist & Litton, 1998; The Karolinska Directed Emotional Faces, Department of Clinical Neuroscience, Psychology Section, Karolinska Institute) and photographs of cars.

Block designs have been shown to be statistically efficient for DCM and therefore practical
for the present study (Mechelli et al., 2013). There were two categories of blocks: faces and cars.

142 Ninety-six blocks displayed faces and 48 blocks displayed cars, distributed equally over three runs. 143 Each block lasted 15.2 seconds with 4 seconds of fixation between blocks. Eight stimuli with 144 alternating viewpoints (left and right three quarters and frontal) were presented in each block for 145 1700ms preceded by 200ms fixation. Face blocks varied on whether facial expressions (happy, 146 fearful, neutral, and angry) were different or the same within each block, and whether identities 147 (four male identities) were the same or different within each block. The car blocks varied on 148 whether cars (four cars) were the same or different. All images were gravscaled, normalized to 149 equal luminance mean and range, adjusted to similar size, and placed on a gray background. Faces 150 were cropped to occlude hair and clothing (see Furl et al., 2011).

151

152 2.5. Pre-processing and general linear model

Following Furl et al. (2011) data pre-processing was carried out using SPM5 (Wellcome Trust Centre for Neuroimaging, London; <u>http://www.fil.ion.ucl.ac.uk/spm/</u>) with MATLAB (The Mathworks, Natick, MA). Pre-processing comprised realignment, normalization and 8mm spatial smoothing. Slice timing was modeled in the DCM, where the precise acquisition time of each region of interest (ROI) was taken into account. This has been shown to be an effective solution to the slice time problem for DCM (Kiebel et al., 2007).

159 For ROI definition, we used general linear models (GLMs) from SPM8. First we analyzed the timeseries data at the individual-participant level using a canonical hemodynamic response 160 161 function, a low-pass filter of 1/256Hz, AR(1) autocorrelation modeling, motion correction, and 162 proportional scaling. Then, contrasts of interest (faces versus cars and all stimuli versus baseline, 163 see ROI selection) were computed in each individual participant. The resulting contrast images 164 were subjected, at a second level, to right-sided t-tests treating participants as random effects. 165 Results images from the second level were thresholded at P<0.005 (uncorrected) and clusters were 166 then identified that met family-wise error correction at P<0.05 across either the whole brain or a 167 priori small volume correction using Gaussian random field theory.

In order to optimize the SPM for DCM, individual-participant level GLMs were recomputed in SPM12b using regressors for all visual inputs (faces and cars) and faces only (these regressors were collapsed over repetition condition and session), as well as covariates for each run and for head motion. This allows us to assess the effective connectivity of face-selective information across all three runs.

173 **2.6. ROI Selection**

174 We selected ROIs considered "core" face processing areas (i.e. OFA, FFA and pSTS) (Haxby 175 et al., 2000). We also selected ATL, because of its putative role in face recognition and coupling to 176 areas in the core system (Behrmann et al., 2007; Eifuku et al., 2004; Haxby et al., 2000; Yang et al., 177 2014). Together, these areas were hypothesized to be the main occipito-temporal components in a 178 circuit that processes faces. For inclusion in DCM, these areas had to show significant face 179 selectivity at a second (group) level, right-sided, t-test of 'all faces > all cars' contrast using all 180 participants (both controls and DP). From these criteria, we identified five face-selective ROIs: 181 right OFA (rOFA), right FFA (rFFA), right posterior STS (rpSTS), left FFA (lFFA), and left ATL 182 (IATL). rOFA, IFFA and IATL were small volume corrected using a 10mm sphere around the 183 functional peak coordinates of at least one previous study (Allison, Puce, & McCarthy, 2000; 184 Andrews & Ewbank, 2004; Fox et al., 2009; Hein & Knight, 2008; Rotshtein et al., 2005; Von Der 185 Heide et al., 2013; Winston et al., 2004).

186 We also selected early visual cortex (EVC) as an ROI for DCM. EVC is not face-selective, 187 but is the first cortical area in the visual processing stream, and, as expected, responded robustly to 188 both faces and cars. Using models that assume that the information initially passes through EVC 189 allows us to estimate the signal sent into the face-selective system in a biologically plausible 190 manner, instead of assuming that the signal remains unchanged until reaching a face-selective area. 191 Such models also allow us to explore pertinent theoretical explanations for face selectivity in which 192 face-selective areas are receptive to low-level face-diagnostic information already present in early 193 visual areas (See Discussion). We identified EVC using a second level one-sample, right-sided, t194 test on an '(all faces + all cars) > rest' contrast, and identified the peak activation around the 195 posterior occipital lobes.

196 In accordance with conventional methods, we identified the locations of the ROIs in each 197 individual participant (den Ouden et al., 2012; Grefkes et al., 2008; Mechelli et al., 2003; Mechelli 198 et al., 2004). Using the second-level (group) clusters to define a search space, we identified the 199 individual participants' face-selective peak voxel within the second level clusters (for EVC the 200 visual versus baseline peak voxel was identified). Second level (group) clusters used for search 201 spaces consist of all voxels around the area of interest, which SPM recognized as a single cluster 202 around the peak voxel. The clusters were identified with a significance level at an uncorrected 203 threshold of p<0.005 in a second level SPM analysis. If a second level (group) cluster was 204 overlapping with another area, we limited the inclusion of p < 0.005 thresholded voxels within 10mm 205 of the peak voxel of the area. This was relevant for EVC, rSTS and IATL. In order to ensure that the 206 search spaces were not dominated by one of the groups, we also computed the clusters from each of 207 the groups separately. We found that the peak of the control group and DP group clusters were 208 located within the search spaces, which indicates that our search spaces were representative for each 209 group. After identification of individual peak voxels for all selected ROIs, we created 6mm spheres 210 with no threshold around the peak voxels to create participant-specific ROIs. We extracted ROI 211 mean percentage signal change across voxels (faces>cars contrast for face-selective areas and all 212 visual>rest contrast for EVC) for ROI analysis using MarsBar 0.42 (Brett et al., 2002). This 213 approach reduces the multiple comparison problem from a voxel-wise issue to one involving only 214 the number of ROIs investigated. This is done by focusing only on the activity within the ROI 215 chosen (i.e. six ROIs), and removing the variability between voxel signals within the ROI by 216 averaging the signal of the voxels, and therefore treating each ROI as a single signal measurement 217 (Poldrack, 2007). We used two-sample, two-tailed, t-tests for testing group differences in ROI face 218 selectivity. The analyses were multiple comparison corrected for the number ROIs tested (Poldrack, 219 2007). For DCM, the first eigenvariate timeseries of all participant-specific ROIs were extracted 220 from the individual participant analyses.

221 2.7. Dynamic Causal Modeling

222 DCM uses a generative Bayesian model of effective connectivity between hidden neuronal 223 responses to predict fMRI BOLD responses in pre-specified areas (Friston et al., 2003). DCM 224 allows testing of specific hypotheses regarding effective connectivity. It uses Bayesian model 225 selection (BMS) to determine which model of connectivity best explains the data. Furthermore, it 226 allows for inference on individual connection parameters within a GLM framework (Penny et al., 227 2004; Stephan et al., 2010). It allows inference on three types of parameters. Endogenous 228 connectivity (A parameters) is the estimated effective connectivity averaged over conditions. 229 Modulatory connectivity (B parameters) models effects of a specific experimental factor on 230 effective connectivity. Last, exogenous parameters (C parameters) model stimulus input effects on 231 areas in the specified system. In the present paper, our primary interest was in measuring 232 connections that are modulated by faces (B parameters). We used DCM12 to carry out the DCM.

233 2.7.1. Model Selection and Parameter Analysis

Our first goal was to ascertain a model architecture that demonstrates a likely mechanism for producing face selectivity in our face-selective ROIs. Once this model was established, we then could test whether this face selectivity generating mechanism differed in participants with DP and controls. Such differences would provide a potential account of the reductions in face selectivity in posterior areas that we observed in our sample of participants with DP (see also Furl et al., 2011).

We used bayesian model selection (BMS) to infer which model best explained the data (Penny et al., 2004). This approach is based on the posterior probability associated with each model's evidence (Free energy), summed across the participants. We performed BMS on the whole sample and separately for the control and DP groups to estimate the most likely model architecture for every group.

Using Bayesian model averaging (BMA), we estimated A, B, and C parameters, averaged over the model space and weighted by the exceedance probability of each model (the likelihood that one model is more likely than any other model, given all participant data). This approach to parameter estimation does not assume that participants all use the same model, but allows for different participants to have different model weighting (Penny et al., 2010; Stephan et al., 2010).

249 We compared the B parameters of controls versus DP participants. This analysis included 250 face modulated connections present in the model architecture showing highest posterior probability 251 in BMS (Fig. 1). This model architecture accounts for the flow of face information in the present 252 data and so differences in face-modulation strength in this model architecture may be relevant for 253 the functional abnormalities in DP. We tested if controls show greater face modulation than 254 participants with DP by submitting B parameters (modulation by faces) to two-sample, right-sided, 255 t-tests. The analyses were multiple comparison (Bonferroni) corrected for number of connections 256 tested.

257 2.7.2. Specification of Model Space

To identify which connections were most likely to create face selectivity in the faceselective ROIs, we tested modulation by faces on different configurations of connections. Given no a priori assumptions about how non-specific visual information spreads through the system, we endogenously connected all areas reciprocally in all models. We assumed EVC to be the exogenous input area (where activity is driven by all visual experimental stimuli) (see section on ROI selection).

264 This template was used to formulate the thirteen models that we tested (Fig. 1). The first six 265 models were motivated by the Haxby et al. (2000) model of face processing and, specifically, the 266 features of this model that refer to visual analysis of faces (i.e., a dedicated "core" system). In 267 models 1-3, face selectivity arises from face modulation between areas in the core system alone (i.e. between rOFA, FFA, and rpSTS), with feedforward (model 1), backward (model 2) or reciprocal 268 269 (model 3) face modulation. In models 4-6, face selectivity arises from modulation by faces between 270 the core system and IATL with either feedforward (model 4), backward (model 5) or reciprocal 271 (model 6) modulation. In models 7-9, face selectivity arises from interactions between EVC and 272 downstream face-selective areas. These three models instantiate networks where faces are 273 discriminated from other objects through a feedforward mechanism from EVC. Faces could 274 modulate feedforward connections from EVC to rOFA (model 7), to the core system (model 8) or to 275 all face-selective areas (model 9). In models 10 and 11, face selectivity arises from 276 backward/feedback modulation by faces from ATL to FFA (model 10), or to the core system (model 277 11). Finally, in models 12 and 13, face selectivity arises through horizontal modulation by faces 278 between left and right FFA (model 12), or between FFA and STS (model 13). This model space 279 explores the network mechanisms that are currently plausible for the selected areas, including 280 possible feedforward, backward and reciprocal interactions between EVC, core system and anterior 281 temporal areas.

282

283 **3. Results**

284 3.1. SPM Group Analysis and ROI analysis

285 We performed an SPM group analysis of all the participants to test for face selectivity (i.e. 286 faces>cars contrast) and to identify face-selective ROIs for DCM. Significant clusters and peaks at 287 P < 0.05 (family-wise error corrected) were identified. We observed significant face selectivity in 288 rOFA, bilateral FFA, rpSTS, bilateral amygdala, precuneus, orbito-frontal cortex, and IATL. From 289 these results and from our *a priori* assumption that occipito-temporal face-selective areas are 290 associated with face recognition, we selected for DCM the face-selective areas rOFA, bilateral FFA, 291 rpSTS and IATL (Fig. 2). Furl et al. (2011) already reported the face selectivity results separately 292 for controls and DPs and found similar results. Here, the analysis combined all participants, as we 293 intended to use the second level (group) results to define a search space for ROI definition that 294 could be applied to the whole sample.

We also report an ROI analysis using the participant-specific ROIs that we obtained from the whole sample search space and that we included in the DCM (Fig. 3). All p-values for this ROI analysis are reported as uncorrected and are inferred to be significant according to a Bonferroni 298 corrected alpha value: $\alpha = 0.0083$ ($\alpha = 0.05/6$). We observed significantly greater face selectivity in 299 controls compared to the DP group in rFFA t(28) = 3.304, p = 0.0026, IFFA t(28) = 3.172, p =300 0.0037 and rpSTS t(28) = 2.970, p = 0.0061. We found no significant group difference in face 301 selectivity following Bonferroni correction in rOFA t(28) = 0.379, p = 0.708 and IATL t(28)=2.691, 302 p = 0.012, as well as no significant group difference in BOLD response (all visual>rest) in EVC 303 t(28) = 1,994, p = 0.055. These results generally agree with the results found in Furl et al. (2011). 304 However, we also identified an additional role for rSTS in DP and the comparison of IATL face-305 selectivity in controls and DPs narrowly failed to reach significance after Bonferroni correction. 306

307 3.2. Dynamic Causal Modeling

308 **3.2.1. Bayesian Model Selection**

The model in which faces modulate the connections from EVC to the core system (EVC to Core (Model 8)) achieved a posterior probability of approximately 1.0 in both groups and in the whole sample (Table 1; Fig. 1). Model architecture in DP and control groups was therefore qualitatively similar.

Face processing is often regarded as primarily lateralized to the right hemisphere. To test if some models were less likely due to inter-hemispheric connections (e.g. rOFA to IFFA), we also ran a post-hoc model space only containing areas from the right hemisphere as well as EVC (EVC, rOFA, rFFA, rSTS). Again these results showed that EVC to core areas was the most probable model in a BMS including all participants (posterior probability for EVC to core ~ 1). This makes us confident that the original model space was not biased by inter-hemispheric connections in the model space, and all subsequent analysis are based on the original model space.

320

321 **3.2.2. Face Modulation Parameters**

We assessed the difference between controls and DPs in the magnitudes of their facemodulated B connections, which we considered relevant for face processing. We selected for 324 comparison the four face-modulated connections present in the model architecture that had the 325 highest posterior probability in both groups (i.e. Model 8). All p-values are reported as uncorrected 326 and are inferred to be significant according to a Bonferroni corrected alpha value $\alpha = 0.0125$ ($\alpha =$ 327 0.05/4).

Three out of the four effective connections that were modulated by faces in the most likely model architecture showed altered modulation strength between control and DP (Fig. 4). Face modulation on the connection from EVC to rOFA did not show a significantly greater face modulation for controls compared to the DP group t(28) = -0.110, p = 0.5432. In contrast, we found significantly greater face modulation for controls compared to the DP group on the connections from EVC to rFFA t(28) = 2.536, p = 0.0085, from EVC to IFFA t(28) = 2.253, p = 0.0088 and from EVC to rpSTS t(28) = 2.912, p = 0.0035.

335

336 4. Discussion

337 We aimed to understand network properties contributing to face processing and how these 338 network properties support accurate face recognition. We assessed this latter question by 339 investigating how the face processing network is altered in DP individuals, who cannot accurately 340 recognize faces. We focused on effective connectivity using DCM and show that the network model 341 that best explains how face-relevant information flows through a face-selective network is one 342 where the presence or absence of faces modulates feedforward effective connectivity from EVC to 343 occipito-temporal areas (OFA, FFA and STS). This model was selected out of 13 different models in 344 a BMS and best explained our data when analyzing DP and control groups separately or combined. 345 We then related the properties of this network to facial recognition ability by testing for differences 346 in modulation strength between DP and control groups on model-relevant parameters (i.e. 347 modulation parameters present in the model which best explained our data). We found that 348 modulation of connections from EVC to rFFA, IFFA, and rpSTS was significantly diminished in 349 DP, relative to controls. Our results indicate that these connections may contribute to normal face350 selective responses as well as accurate facial recognition.

351

352 4.1. Connections that give rise to face-selective responses

353 Most previous studies investigating directional flow of information between face-selective 354 areas have not contrasted face information versus other types of object stimuli when testing for 355 modulations of effective connectivity (Fairhall and Ishai, 2007; Ewbank et al., 2013). The 356 advantage of quantifying the relative contribution of face-specific modulation to connectivity 357 strength is that it allows for an inference of how face selectivity arises as a function of connectivity. The few studies that modeled the effect of faces compared to other stimuli considered model spaces 358 359 with relatively few regions (Furl et al., 2014; Nagy et al., 2012). Here, we have performed the most 360 comprehensive model space to date and found that the model that best explained our data contained 361 face-modulated connections from EVC to occipito-temporal areas.

362 We found that models (inspired by Haxby et al. (2000)) where connections from OFA to 363 FFA and STS created face selectivity in occipito-temporal areas were suboptimal. This result is 364 consistent with findings in patients with lesions covering face-selective areas. Steeves et al. (2006) 365 presented a patient (DF) who had bilateral lesion of OFA, but continued to show face selectivity in 366 FFA and STS. Similarly, patient PS who had lesioned rOFA and IFFA showed preserved face-367 selective responses in rFFA (Rossion et al., 2003). In addition, two patients with lesioned rOFA and 368 rFFA still had face selective responses in rpSTS (Dalrymple et al., 2011). These neuropsychological 369 studies are in accordance with a model where face selectivity is created through effective 370 connectivity from EVC to all core face processing areas (OFA, FFA, and STS).

Several of the models that we tested were theoretically motivated, but were nevertheless found to be sub-optimal. For example, our results offer support for some features of the model proposed by Haxby et al. (2000). Our results agree on "core" areas responsible for visual analysis (OFA, FFA, pSTS). We found that face selectivity in the core areas was driven by visual cortex. Nevertheless, Haxby et al. (2000) further predicts that FFA and STS receive facial feature information from OFA. We did not find a special role for OFA in driving face selectivity in FFA and STS. Our results instead partly accord with a previous study showing face-relevant effective connectivity from Brodmann area 18 (BA18) (partly equivalent to EVC here). Furl et al. (2014) showed that face modulation on the connection from BA18 to OFA (but not FFA) partly creates face selectivity in posterior occipito-temporal areas.

381 Our model space also tested the possibility that backward influences, including those from 382 ATL, contributed to face selectivity. The present results suggest no role for ATL in creating face-383 selective responses in posterior areas. Instead, the face-selective responses observed in ATL appear 384 to be either a function of the dynamics created in the interaction between EVC and occipito-385 temporal areas or a result of a mechanism that was not captured in the present model space. For 386 example, face selectivity generated in core areas (resulting from their coupling with EVC) could be 387 propagated forward to ATL via endogenous (unmodulated) connections. It should be noted that 388 these results do not imply that interactions between other areas do not occur or are not involved in 389 generating other functional responses that are relevant to face processing. We show that face-390 selective occipito-temporal responses are supported by effective connectivity from EVC to occipito-391 temporal core areas, rather than by interactions between different core areas or ATL.

392

393 4.2. A network-based account of diminished face recognition ability in DP

394 DP has been proposed to result from a disconnection between posterior and anterior face-selective 395 areas (Behrmann & Plaut, 2013), in part, on the basis of diffusion tensor imaging (DTI) results. 396 Thomas et al. (2008) found evidence for diminished axonal integrity in major pathways projecting 397 between posterior occipito-temporal areas and anterior areas in ATL and frontal cortex. Because 398 Avidan et al. (2005) found evidence that functional BOLD signal is not altered in DP within 399 occipito-temporal areas, Thomas et al. (2008) proposed that DP is related to disconnection with 400 ATL, rather than dysfunctional processing in posterior occipito-temporal areas. However, more 401 recently, two studies reported that white matter deficits were not present in major pathways in DP; 402 instead DP was associated with atypical white matter structure around the FFA (Gomez et al., 2014; 403 Song et al., 2015). These findings are in accordance with our findings implicating the connectivity 404 of FFA in face processing. A limitation of previous studies investigating DP is the small sample 405 sizes such as four (Avidan et al., 2005) or six (Thomas et al., 2008) participants with DP and the 406 lack of appropriately-powered group statistics. In contrast, our data (15 DP and 15 control 407 participants) showed that DP had diminished BOLD response in posterior occipito-temporal areas 408 (originally reported in Furl et al., 2011; and see Dinckelacker et al. (2011) and yon Kriegstein et al. 409 (2008)). We here expand on the results by Furl et al. (2011) by identifying potential network explanations for the differences between DP and the normal population. In this study we find 410 411 evidence for diminished effective connectivity from EVC to FFA, and EVC to pSTS that results in 412 reduced activation in occipito-temporal areas to faces, compared to other objects, as well as reduced 413 face recognition performance (Furl et al., 2011).

414 Avidan et al. (2014) found reduced functional connectivity between core areas and ATL. 415 However, in the present study, the model identified to be most likely to give rise to face selectivity 416 (model 8) did not contain face-modulated effective connectivity to or from IATL. IATL connections, 417 therefore, are not a good candidate for explaining the reduced face selectivity observed in posterior 418 areas in our sample of DP participants. Nevertheless, there is reduced grey matter and functional 419 responses in ATL associated with DP (Behrmann et al., 2007; Garrido et al., 2009; Furl et al., 2011). 420 It is possible that these abnormalities in the ATL of people with DP may instead be caused by 421 chronically diminished propagation of face-specific activity from more posterior areas, or they may 422 be a separate manifestation of the dysfunctions associated with DP.

423

424 **4.3. Conclusions**

We have presented evidence for a model of how face selectivity arises in the human brain and how this model is compromised in DP. We have shown that a model in which face selectivity arises from effective connectivity from EVC to posterior occipito-temporal areas is more likely than

- other plausible models tested. Furthermore, we suggest that the functional BOLD response in FFA
 and rpSTS and behavioral deficits in DP can partly be accounted for by diminished effective
 connectivity from EVC to posterior occipito-temporal areas.
- 431

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587

588 Figure 1: Model space.

589 Thirteen models were chosen to test different hypotheses about the model architecture giving rise to 590 face selectivity in a occipito-temporal network. The models vary only in which configuration of 591 connections are modulated by faces. The titles above the models summarize which connections are 592 modulated by faces. The colors of the bold lines between areas signify which direction are 593 modulated by faces. All models are fully endogenously connected (including self connections) and 594 have all visual stimuli (Faces and Cars) as driving input to EVC. Model 8 is highlighted, because 595 the BMS showed this model as the best explanation for the data in both groups. Abbreviations: 596 EVC:Early visual cortex, rOFA: Right occipital face area, rFFA: Right fusiform face area, IFFA: 597 Left fusiform area, rpSTS: Right posterior superior temporal sulcus, IATL: Left anterior temporal 598 lobe 599 600 Figure 2: SPM group analysis. 601 SPM group analysis of faces>cars contrast (A,B,C) and faces+cars>baseline (D). Threshold at

- 602 P<0.005 (uncorrected).
- 603

- 604 Figure 3: Group differences in ROI signal (faces>cars) intensities for face-selective areas.
- 605 Abbreviations: EVC:Early visual cortex, rOFA: Right occipital face area, rFFA: Right fusiform face
- area, IFFA: Left fusiform area, rpSTS: Right posterior superior temporal sulcus, IATL: Left anterior
- 607 temporal lobe, * = p < 0.05, ** = p < 0.01. Error bars = 1 sem
- 608
- 609 Figure 4: Group differences in modulation of connectivity by faces.
- 610 Abbreviations: EVC: Early visual cortex, rOFA: Right occipital face area, rFFA: Right fusiform
- 611 face area, IFFA: Left fusiform area, * = p < 0.05, ** = p < 0.01. Error bars = 1 sem
- 612
- 613 Table 1: Bayesian Model Selection
- 614 Posterior probabilities for specified model architectures. Posterior probabilities were estimated for
- 615 control participants and DP participants separately, as well as all participants combined. Model
- 616 architectures are illustrated in figure 1.
- 617

Model Architecture	Posterie		
	Controls	DP	All
Backward Core (Model 1)	0	0	0
Forward Core (Model 2)	0	0	0
Reciprocal Core (Model 3)	0	0	0
Backward Core + IATL (Model 4)	0	0	0
Forward Core + IATL (Model 5)	0	0	0
Reciprocal Core + IATL (Model 6)	0	0	0
EVC to rOFA (Model 7)	0	0	0
EVC to Core (Model 8)	1	1	1
EVC to Core + IATL (Model 9)	0	0	0
IATL to Core (Model 10)	0	0	0
IATL to FFA (Model 11)	0	0	0
Reciprocal FFA and rpSTS (Model 12)	0	0	0
Reciprocal FFA (Model 13)	0	0	0

618





Z = -24, Bilateral FFA (Faces>Cars)



X = 48, rFFA and rpSTS (Faces>Cars)



Y = = 18, lATL (Faces>Cars)



X = 16, EVC (All Visual>Rest)



